



**FINANCE AND ADMINISTRATION
COMMITTEE MEETING
OF THE BOARD OF DIRECTORS
INLAND EMPIRE UTILITIES AGENCY*
AGENCY HEADQUARTERS, CHINO, CALIFORNIA**

**WEDNESDAY, DECEMBER 11, 2019
10:30 A.M.**

***Or immediately following the
Engineering, Operations, &
Water Resources Committee Meeting***

CALL TO ORDER

PUBLIC COMMENT

Members of the public may address the Board on any item that is within the jurisdiction of the Board; however, no action may be taken on any item not appearing on the agenda unless the action is otherwise authorized by Subdivision (b) of Section 54954.2 of the Government Code. Those persons wishing to address the Board on any matter, whether or not it appears on the agenda, are requested to complete and submit to the Board Secretary a "Request to Speak" form which is available on the table in the Board Room. Comments will be limited to three minutes per speaker. Thank you.

ADDITIONS TO THE AGENDA

In accordance with Section 54954.2 of the Government Code (Brown Act), additions to the agenda require two-thirds vote of the legislative body, or, if less than two-thirds of the members are present, a unanimous vote of those members present, that there is a need to take immediate action and that the need for action came to the attention of the local agency subsequent to the agenda being posted.

1. CONSENT ITEMS

A. MINUTES

The Committee will be asked to approve the Finance and Administration Committee meeting minutes of November 13, 2019.

B. REPORT ON GENERAL DISBURSEMENTS

Staff recommends that the Committee/Board approve the total disbursements for the month of October 2019, in the amount of \$21,751,419.34.

2. ACTION ITEMS

A. IEUA FISCAL YEAR (FY) 2018/19 SINGLE AUDIT AND AUDITED COMPREHENSIVE ANNUAL FISCAL REPORT

Staff recommends that the Committee/Board:

1. Approve the Single Audit Report for fiscal year ended June 30, 2019;
2. Approve the Comprehensive Annual Financial Report for fiscal year ended June 30, 2019; and
3. Direct staff to distribute the report as appropriate, to the various federal, state, and local agencies, financial institutions, bond rating agencies, and other interested parties.

B. ESTABLISHING THE GOVERNING DOCUMENTS OF THE DEFINED CONTRIBUTION PLANS ADMINISTRATIVE COMMITTEE AND HEALTH REIMBURSEMENT ARRANGEMENT TRUST COMMITTEE

Staff recommends that the Committee/Board:

1. Approve Resolution No. 2019-12-2, authorizing the Defined Contribution Plans Administrative Committee, Committee Charter, Statement of Investment Policies and Guidelines, Fee and Expense Policy Statement and as Plan Sponsor delegate its administrative and fiduciary authority to the Committee;
2. Approve Resolution No. 2019-12-3, authorizing the Health Reimbursement Arrangement Trust Committee, Committee Charter, Statement of Investment Policies and Guidelines, Fee and Expense Policy Statement and as Plan Sponsor delegate its administrative authority to the Committee; and
3. Authorize the General Manager or designee to execute associated Plans, subject to non-substantive changes.

C. MOTOR CONTROL CENTER, ELECTRICAL DRIVE, AND CONTROL SYSTEM HARDWARE SOLE SOURCE

Staff recommends that the Committee/Board:

1. Adopt a finding pursuant to Public Contract Code 3400(c) that the use of Rockwell Allen Bradley motor control centers, electric drives, and control system hardware: 1) match existing components in use at IEUA facilities; 2) the components are only available from Rockwell;
2. Authorize their procurement as a sole source for future O&M and capital projects for a duration of five years; and

3. Authorize the General Manager to execute the standardization contract with Rockwell and Royal Industrial Solutions, subject to non-substantive changes.

D. ADOPTION OF RESOLUTION NO. 2019-12-1, APPROVING THE REPORTING OF UNIFORM ALLOWANCE AS SPECIAL COMPENSATION FOR CALPERS CLASSIC MEMBERS

Staff recommends that the Committee/Board adopt Resolution No. 2019-12-1, approving the reporting of uniform allowance as special compensation for CalPERS Classic Members.

3. INFORMATION ITEM

A. FISCAL YEAR 2019/20 FIRST QUARTER BUDGET VARIANCE, PERFORMANCE GOAL UPDATES, AND BUDGET TRANSFERS (WRITTEN/POWERPOINT)

RECEIVE AND FILE INFORMATION ITEM

B. TREASURER'S REPORT OF FINANCIAL AFFAIRS (WRITTEN/POWERPOINT)

4. GENERAL MANAGER'S COMMENTS

5. COMMITTEE MEMBER COMMENTS

6. COMMITTEE MEMBER REQUESTED FUTURE AGENDA ITEMS

7. ADJOURN

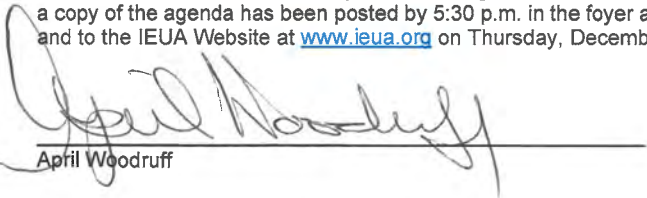
*A Municipal Water District

In compliance with the Americans with Disabilities Act, if you need special assistance to participate in this meeting, please contact the Board Secretary (909-993-1736), 48 hours prior to the scheduled meeting so that the Agency can make reasonable arrangements.

Proofed by: 

DECLARATION OF POSTING

I, April Woodruff, Board Secretary/Office Manager of the Inland Empire Utilities Agency, A Municipal Water District, hereby certify that a copy of the agenda has been posted by 5:30 p.m. in the foyer at the Agency's main office, 6075 Kimball Ave., Building A, Chino, CA and to the IEUA Website at www.ieua.org on Thursday, December 5, 2019.


April Woodruff

**CONSENT
ITEM
1A**



**MINUTES
FINANCE AND ADMINISTRATION
COMMITTEE MEETING
INLAND EMPIRE UTILITIES AGENCY*
AGENCY HEADQUARTERS, CHINO, CA**

**WEDNESDAY, NOVEMBER 13, 2019
10:30 A.M.**

COMMITTEE MEMBERS PRESENT

Jasmin A. Hall, Chair
Paul Hofer

COMMITTEE MEMBERS ABSENT

None

STAFF PRESENT

Shivaji Deshmukh, General Manager
Kathy Besser, Executive Manager of External Affairs & Policy Development/AGM
Christiana Daisy, Executive Manager of Engineering/AGM
Randy Lee, Executive Manager of Operations/AGM
Christina Valencia, Executive Manager of Finance & Administration/AGM
Javier Chagoyen-Lazaró, Manager of Finance & Accounting
Tina Cheng, Budget Officer
Joel Ignacio, Senior Engineer
John Scherck, Senior Project Manager
Daniel Solorzano, Technology Specialist I
James Spears, Associate Engineer
Travis Sprague, Senior Associate Engineer
Wilson To, Technology Specialist II
Teresa Velarde, Manager of Internal Audit
April Woodruff, Board Secretary/Office Manager

OTHERS PRESENT

Richard Babbe, PFM

The meeting was called to order at 11:06 a.m. There were no public comments received or additions to the agenda.

PRESENTATION – PFM INVESTMENT PERFORMANCE REVIEW

PFM Senior Managing Consultant Richard Babbe, CCM provided an Investment Performance Review for the quarter ending September 30, 2019. Mr. Babbe gave a market update of the continual and moderate economic growth, global trade being down due to uncertainty, and a narrow yield across all spread sectors. He provided an investment strategy and portfolio review with issuer distribution, portfolio transactions, and portfolio holdings.

CONSENT CALENDAR ITEMS

The Committee:

- ◆ Approved the Finance and Administration Committee meeting minutes of September 11, 2019.
- ◆ Recommended that the Committee/Board approve the total disbursements for the month of August 2019, in the amount of \$19,277,249.50.

ACTON ITEMS:

The Committee:

- ◆ Recommended that the Board:
 1. Adopt Resolution No. 2019-11-2, approving the proposed multi-year Equivalent Dwelling Unit monthly rate for Fiscal Years (FYs) 2020/21 and 2021/22 for the Agency's Regional Wastewater Operations and Maintenance fund;as a Consent Calendar Item on the November 20, 2019 Board meeting agenda.
- ◆ Recommended that the Board:
 1. Authorize the purchase of a construction management office trailer complex for the RP-5 Expansion, Project Nos. EN19001 and EN19006, from Willscot in the amount of \$453,468; and
 2. Authorize the General Manager to execute the purchase, subject to non-substantive changes;as a Consent Calendar Item on the November 20, 2019 Board meeting agenda.
- ◆ Recommended that the Board:
 1. Award a construction management services consultant contract for the RP-5 Expansion, Project Nos. EN19001 and EN19006, to Arcadis for the not-to-exceed amount of \$21,125,523; and
 2. Authorize the General Manager to execute the construction management services consultant contract, subject to non-substantive changes;as an Action Item on the November 20, 2019 Board meeting agenda.
- ◆ Recommended that the Board:
 1. Approve a consultant contract amendment for engineering design services during construction for the RP-5 Expansion, Project Nos. EN19001 and EN19006, to Parsons Water and Infrastructure Inc., for a not-to-exceed amount of \$12,589,469; and
 2. Authorize the General Manager to execute the consultant contract amendment, subject to non-substantive changes;as an Action Item on the November 20, 2019 Board meeting agenda.

◆ Recommended that the Board:

1. Award a construction contract for the Lower Day Basin Improvements, Project No. RW15004, to Ferreira Coastal Construction Co., in the amount of \$2,998,000; and
2. Authorize the General Manager to execute the construction contract, subject to non-substantive changes;

as a Consent Calendar Item on the November 20, 2019 Board meeting agenda.

◆ Recommended that the Board:

1. Award a construction contract for the rehabilitation of the 1158 East and West Reservoirs, Project Nos. EN21004 and EN22004, to Spiess Construction Company, Inc., in the amount of \$2,715,800;
2. Award a consultant contract to Harper & Associates Engineering, Inc., for coating inspection services during construction in the amount of \$105,600; and
3. Authorize the General Manager to execute the contracts, subject to non-substantive changes;

as a Consent Calendar Item on the November 20, 2019 Board meeting agenda.

INFORMATION ITEMS

The following information item was presented or received and filed by the Committee:

- ◆ Treasurer's Report of Financial Affairs

GENERAL MANAGER'S COMMENTS

General Manager Shivaji Deshmukh stated that he and the Executive Manager of External Affairs & Policy Development/AGM Kathy Besser will travel to Washington D.C. on November 18-19 to meet with congressional staff and discuss federal funding opportunities.

COMMITTEE MEMBER COMMENTS

There were no Committee member comments.

COMMITTEE MEMBER REQUESTED FUTURE AGENDA ITEMS

There were no Committee member requests for future agenda items.

With no further business, Director Hall adjourned the meeting at 11:50 a.m.

Respectfully submitted,

April Woodruff
Board Secretary/Office Manager

**A Municipal Water District*

APPROVED: DECEMBER 11, 2019

Draft

**CONSENT
ITEM
1B**



Date: December 18, 2019

To: The Honorable Board of Directors

Committee: Finance & Administration

From: Shivaji Deshmukh, General Manager

SD

12/11/19

Executive Contact: Christina Valencia, Executive Manager of Finance & Administration/AGM

Subject: Report on General Disbursements

Executive Summary:

Total disbursements for the month of October 2019 were \$21,751,419.34. Disbursement activity included check payments of \$4,813,982.18 to vendors and \$7,573.01 for worker's compensation related costs. Electronic payments included Automated Clearing House (ACH) of \$4,652,711.38 and wire transfers (excluding payroll) of \$10,636,710.02. Total payroll was \$1,632,792.74 for employees and \$7,650.01 for the Board of Directors.

Staff's Recommendation:

Approve the total disbursements for the month of October 2019, in the amount of \$21,751,419.34.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

Account/Project Name:

Fiscal Impact (explain if not budgeted):

Full account coding (internal AP purposes only): - - - Project No.:

Prior Board Action:

On November 20, 2019 the Board of Directors approved the September 2019 Report on General Disbursements totaling \$19,277,249.50.

Environmental Determination:

Not Applicable

Business Goal:

The report on general disbursements is consistent with the Agency's Business Goal of Fiscal Responsibility in providing financial reporting that accounts for general disbursements associated with operating requirements.

Attachments:

Attachment 1 - Background

Attachment 2 - Details of General Disbursements

Attachment 1 - Background

Subject: Report on General Disbursements

Table 1 summarizes the disbursements detailed in each of the six attachments affixed to this letter. Table 2 lists the disbursements in excess of an aggregated \$500,000 per vendor and is presented in largest to smallest dollar value.

Table 1: Disbursement Details

Attachment	Payment Type	Amount
2A	Vendor Checks	\$ 4,813,982.18
2B	Workers' Comp Checks	\$ 7,573.01
2C	Vendor ACHs	\$ 4,652,711.38
2D	Vendor Wires (excludes Payroll)	\$10,636,710.02
2E	Payroll-Net Pay-Directors	\$ 7,650.01
2F	Payroll-Net Pay-Employees	\$ 1,632,792.74
Total Disbursements		\$21,751,419.34

Table 2: Disbursements in Excess of \$500,000 per Vendor

Vendor	Amount	Description
CALPERS	\$4,500,000.00	Retirement Unfunded Liability
MWD	\$ 4,382,340.20	August 2019 Water Purchases
TRAUTWEIN CONSTRUCTION INC	\$ 1,445,878.29	09/2019 Professional Svc's for: EN17049-Baseline Recycled Water Pipeline Extension
PERS	\$ 904,713.58	10/19 Health Ins / P/R 20, 21, & 22 Def Comp
PARSONS WATER & INFRASTRUCTURE	\$ 806,253.03	EN19001-RP-5 Expansion to 30 mgd / EN19006 - RP5 Bio-Solids Facility – 8/3-9/6/19 Prof Svc's
IRS	\$ 654,350.89	P/R 21, 22: Dir 10 Payroll Taxes
IERCA	\$ 630,200.48	06/19 - 07/19 Biosolids
SO. CAL EDISON	\$ 590,844.54	08/01/19 – 10/04/19 Electricity

Attachment 2A

Vendor Checks

Inland Empire Util. Agency
 Chino, CA
 Company code 1000

Check Register
 CEB Disbursement Account-October 2019

11/25/2019 / 21:10:50
 User: CCAMPEL
 Page: 1

Bank		CEB		CITIZENS BUSINESS BANK		ONTARIO CA 917610000	
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Check							
Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void	
230251	2200102409	10/03/2019	USD	240.00	ABIGAIL CASTRO DE BECERRA SAN BERNARDINO CA	10/11/2019	
230252	2200102341	10/03/2019	USD	940.20	AIRGAS WEST INC PASADENA CA	10/07/2019	
230253	2200102376	10/03/2019	USD	20,160.01	ALLIED UNIVERSAL SECURITY SERV PASADENA CA	10/07/2019	
230254	2200102371	10/03/2019	USD	3,774.81	AMERICAN PRINTING & PROMOTIONS CHINO CA	10/11/2019	
230255	2200102400	10/03/2019	USD	5,000.00	AMERICAN WATER COLLEGE LLC SACRAMENTO CA	10/09/2019	
230256	2200102378	10/03/2019	USD	760.61	ASAP INDUSTRIAL SUPPLY FONTANA CA	10/09/2019	
230257	2200102385	10/03/2019	USD	2,713.50	ATKINSON, ANDELSON, LOYA, CERRITOS CA	10/09/2019	
230258	2200102384	10/03/2019	USD	1,400.64	AUTOZONE INC ATLANTA GA	10/08/2019	
230259	2200102401	10/03/2019	USD	11,228.24	BETSY JACOBSON AND ASSOCIATES ENCINITAS CA	10/21/2019	
230260	2200102387	10/03/2019	USD	17,339.00	BRIGHTVIEW LANDSCAPE SERVICES PASADENA CA	10/07/2019	
230261	2200102345	10/03/2019	USD	768.00	CALIF WATER ENVIRONMENT ASSOC OAKLAND CA	10/17/2019	
230262	2200102332	10/03/2019	USD	7,497.12	CALITROL INC LOS ANGELES CA	10/07/2019	
230263	2200102404	10/03/2019	USD	1,000.00	CASA EDUCATION FOUNDATION SACRAMENTO CA	10/16/2019	
230264	2200102357	10/03/2019	USD	435.00	CASC ENGINEERING AND CONSULTING COLTON CA	10/11/2019	
230265	2200102358	10/03/2019	USD	65.00	CHINO HILLS FORD CHINO CA	10/09/2019	
230266	2200102408	10/03/2019	USD	240.00	CHRISTINE VENEGAS RANCHO CUCAMONGA CA	10/15/2019	
230267	2200102369	10/03/2019	USD	3,503.84	CINTAS CORPORATION LOC#150 PHOENIX AZ	10/10/2019	
230268	2200102343	10/03/2019	USD	14,245.58	CITY OF ONTARIO ONTARIO CA	10/08/2019	
230269	2200102373	10/03/2019	USD	790.50	CONCENTRA MEDICAL CENTERS RANCHO CUCAMONGA CA	10/11/2019	
230270	2200102412	10/03/2019	USD	27.60	CUCAMONGA VALLEY WATER DISTRICT LOS ANGELES CA	10/08/2019	
230271	2200102388	10/03/2019	USD	1,607.43	D & H WATER SYSTEMS INC OCEANSIDE CA	10/09/2019	
230272	2200102407	10/03/2019	USD	740.32	DECALS BY DESIGN INC CORONA CA	10/08/2019	
230273	2200102410	10/03/2019	USD	240.00	DENISE GONZALES ONTARIO CA	10/11/2019	
230274	2200102397	10/03/2019	USD	20,739.62	DORGAN LEGAL SERVICES LLP PASADENA CA	10/09/2019	
230275	2200102416	10/03/2019			voided by SHEAHH - Printed incorrectly	10/03/2019	
230276	2200102377	10/03/2019	USD	11,340.00	ENEL X NORTH AMERICA INC BOSTON MA	10/21/2019	
230277	2200102351	10/03/2019	USD	183.74	ENVIRONMENTAL EXPRESS INC ATLANTA GA	10/08/2019	
230278	2200102381	10/03/2019	USD	2,465.00	EUROFINS EATON ANALYTICAL LLC GRAPEVINE TX	10/08/2019	
230279	2200102353	10/03/2019	USD	756.25	EXITI INC BELL CANYON CA	10/16/2019	
230280	2200102334	10/03/2019	USD	4,354.79	FISHER SCIENTIFIC LOS ANGELES CA	10/07/2019	
230281	2200102344	10/03/2019	USD	4,553.45	FLUID COMPONENTS INTERNATIONAL SAN MARCOS CA	10/08/2019	
230282	2200102415	10/03/2019	USD	1,808.95	FRONTIER COMMUNICATIONS CORP CINCINNATI OH	10/09/2019	
230283	2200102380	10/03/2019	USD	19,346.25	GHD PASADENA CA	10/08/2019	
230284	2200102342	10/03/2019	USD	1,436.81	GRAINGER PALATINE IL	10/09/2019	
230285	2200102374	10/03/2019	USD	26.94	GRAPHIC DETAILS INC CHINO CA	10/15/2019	
230286	2200102336	10/03/2019	USD	414.16	HOME DEPOT CREDIT SERVICES PHOENIX AZ	10/09/2019	
230287	2200102417	10/03/2019	USD	88.00	HULL, KURT CHINO HILLS CA	10/04/2019	
230288	2200102382	10/03/2019			voided by KMCCHRIS - Wrong Payee	10/08/2019	
230289	2200102350	10/03/2019	USD	170.58	MIDPOINT BEARING ONTARIO CA	10/07/2019	
230290	2200102394	10/03/2019	USD	20,475.00	MWH CONSTRUCTORS INC BROOMFIELD CO	10/10/2019	
230291	2200102399	10/03/2019	USD	10,000.00	NATIONAL CENTER FOR CIVIC INNOVATION NEW YORK NY	10/11/2019	
230292	2200102346	10/03/2019	USD	14.01	O RINGS & THINGS FONTANA CA	10/11/2019	
230293	2200102333	10/03/2019	USD	1,197.64	OFFICE DEPOT PHOENIX AZ	10/11/2019	
230294	2200102355	10/03/2019	USD	1,050.43	PANTHER PROTECTION ORANGE CA	10/08/2019	

Inland Empire Util. Agency
 Chino, CA
 Company code 1000

Check Register
 CBB Disbursement Account-October 2019

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Check						
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230296	2200102402	10/03/2019	USD	1,239.80	QUINN COMPANY LOS ANGELES CA	10/07/2019
230297	2200102359	10/03/2019	USD	6,935.00	RACO MANUFACTURING & ENGINEERINGMERYVILLE CA	10/09/2019
230298	2200102363	10/03/2019	USD	15,827.30	RMC WATER AND ENVIRONMENT BOSTON MA	10/08/2019
230299	2200102413	10/03/2019	USD	56,259.54	SO CALIF EDISON ROSEMEAD CA	10/08/2019
230300	2200102419	10/03/2019	USD	50.11	SOIELLO, SCOTT CHINO HILLS CA	10/15/2019
230301	2200102366	10/03/2019	USD	21,093.10	STANTEC CONSULTING INC CHICAGO IL	10/07/2019
230302	2200102395	10/03/2019	USD	3,339.79	STORETRIEVE LLC PASADENA CA	10/07/2019
230303	2200102411	10/03/2019	USD	240.00	SYNNAMON NYCOLE BEIHEL ARCADIA CA	10/18/2019
230304	2200102352	10/03/2019	USD	9,157.68	TELEDYNE INSTRUMENTS INC CHICAGO IL	10/07/2019
230305	2200102392	10/03/2019	USD	20.00	THE SHREDDERS LOS ANGELES CA	10/16/2019
230306	2200102406	10/03/2019	USD	6,783.00	THE SOLIS GROUP PASADENA CA	10/15/2019
230307	2200102389	10/03/2019	USD	3,350.04	THERMO ELECTRON NORTH AMERICA ATLANTA GA	10/07/2019
230308	2200102362	10/03/2019	USD	58.18	URIMAGE BLOOMINGTON CA	10/17/2019
230309	2200102405	10/03/2019	USD	1,119.38	VALLEY SPLICING INC NORCO CA	10/08/2019
230310	2200102368	10/03/2019	USD	24,859.60	VAUGHAN'S INDUSTRIAL REPAIR COPARAMOUNT CA	10/09/2019
230311	2200102403	10/03/2019	USD	24,940.50	WESTIN TECHNOLOGY SOLUTIONS LIMILWAUKEE WI	10/10/2019
230312	2200102354	10/03/2019	USD	22,459.31	WILLIAMS SCOTSMAN INC CHICAGO IL	10/07/2019
230313	2200102468	10/08/2019	USD	188.02	AMERICAN HERITAGE LIFE INSURANDALLAS TX	10/16/2019
230314	2200102467	10/08/2019	USD	511.48	ANDERSON, JOHN CHINO CA	10/11/2019
230315	2200102469	10/08/2019	USD	367.71	COLONIAL LIFE & ACCIDENT INSURCOLUMBIA SC	10/16/2019
230316	2200102473	10/08/2019	USD	2,343.85	FIDELITY SECURITY LIFE INSURANCINCINNATI OH	10/16/2019
230317	2200102472	10/08/2019	USD	253.15	LEGALSHIELD ADA OK	10/16/2019
230318	2200102471	10/08/2019	USD	15,215.45	LIFE INSURANCE COMPANY OF PHILADELPHIA PA	10/16/2019
230319	2200102459	10/08/2019	USD	12,234.40	ORANGE COUNTY WATER DISTRICT FOUNTAIN VALLEY CA	10/10/2019
230320	2200102465	10/08/2019	USD	7,207.38	PACIFIC COURIERS INC EL MONTE CA	10/11/2019
230321	2200102470	10/08/2019	USD	205.38	PERS LONG TERM CARE PROGRAM PASADENA CA	10/11/2019
230322	2200102460	10/08/2019	USD	486.20	RMA GROUP RANCHO CUCAMONGA CA	10/15/2019
230323	2200102464	10/08/2019	USD	6,422.85	SNAP GRAPHICS & DESIGN RANCHO CUCAMONGA CA	10/11/2019
230324	2200102466	10/08/2019	USD	3,224.05	SO CALIF GAS MONTEREY PARK CA	10/16/2019
230325	2200102463	10/08/2019	USD	22,045.94	U S BANK ST LOUIS MO	10/16/2019
230326	2200102461	10/08/2019	USD	9,231.58	VERIZON WIRELESS DALLAS TX	10/15/2019
230327	2200102462	10/08/2019	USD	58.00	WORLDWIDE EXPRESS ALBANY NY	10/25/2019
230328	2200102543	10/10/2019	USD	74,670.75	ACTIMOR SOLUTIONS LLC PASADENA CA	10/15/2019
230329	2200102497	10/10/2019	USD	581.85	AGILENT TECHNOLOGIES INC LOS ANGELES CA	10/15/2019
230330	2200102488	10/10/2019	USD	1,639.98	AIRGAS WEST INC PASADENA CA	10/15/2019
230331	2200102523	10/10/2019	USD	2,572.16	ASAP INDUSTRIAL SUPPLY FONTANA CA	10/17/2019
230332	2200102493	10/10/2019	USD	94.00	CALIF WATER ENVIRONMENT ASSOC OAKLAND CA	10/17/2019
230333	2200102567	10/10/2019	USD	272.45	CAMACHO, MICHAEL CHINO HILLS CA	10/15/2019
230334	2200102542	10/10/2019	USD	48,687.00	CAPO PROJECTS GROUP LLC SAN JUAN CAPISTRANO CA	10/16/2019
230335	2200102563	10/10/2019	USD	147.63	CARL H TAYLOR III CRYSTAL RIVER FL	10/16/2019
230336	2200102521	10/10/2019	USD	22,858.00	CARRIER CORPORATION CHICAGO IL	10/16/2019
230337	2200102492	10/10/2019	USD	2,643.98	CHINO BASIN WATERMASTER RANCHO CUCAMONGA CA	10/16/2019
230338	2200102516	10/10/2019	USD	2,850.02	CINTAS CORPORATION LOC#150 PHOENIX AZ	10/18/2019

Inland Empire Util. Agency
 Chino, CA
 Company code 1000

Check Register
 CBB Disbursement Account-October 2019

11/25/2019 / 21:10:50
 User: CCAMPBEL
 Page: 3

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Check							
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230339	2200102502	10/10/2019	USD	1,029.23	CINTAS FIRST AID & SAFETY LOCCINCINNATI OH	10/16/2019	
230340	2200102561	10/10/2019	USD	404.00	CITY EMPLOYEES ASSOCIATES LONG BEACH CA	10/16/2019	
230341	2200102545	10/10/2019	USD	1,996.16	CITY OF CHINO CHINO CA	10/15/2019	
230342	2200102481	10/10/2019	USD	512.75	CITY RENTALS INC ONTARIO CA	10/16/2019	
230343	2200102482	10/10/2019	USD	1,366.42	COLE FARMER INSTRUMENT CO CHICAGO IL	10/15/2019	
230344	2200102519	10/10/2019	USD	416.00	CONCENTRA MEDICAL CENTERS RANCHO CUCAMONGA CA	10/18/2019	
230345	2200102547	10/10/2019	USD	433.38	CUCAMONGA VALLEY WATER DISTRICTLOS ANGELES CA	10/16/2019	
230346	2200102522	10/10/2019	USD	1,480.00	DAVID WHEELER'S PEST CONTROL, NORCO CA	10/17/2019	
230347	2200102534	10/10/2019	USD	17,186.25	DEERE & COMPANY CHICAGO IL	10/17/2019	
230348	2200102515	10/10/2019	USD	66.26	DEFLECTION INSTRUMENTS CORP PHOENIX AZ	10/23/2019	
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230351	2200102484	10/10/2019	USD	3,396.34	FISHER SCIENTIFIC LOS ANGELES CA	10/15/2019	
230352	2200102550	10/10/2019	USD	848.87	FONTANA WATER COMPANY FONTANA CA	10/17/2019	
230353	2200102560	10/10/2019	USD	553.99	FRANCHISE TAX BOARD SACRAMENTO CA	10/25/2019	
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230356	2200102491	10/10/2019	USD	1,902.35	GRAINGER PALATINE IL	10/16/2019	
230357	2200102517	10/10/2019	USD	21,812.75	GRISWOLD INDUSTRIES COSTA MESA CA	10/17/2019	
230358	2200102557	10/10/2019	USD	51.00	INLAND EMPIRE UNITED WAY RANCHO CUCAMONGA CA	10/16/2019	
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230363	2200102501	10/10/2019	USD	75.00	LIEBERT CASSIDY WHITMORE LOS ANGELES CA	10/17/2019	
230364	2200102524	10/10/2019	USD	1,862.77	LILLESSTRAND LEADERSHIP CONSULTCHINO HILLS CA	10/18/2019	
230365	2200102530	10/10/2019	USD	93.00	LITTLE SISTER'S TRUCK WASH, INBONSALL CA	10/16/2019	
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230373	2200102564	10/10/2019	USD	81.79	POESKE, MATTHEW CHINO HILLS CA	10/17/2019	
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230379	2200102513	10/10/2019	USD	42,410.39	SWRCB ACCOUNTING OFFICE SACRAMENTO CA	10/17/2019	
230380	2200102565	10/10/2019	USD	54.51	TAYLOR, YVONNE CHINO HILLS CA	10/22/2019	
230381	2200102531	10/10/2019	USD	9,639.86	TITUS INDUSTRIAL GROUP INC PRINEVILLE OR	10/16/2019	
230382	2200102541	10/10/2019	USD	3,080.03	TRANSENE COMPANY INC DANVERS MA	10/21/2019	

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230391	2200102584	10/15/2019	USD	5,744.57	KONICA MINOLTA BUSINESS SOLUTIONS PASADENA CA	10/21/2019
230392	2200102585	10/15/2019	USD	339.39	RED WING BUSINESS ADVANTAGE DALLAS TX	10/21/2019
230393	2200102588	10/15/2019	USD	154,310.62	SO CALIF EDISON ROSEMEAD CA	10/23/2019
230394	2200102631	10/17/2019	USD	613.31	AIRGAS WEST INC PASADENA CA	10/21/2019
230395	2200102661	10/17/2019	USD	233.04	ASAP INDUSTRIAL SUPPLY FONDANA CA	10/22/2019
230396	2200102665	10/17/2019	USD	27,754.06	ATOM ENGINEERING CONSTRUCTION HEMET CA	10/22/2019
230397	2200102645	10/17/2019	USD	239.21	AUTOMATIONDIRECT.COM INC ATLANTA GA	10/23/2019
230398	2200102668	10/17/2019	USD	641.85	AUTOZONE INC ATLANTA GA	10/26/2019
230399	2200102675	10/17/2019	USD	1,328.98	BANNER BANK CARLEBAD CA	10/23/2019
230400	2200102662	10/17/2019	USD	112,252.00	BIG SKY ELECTRIC INC UPLAND CA	10/23/2019
230401	2200102671	10/17/2019	USD	5,259.02	BRIGHTVIEW LANDSCAPE SERVICES PASADENA CA	10/22/2019
230402	2200102697	10/17/2019	USD	75.00	BURKE, JERRY CHINO HILLS CA	10/22/2019
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230407	2200102646	10/17/2019	USD	2,901.63	CHINO MFG & REPAIR INC CHINO CA	10/21/2019
230408	2200102654	10/17/2019	USD	2,319.64	CINIAS CORPORATION LOC#150 PHOENIX AZ	10/26/2019
230409	2200102683	10/17/2019	USD	566.63	CITY OF CHINO CHINO CA	10/21/2019
230410	2200102658	10/17/2019	USD	1,599.50	CONCENTRA MEDICAL CENTERS RANCHO CUCAMONGA CA	10/30/2019
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230412	2200102638	10/17/2019	USD	2,086.48	CONTROL PRO INC CHINO CA	10/23/2019
230413	2200102676	10/17/2019	USD	21,280.00	CSI SERVICES INC SANTA CLARITA CA	10/22/2019
230414	2200102685	10/17/2019	USD	187.35	CUCAMONGA VALLEY WATER DISTRICT LOS ANGELES CA	10/22/2019
230415	2200102633	10/17/2019	USD	369.87	CUCAMONGA VALLEY WATER DISTRICT RANCHO CUCAMONGA CA	10/25/2019
230416	2200102655	10/17/2019	USD	433.06	CUMMINS PACIFIC LLC LOS ANGELES CA	10/22/2019
230417	2200102698	10/17/2019	USD	49.55	DELGADO, ROBERTO CHINO HILLS CA	11/20/2019
230418	2200102644	10/17/2019	USD	2,113.60	E Z PARTY RENTALS POMONA CA	10/23/2019
230419	2200102667	10/17/2019	USD	3,815.00	EUROFINS EATON ANALYTICAL LLC GRAPEVINE TX	10/26/2019
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230423	2200102652	10/17/2019	USD	10,228.00	GET CONSULTANTS INC BOSTON MA	10/22/2019
230424	2200102648	10/17/2019	USD	50.00	GISJOBS.COM LLC APPLETON WI	10/22/2019
230425	2200102632	10/17/2019	USD	729.26	GRAINGER PALATINE IL	10/23/2019
230426	2200102628	10/17/2019	USD	1,153.18	HOME DEPOT CREDIT SERVICES PHOENIX AZ	10/23/2019

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230430	2200102694	10/17/2019	USD	176.86	LENING, SCOTT CHINO HILLS CA	10/22/2019
230431	2200102682	10/17/2019	USD	6,500.00	M BREY ELECTRIC INC BEADMONT CA	10/26/2019
230432	2200102643	10/17/2019	USD	344.77	MINUTEMAN PRESS RANCHO CUCAMONGA CA	10/22/2019
230433	2200102626	10/17/2019	USD	244.48	OFFICE DEPOT PHOENIX AZ	10/25/2019
230434	2200102684	10/17/2019	USD	6,128.78	ONTARIO MUNICIPAL UTILITIES COONTARIO CA	10/21/2019
230435	2200102690	10/17/2019	USD	205.38	PERS LONG TERM CARE PROGRAM PASADENA CA	10/23/2019
230436	2200102639	10/17/2019	USD	198.79	RED WING BUSINESS ADVANTAGE DALLAS TX	10/23/2019
230437	2200102669	10/17/2019	USD	17,247.40	RELIABILITYWEB.COM FORT MYERS FL	10/31/2019
230438	2200102642	10/17/2019	USD	2,073.81	RESITEK CORP LANCASTER PA	10/22/2019
230439	2200102629	10/17/2019	USD	12,971.64	RMA GROUP RANCHO CUCAMONGA CA	10/21/2019
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230441	2200102653	10/17/2019	USD	1,861.00	SAN BERNARDINO COUNTY SAN BERNARDINO CA	10/29/2019
230442	2200102695	10/17/2019	USD	61.48	SCHERCK, JOHN CHINO HILLS CA	10/29/2019
230443	2200102677	10/17/2019	USD	2,060.00	SHAW HR CONSULTING INC NEWBURY PARK CA	10/22/2019
230444	2200102692	10/17/2019	USD	63.92	SKINNER, JOHN CHINO HILLS CA	11/15/2019
230445	2200102664	10/17/2019	USD	269.38	SNAP GRAPHICS & DESIGN RANCHO CUCAMONGA CA	10/22/2019
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230447	2200102687	10/17/2019	USD	92.78	SO CALIF GAS MONTEREY PARK CA	10/25/2019
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230449	2200102630	10/17/2019	USD	4,852.00	SOUTHWEST ALARM SERVICE UPLAND CA	10/22/2019
230450	2200102693	10/17/2019	USD	249.58	SPRAGUE, TRAVIS CHINO HILLS CA	10/22/2019
230451	2200102681	10/17/2019	USD	45,000.00	SSI AERATION INC POUGHKEEPSIE NY	10/29/2019
230452	2200102672	10/17/2019	USD	2,220.00	STATEWIDE TRAFFIC SAFETY AND SPASADENA CA	10/21/2019
230453	2200102656	10/17/2019	USD	18,840.00	SUN WIRELESS SAN DIEGO CA	10/23/2019
230454	2200102637	10/17/2019	USD	985.21	TELEDYNE INSTRUMENTS INC CHICAGO IL	10/22/2019
230455	2200102640	10/17/2019	USD	8,213.79	TELEDYNE INSTRUMENTS INC CHICAGO IL	10/22/2019
230456	2200102680	10/17/2019	USD	3,631.51	THE SOLIS GROUP PASADENA CA	10/28/2019
230457	2200102673	10/17/2019	USD	1,694.20	TRIPLEPI SMITH AND ASSOCIATES, IRVINE CA	10/26/2019
230458	2200102670	10/17/2019	USD	13,565.37	UTILIQUEST LLC ATLANTA GA	10/21/2019
230459	2200102651	10/17/2019	USD	1,770.00	V & A CONSULTING ENGINEERS OAKLAND CA	10/22/2019
230460	2200102688	10/17/2019	USD	468.56	VERIZON BUSINESS ALBANY NY	10/22/2019
230461	2200102647	10/17/2019	USD	197,745.24	W A RASIC CONSTRUCTION CO INC LONG BEACH CA	10/23/2019
230462	2200102679	10/17/2019	USD	5,557.50	WESTIN TECHNOLOGY SOLUTIONS LIMILWAUKEE WI	10/22/2019
230463	2200102691	10/17/2019	USD	25.00	WILCO LIFE INSURANCE COMPANY CHARLOTTE NC	10/25/2019
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230466	2200102649	10/17/2019	USD	1,101.46	YORK EMPLOYMENT SERVICES, INC PASADENA CA	10/21/2019
230467	2200102741	10/24/2019	USD	11,444.10	AGILENT TECHNOLOGIES INC LOS ANGELES CA	10/28/2019
230468	2200102726	10/24/2019	USD	700.91	AIRGAS WEST INC PASADENA CA	10/28/2019
230469	2200102773	10/24/2019	USD	139.22	AMERICAN PRINTING & PROMOTIONSCHINO CA	11/01/2019
230470	2200102804	10/24/2019	USD	9,760.00	AMERICAN WATER COLLEGE LLC SACRAMENTO CA	10/30/2019

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230482	2200102760	10/24/2019	USD	3,937.55	CASC ENGINEERING AND CONSULTING COLTON CA	10/30/2019
230483	2200102853	10/24/2019	USD	300.00	CASTILLEJO, LUIS ONTARIO CA	
230484	2200102735	10/24/2019	USD	240.00	CHINO BASIN WATER CONSERVATION MONICLAIR CA	11/07/2019
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230486	2200102753	10/24/2019	USD	6,155.25	CHINO MFG & REPAIR INC CHINO CA	10/30/2019
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230489	2200102836	10/24/2019	USD	404.00	CITY EMPLOYEES ASSOCIATES LONG BEACH CA	10/30/2019
230490	2200102794	10/24/2019	USD	119.90	CITY OF LOS ANGELES LOS ANGELES CA	11/01/2019
230491	2200102715	10/24/2019	USD	1,661.42	CITY RENTALS INC ONTARIO CA	10/30/2019
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230495	2200102755	10/24/2019	USD	15,308.95	CORE-ROSION PRODUCTS SIGNAL HILL CA	10/29/2019
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230507	2200102738	10/24/2019	USD	29,279.50	FORD HALL COMPANY INC RICHMOND KY	10/30/2019
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230509	2200102835	10/24/2019	USD	554.00	FRANCHISE TAX BOARD SACRAMENTO CA	11/19/2019
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230511	2200102770	10/24/2019	USD	82,815.05	GEI CONSULTANTS INC BOSTON MA	10/29/2019
230512	2200102785	10/24/2019	USD	42,385.52	GHD PASADENA CA	10/28/2019
230513	2200102730	10/24/2019	USD	4,926.25	GRAINGER PALATINE IL	10/30/2019
230514	2200102776	10/24/2019	USD	282.46	GRAPHIC PRODUCTS BEAVERTON OR	11/01/2019

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230521	2200102850	10/24/2019	USD	216.00	JOHNSON, TRENTON CHINO HILLS CA	10/30/2019	
230522	2200102720	10/24/2019	USD	808.32	KAMAN INDUSTRIAL TECHNOLOGIES LOS ANGELES CA	10/28/2019	
230523	2200102740	10/24/2019	USD	3,182.72	KONICA MINOLTA BUSINESS SOLUTIONS PASADENA CA	10/28/2019	
230524	2200102843	10/24/2019	USD	1,609.35	LEWING, SCOTT CHINO HILLS CA	11/12/2019	
230525	2200102842	10/24/2019	USD	55.00	LEIULLE, CHANDER CHINO HILLS CA	11/13/2019	
230526	2200102833	10/24/2019	USD	15,095.84	LIFE INSURANCE COMPANY OF PHILADELPHIA PA	11/04/2019	
230527	2200102782	10/24/2019	USD	892.93	LILLESTRAND LEADERSHIP CONSULTING CHINO HILLS CA	11/04/2019	
230528	2200102809	10/24/2019	USD	27,262.00	LIQUIVISION TECHNOLOGY INC KLAMATH FALLS OR	11/04/2019	
230529	2200102807	10/24/2019	USD	2,520.00	LITTLER MENDELSON PC SAN FRANCISCO CA	10/29/2019	
230530	2200102757	10/24/2019	USD	3,430.00	LOCAL AGENCY FORMATION COMMISSAN BERNARDINO CA	11/13/2019	
230531	2200102808	10/24/2019	USD	6,809.66	LONE STAR BLOWER INC HOUSTON TX	11/14/2019	
230532	2200102761	10/24/2019	USD	2,395.57	LUDECA INC DORAL FL	10/30/2019	
230533	2200102743	10/24/2019	USD	420.75	MIDPOINT BEARING ONTARIO CA	10/28/2019	
230534	2200102732	10/24/2019	USD	8,969.01	MOTION INDUSTRIES INC LOS ANGELES CA	10/28/2019	
230535	2200102800	10/24/2019	USD	24,675.00	MWH CONSTRUCTORS INC BROOMFIELD CO	10/30/2019	
230536	2200102759	10/24/2019	USD	12,120.00	NORSTAR PLUMBING & ENGINEERING ALTA LOMA CA	10/31/2019	
230537	2200102739	10/24/2019	USD	11.14	O RINGS & THINGS FONTANA CA	11/01/2019	
230538	2200102717	10/24/2019	USD	4,883.97	OFFICE DEPOT PHOENIX AZ	10/31/2019	
230539	2200102754	10/24/2019	USD	31.50	OLSON HAGEL & FISHEURN LLP SACRAMENTO CA	10/29/2019	
230540	2200102818	10/24/2019	USD	49.64	ONTARIO MUNICIPAL UTILITIES COONTARIO CA	10/28/2019	
230541	2200102777	10/24/2019	USD	16,468.79	ORACLE AMERICA INC SAN FRANCISCO CA	10/28/2019	
230542	2200102817	10/24/2019	USD	36,498.90	OSISOFT LLC SAN FRANCISCO CA	10/28/2019	
230543	2200102722	10/24/2019	USD	589.34	P L HAWN COMPANY INC HUNTINGTON BEACH CA	10/28/2019	
230544	2200102758	10/24/2019	USD	974.14	PANIHER PROTECTION ORANGE CA	10/29/2019	
230545	2200102832	10/24/2019	USD	205.38	PERS LONG TERM CARE PROGRAM PASADENA CA	10/28/2019	
230546	2200102762	10/24/2019	USD	2,715.84	POMTON INDUSTRIES INC YORBA LINDA CA	11/01/2019	
230547	2200102816	10/24/2019	USD	960.07	PSG CALIFORNIA LLC CHICAGO IL	10/30/2019	
230548	2200102745	10/24/2019	USD	193.94	RED WING BUSINESS ADVANTAGE DALLAS TX	10/29/2019	
230549	2200102840	10/24/2019	USD	64.96	ROSALES, TIMOTHY CHINO HILLS CA		
230550	2200102749	10/24/2019	USD	2,938.95	SAN BERNARDINO COUNTY SAN BERNARDINO CA	11/01/2019	
230551	2200102845	10/24/2019	USD	59.16	SCHERCK, JOHN CHINO HILLS CA	11/15/2019	
230552	2200102729	10/24/2019	USD	23,612.00	SHANLEY PUMP & EQUIPMENT INC ARLINGTON HEIGHTS IL	10/29/2019	
230553	2200102736	10/24/2019	USD	419.00	SIGMA-ALDRICH INC ATLANTA GA	10/29/2019	
230554	2200102792	10/24/2019	USD	125.00	SIGNATURE SCUBA RANCHO CUCAMONGA CA	11/01/2019	
230555	2200102786	10/24/2019	USD	880.32	SNAP GRAPHICS & DESIGN RANCHO CUCAMONGA CA	10/28/2019	
230556	2200102819	10/24/2019	USD	1,486.35	SO CALIF EDISON ROSEMEAD CA	10/29/2019	
230557	2200102820	10/24/2019	USD	133.78	SO CALIF GAS MONTEREY PARK CA	10/31/2019	
230558	2200102724	10/24/2019	USD	5,288.00	SOUTHWEST ALARM SERVICE UPLAND CA	10/29/2019	

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Acct number	CHECK	231167641		

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Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
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230560	2200102851	10/24/2019	USD	50.00	Sean, Elmslie-Britt Ontario CA	11/08/2019
230561	2200102799	10/24/2019	USD	60.00	THE SHREDDERS LOS ANGELES CA	10/30/2019
230562	2200102796	10/24/2019	USD	375.00	TRIPLEPT SMITH AND ASSOCIATES, IRVINE CA	11/06/2019
230563	2200102744	10/24/2019	USD	4,559.04	U S BANK NA ST PAUL MN	
230564	2200102778	10/24/2019	USD	11,663.95	US BANK VOYAGER FLEET SYSTEMS KANSAS CITY MO	10/29/2019
230565	2200102801	10/24/2019	USD	37,113.15	VIRAMONIES EXPRESS INC CORONA CA	11/01/2019
230566	2200102805	10/24/2019	USD	3,500.00	WESTLAND GROUP INC ONTARIO CA	10/28/2019
230567	2200102834	10/24/2019	USD	25.00	WILCO LIFE INSURANCE COMPANY CHARLOTTE NC	
230568	2200102756	10/24/2019	USD	4,342.92	WILLIAMS SCOTSMAN INC CHICAGO IL	10/28/2019
230569	2200102815	10/24/2019	USD	2,000.00	WINSHUTTLE LLC BOIHILL WA	10/30/2019
230570	2200102847	10/24/2019	USD	1,160.81	WOMACK, ASHLEY CHINO HILLS CA	10/25/2019
230571	2200102765	10/24/2019	USD	149.93	WORLDWIDE EXPRESS ALBANY NY	11/12/2019
230572	2200102764	10/24/2019	USD	2,089.95	YORK EMPLOYMENT SERVICES, INC PASADENA CA	10/28/2019
230573	2200103059	10/31/2019	USD	2,606.83	ACCURATE AIR ENGINEERING INC CERRITOS CA	11/05/2019
230574	2200103061	10/31/2019	USD	373.36	AGILENT TECHNOLOGIES INC LOS ANGELES CA	11/04/2019
230575	2200103044	10/31/2019	USD	1,104.75	AIRGAS WEST INC PASADENA CA	11/05/2019
230576	2200103086	10/31/2019	USD	13,765.23	ALLIED UNIVERSAL SECURITY SERV/PASADENA CA	11/04/2019
230577	2200103120	10/31/2019			voided by SHEATH - Lost Check	11/05/2019
230578	2200103089	10/31/2019	USD	276.52	ASAP INDUSTRIAL SUPPLY FONTANA CA	11/05/2019
230579	2200103093	10/31/2019	USD	5,115.50	ATKINSON, ANDELSON, LOYA, CERRITOS CA	11/05/2019
230580	2200103077	10/31/2019	USD	91.12	BNI BUILDING NEWS VISTA CA	11/05/2019
230581	2200103116	10/31/2019	USD	187.74	EREIG, ANNA VICTORVILLE CA	11/05/2019
230582	2200103054	10/31/2019	USD	1,344.00	CALIF WATER ENVIRONMENT ASSOC OAKLAND CA	11/14/2019
230583	2200103087	10/31/2019	USD	652.50	CARRIER CORPORATION CHICAGO IL	11/05/2019
230584	2200103069	10/31/2019	USD	13,415.80	CASC ENGINEERING AND CONSULTING/COLTON CA	11/06/2019
230585	2200103056	10/31/2019	USD	29.50	CHAMPION NEWSPAPERS CHINO CA	11/19/2019
230586	2200103132	10/31/2019	USD	299.00	CHENG, IVAN CHINO HILLS CA	11/05/2019
230587	2200103053	10/31/2019	USD	15,460.58	CHINO BASIN WATERMASTER RANCHO CUCAMONGA CA	11/06/2019
230588	2200103091	10/31/2019	USD	250.00	CHINO HILLS COMMUNITY FOUNDATION/CHINO HILLS CA	11/14/2019
230589	2200103082	10/31/2019	USD	2,531.72	CINTAS CORPORATION LOC#150 PHOENIX AZ	11/07/2019
230590	2200103084	10/31/2019	USD	125.00	CONCENTRA MEDICAL CENTERS RANCHO CUCAMONGA CA	11/07/2019
230591	2200103068	10/31/2019	USD	472.65	CULVER COMPANY SALISBURY MA	11/06/2019
230592	2200103088	10/31/2019	USD	75.00	DAVID WHEELER'S PEST CONTROL, NORCO CA	11/07/2019
230593	2200103112	10/31/2019	USD	5,813.50	DEGRAVE COMMUNICATIONS INC MURRIETA CA	11/05/2019
230594	2200103052	10/31/2019	USD	6,628.82	DELL MARKETING L P PASADENA CA	11/04/2019
230595	2200103125	10/31/2019	USD	299.00	DIAZ, LUCIA CHINO HILLS CA	11/04/2019
230596	2200103105	10/31/2019	USD	6,161.91	DORGAN LEGAL SERVICES LLP PASADENA CA	11/12/2019
230597	2200103129	10/31/2019	USD	299.00	DYER, DANIEL CHINO HILLS CA	11/06/2019
230598	2200103066	10/31/2019	USD	1,855.03	E Z PARTY RENTALS POMONA CA	11/08/2019
230599	2200103067	10/31/2019	USD	733.00	E Z PARTY RENTALS POMONA CA	11/08/2019
230600	2200103092	10/31/2019	USD	9,230.00	EUROFINS EATON ANALYTICAL LLC GRAPEVINE TX	11/05/2019
230601	2200103063	10/31/2019	USD	303.99	EXPERESS PIPE & SUPPLY INC ANAHEIM CA	11/04/2019
230602	2200103041	10/31/2019	USD	2,993.57	FISHER SCIENTIFIC LOS ANGELES CA	11/04/2019

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230603	2200103090	10/31/2019	USD	1,536.00	GHD PASADENA CA	11/06/2019	
230604	2200103072	10/31/2019	USD	270.00	GOLDEN STATE LABOR COMPLIANCE PALMDALE CA	11/18/2019	
230605	2200103049	10/31/2019	USD	580.00	GOVERNMENT FINANCE OFFICERS ASCHICAGO IL	11/06/2019	
230606	2200103048	10/31/2019	USD	1,512.30	GRAINGER PALATINE IL	11/05/2019	
230607	2200103094	10/31/2019	USD	1,725.00	HARPER & ASSOCIATES ENGINEERINGCORONA CA	11/22/2019	
230608	2200103119	10/31/2019	USD	511.48	HOBBS, DIANA APPLE VALLEY CA	11/06/2019	
230609	2200103042	10/31/2019	USD	1,337.70	HOME DEPOT CREDIT SERVICES PHOENIX AZ	11/05/2019	
230610	2200103117	10/31/2019	USD	511.48	HORNE, WILLIAM YUCCA VALLEY CA	11/04/2019	
230611	2200103104	10/31/2019	USD	9,900.00	INTERA INCORPORATED AUSTIN TX	11/05/2019	
230612	2200103057	10/31/2019	USD	5,000.00	J WILLIAM MURPHY COMPANY INC ONTARIO CA	10/31/2019	
230613	2200103123	10/31/2019	USD	299.00	LAU, ALAN CHINO HILLS CA	11/06/2019	
230614	2200103133	10/31/2019	USD	61.48	LIN, EDDIE CHINO HILLS CA	11/15/2019	
230615	2200103118	10/31/2019	USD	806.38	MILLER, ELMER L BLUE JAY CA	11/06/2019	
230616	2200103134	10/31/2019	USD	299.00	MONFORE, KENNETH CHINO HILLS CA	11/18/2019	
230617	2200103107	10/31/2019	USD	18,609.60	MYERS AND SONS CONSTRUCTION LPSACRAMENTO CA	11/05/2019	
230618	2200103058	10/31/2019	USD	190.00	NATIONAL INSTITUTE OF GOVERNMEHERNDON VA	11/08/2019	
230619	2200103127	10/31/2019	USD	254.21	NOH, BRIAN CHINO HILLS CA	11/01/2019	
230620	2200103040	10/31/2019	USD	638.66	OFFICE DEPOT PHOENIX AZ	11/06/2019	
230621	2200103051	10/31/2019	USD	1,800.00	ONTARIO FIRE EXTINGUISHER CO ONTARIO CA	11/18/2019	
230622	2200103099	10/31/2019	USD	7,247.73	PACIFIC COURIERS INC EL MONTE CA	11/05/2019	
230623	2200103081	10/31/2019	USD	1,559.93	PATTEN SYSTEMS INC HUNTINGTON BEACH CA	11/12/2019	
230624	2200103046	10/31/2019	USD	564.85	PETTY CASH EXPENDITURES CHINO CA	11/07/2019	
230625	2200103128	10/31/2019	USD	279.43	POMPA, JESSE CHINO HILLS CA	11/01/2019	
230626	2200103071	10/31/2019	USD	361.87	PONTON INDUSTRIES INC YORBA LINDA CA	11/14/2019	
230627	2200103039	10/31/2019	USD	7.54	REM LOCK & KEY ONTARIO CA	11/07/2019	
230628	2200103062	10/31/2019	USD	375.30	RED WING BUSINESS ADVANTAGE DALLAS TX	11/06/2019	
230629	2200103065	10/31/2019	USD	163.28	RESTEK CORP LANCASTER PA	11/05/2019	
230630	2200103076	10/31/2019	USD	3,727.07	RMC WATER AND ENVIRONMENT BOSTON MA	11/05/2019	
230631	2200103124	10/31/2019	USD	115.00	SAYAVONG, KEVIN CHINO HILLS CA	11/08/2019	
230632	2200103126	10/31/2019	USD	1,607.89	SCHERCK, JOHN CHINO HILLS CA	11/05/2019	
230633	2200103101	10/31/2019	USD	304.39	SCP SCIENCE CHAMPLAIN NY	11/21/2019	
230634	2200103131	10/31/2019	USD	299.00	SELIO, RICHARD CHINO HILLS CA	11/07/2019	
230635	2200103106	10/31/2019	USD	990.00	SERGIO D FIERRO HACIENDA HEIGHTS CA	11/06/2019	
230636	2200103130	10/31/2019	USD	299.00	SMITH, JASON D CHINO HILLS CA	11/01/2019	
230637	2200103121	10/31/2019	USD	18.31	SMITH, STEVEN CHINO HILLS CA	11/12/2019	
230638	2200103114	10/31/2019	USD	7,804.30	SO CALIF EDISON ROSEMEAD CA	11/05/2019	
230639	2200103115	10/31/2019	USD	0.75	SO CALIF GAS MONTEREY PARK CA	11/05/2019	
230640	2200103135	10/31/2019	USD	37.70	SOATELLO, SCOTT CHINO HILLS CA		
230641	2200103078	10/31/2019	USD	1,234.50	STANTEC CONSULTING INC CHICAGO IL	11/04/2019	
230642	2200103095	10/31/2019	USD	10,962.50	STATEWIDE TRAFFIC SAFETY AND SPASADENA CA	11/04/2019	
230643	2200103103	10/31/2019	USD	322.20	STORETRIEVE LLC PASADENA CA	11/04/2019	
230644	2200103047	10/31/2019	USD	429.57	SUNSHINE FILTERS OF PINELLAS ILARGO FL	11/06/2019	
230645	2200103098	10/31/2019	USD	40.00	THE SHREDDERS LOS ANGELES CA	11/14/2019	
230646	2200103110	10/31/2019	USD	3,250.87	THE SOLIS GROUP PASADENA CA	11/12/2019	

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 Company code 1000

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230647	2200103113	10/31/2019	USD	4,900.56	THERMOMEGATECH INC WARMINSTER PA	11/06/2019
230648	2200103085	10/31/2019	USD	22,857.50	THOMAS HARDER & CO INC ANAHEIM CA	11/12/2019
230649	2200103097	10/31/2019	USD	5,908.03	TITUS INDUSTRIAL GROUP INC PRINEVILLE OR	11/05/2019
230650	2200103079	10/31/2019	USD	1,445,878.29	TRADIWEIN CONSTRUCTION INC RANCHO CUCAMONGA CA	11/06/2019
230651	2200103096	10/31/2019	USD	232.29	TRIEPEI SMITH AND ASSOCIATES, IRVINE CA	11/06/2019
230652	2200103083	10/31/2019	USD	22,773.78	U S BANK ST LOUIS MO	11/07/2019
230653	2200103043	10/31/2019	USD	869.99	UNDERGROUND SERVICE ALERT/SC CORONA CA	11/15/2019
230654	2200103074	10/31/2019	USD	145.47	URIMAGE BLOOMINGTON CA	11/06/2019
230655	2200103080	10/31/2019	USD	5,176.31	V & A CONSULTING ENGINEERS OAKLAND CA	11/05/2019
230656	2200103045	10/31/2019	USD	6,738.53	VALVE AUTOMATION & CONTROLS ATLANTA GA	11/04/2019
230657	2200103064	10/31/2019	USD	1,026.27	VERIZON WIRELESS DALLAS TX	11/12/2019
230658	2200103102	10/31/2019	USD	15,452.89	VIRAMONTES EXPRESS INC CORONA CA	11/08/2019
230659	2200103070	10/31/2019	USD	564.59	W A RASIC CONSTRUCTION CO INC LONG BEACH CA	11/05/2019
230660	2200103100	10/31/2019	USD	61,640.00	WALLACE & ASSOCIATES CONSULTINPARK CITY UT	11/05/2019
230661	2200103060	10/31/2019	USD	4,422.90	WASTE MANAGEMENT OF LOS ANGELES CA	11/06/2019
230662	2200103050	10/31/2019	USD	858.00	WATER ENVIRONMENT FEDERATION BALTIMORE MD	11/08/2019
230663	2200103111	10/31/2019	USD	7,817.00	WATER SYSTEMS CONSULTING INC SAN LOUIS OBISPO CA	11/13/2019
230664	2200103109	10/31/2019	USD	850.00	WATER WISE PRO TRAINING WATSONVILLE CA	
230665	2200103108	10/31/2019	USD	9,173.75	WESTLAND GROUP INC ONTARIO CA	11/04/2019
230666	2200103075	10/31/2019	USD	1,391.20	WESTMARK SALES INC LOS ANGELES CA	11/06/2019
230667	2200103073	10/31/2019	USD	251.43	WORLDWIDE EXPRESS ALBANY NY	
230668	2200103122	10/31/2019	USD	64.30	ZIEGENBEIN, JEFF CHINO HILLS CA	
230670	2200103136	10/31/2019	USD	179,636.16	MYERS AND SONS CONSTRUCTION LPSACRAMENTO CA	11/05/2019
* Payment method Check			USD	4,813,790.18		

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 CBB Disbursement Account-October 2019

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Bank	CBB	CITIZENS BUSINESS BANK					ONTARIO CA 917610000
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Separate Check							
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230669	2200103055	10/31/2019	USD	192.00	CALIF WATER ENVIRONMENT ASSOC OAKLAND CA	11/14/2019	
* Payment method Separate Check			USD	192.00			

Total of all entries

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CEB Disbursement Account-October 2019

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Check number from to	Payment	Pmnt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
**			USD	4,813,982.18		

Attachment 2B

Workers' Comp Checks

Inland Empire Util. Agency
 Chino, CA
 Company code 1000

Check Register
 CEB Workers Comp Account-October 2019

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Bank Key		122234149					
Acct number		WCOMP 231159290					
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05227		2200103144	10/02/2019	USD	586.18	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/07/2019
05228		2200103145	10/02/2019	USD	12.00	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/08/2019
05229		2200103146	10/02/2019	USD	37.97	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/08/2019
05230		2200103284	10/09/2019	USD	250.00	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/09/2019
05231		2200103285	10/09/2019	USD	828.10	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/15/2019
05232		2200103286	10/09/2019	USD	189.88	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/15/2019
05233		2200103287	10/09/2019	USD	301.36	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/17/2019
05234		2200103288	10/09/2019	USD	154.19	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/15/2019
05235		2200103289	10/09/2019	USD	382.76	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/15/2019
05236		2200103290	10/16/2019	USD	212.79	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/21/2019
05237		2200103291	10/16/2019	USD	95.00	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/25/2019
05238		2200103292	10/16/2019	USD	435.00	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/25/2019
05239		2200103293	10/16/2019	USD	943.71	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/25/2019
05240		2200103294	10/16/2019	USD	11.71	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/25/2019
05241		2200103295	10/16/2019	USD	110.30	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/25/2019
05242		2200103296	10/16/2019	USD	1,573.70	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/25/2019
05244		2200103303	10/23/2019	USD	209.50	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/30/2019
05245		2200103304	10/23/2019	USD	222.50	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/28/2019
05246		2200103305	10/23/2019	USD	199.64	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	10/30/2019
05247		2200103306	10/30/2019	USD	176.44	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	11/05/2019
05248		2200103307	10/30/2019	USD	640.28	YORK RISK SERVICES GROUP RANCHO CUCAMONGA CA	11/05/2019
* Payment method Checks created manually				USD	7,573.01		

Total of all entries

Check Register
CBB Workers Comp Account-October 2019

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User: CCAMPEL
Page: 2

Check number from to	Payment	Pmt date	Crcy	Amount paid (FC)	Recipient/void reason code	Enca./void
**			USD	7,573.01		

Attachment 2C

Vendor ACHs

Check	Payee / Description	Amount
ACH	AQUA BEN CORPORATION RP1-23,000 Lbs Hydrofloc 750A 39489 28,499.88 DAFT-13,800 Lbs Hydrofloc 748E 34988 13,085.16 RP2-23,000 Lbs Hydrofloc 748E 39463 21,808.60 AQUA BEN CORPORATION \$ 63,393.64	
ACH	HASCO OIL COMPANY, INC. Mobil SHC 630, Mobil SHC 629, Mobil Grea 0228426-IN 10,623.34 Shell Turbo T 46 0228496-IN 1,283.24 HASCO OIL COMPANY, INC. \$ 11,906.58	
ACH	NAPA GENUINE PARTS COMPANY Windshield Wash, Purple Power 3973-001517 98.98 Battery Maint Charger 4584-287240 64.63 NAPA GENUINE PARTS COMPANY \$ 163.61	
ACH	ROYAL INDUSTRIAL SOLUTIONS Fluorescent Ballasts 6046-672662 45.60 Clamp Backs, Clamps 6046-672431 99.42 Cord Connectors 6046-672983 16.49 Power Supply, Fish Tape, Enclosure, Panel, T 6046-672511 1,420.91 Coated Conduit, Hubs, Wire 6046-672760 1,074.42 Fluorescent Lamps 6046-672525 83.70 Time Delay Fuses 6046-672790 820.19 KO Seals, LT Connectors, TermBlocks, DIN Ra 6046-672954 359.30 Fluorescent Lamps 6046-672245 32.22 Wire, Deluxe Cord Grips 6046-672759 853.99 Wire, Mini Circuit Breaker, Relay, Socket, P 6046-672582 238.97 ROYAL INDUSTRIAL SOLUTIONS \$ 5,045.21	
ACH	WEST VALLEY MOSQUITO AND 7/19 Mosquito Control f/WW Trtmnt Facili 2499 806.71 WEST VALLEY MOSQUITO AND \$ 806.71	
ACH	ACCUSTANDARD INC Volatile Organic, Custom Method, Purgeable 905013 1,608.13 ACCUSTANDARD INC \$ 1,608.13	
ACH	CAROLLO ENGINEERS EN24001/EN24002-8/2019 Professional Svcs 0180134 9,501.57 RW15004/RW15003-8/2019 Professional Svcs 0180012 3,394.05 EN19001/EN19006-8/2019 Professional Svcs 0180132 26,946.70 CAROLLO ENGINEERS \$ 39,842.32	
ACH	MCMASTER-CARR SUPPLY CO SS Stud Concrete Anchors 16738723 371.74 SS Plugs, Hex Bit Socket 16973607 223.35 MCMASTER-CARR SUPPLY CO \$ 595.09	
ACH	NATIONAL BUSINESS INVESTIGATIO	

Check	Payee / Description	Amount
	Employee Background Chk JJS,SS,NES IEUA-1076	478.15
	NATIONAL BUSINESS INVESTIGATIO\$	478.15
ACH	HACH COMPANY	
	Black Metalized Mylar Bag 11626318	101.36
	Pole Mounting Hardware Solids,Extention 11626312	938.35
	Controller,Pole Mounting Hardware Solids 11626311	3,103.41
	HACH COMPANY \$	4,143.12
ACH	O S T S INC	
	8/21 CPR/First Aid/AED/BBP Oxygen Trng f 51139	1,150.00
	8/22 CCO Practical Exam Trng/Exams/App F 51105	2,220.00
	8/8 RCRA Trng f/14 51018	750.00
	O S T S INC \$	4,120.00
ACH	INSIDE PLANTS INC	
	FcltyMgt/Lab-9/19 Indoor Plant Care 76350	636.00
	INSIDE PLANTS INC \$	636.00
ACH	IN-SITU INC	
	GWOps-Aq Trll-500 Snrsr,Lw Flw Kts,Wrls 00128501	21,764.16
	IN-SITU INC \$	21,764.16
ACH	NATIONAL CONSTRUCTION RENTALS	
	9/6/19-10/3/19 Potty/Handicap Potty/Sink 5490433	994.80
	RP1-8/15/19-9/11/19 Toilet,Handwash 5469374	211.55
	NATIONAL CONSTRUCTION RENTALS \$	1,206.35
ACH	SUNGARD AVAILABILITY SERVICES	
	10/19 Disaster Recovery Svc 152724352	4,152.00
	SUNGARD AVAILABILITY SERVICES \$	4,152.00
ACH	PFM ASSET MANAGEMENT LLC	
	8/1-8/31 Investment Portfolio Mgmt Svcs SMA-M0819-122	6,306.23
	PFM ASSET MANAGEMENT LLC \$	6,306.23
ACH	CRB SECURITY SOLUTIONS	
	HQA-Install Video Camera at HR 13828	3,175.74
	CRB SECURITY SOLUTIONS \$	3,175.74
ACH	UPS PROTECTION INC	
	RP1 Replace APC 7 Battery Cartridges 1905004	3,446.97
	UPS PROTECTION INC \$	3,446.97
ACH	ELECTRO-CHEMICAL DEVICES, INC	
	CL2 Sensor, S80 Sensor 68932	2,311.63
	ELECTRO-CHEMICAL DEVICES, INC \$	2,311.63

Check	Payee / Description	Amount
ACH	MICROAGE 698-2700MHZ=12IN RF-195 Wireless Cell Modem,10' TWS195 NM SM	2074061 186.04 2074133 941.45 ----- MICROAGE \$ 1,127.49
ACH	ARCADIS U.S., INC. EN19001-7/2019 Professional Services	34119382 40,000.00 ----- ARCADIS U.S., INC. \$ 40,000.00
ACH	JEREMY HARRIS CONSTRUCTION, IN EtwndBsn-Mvng Orgnc Slt Mtrl OnSt,Rppng/ 3167	60,755.31 ----- JEREMY HARRIS CONSTRUCTION, IN\$ 60,755.31
ACH	ECOTECH SERVICES INC 8/19 Residential Home Pressure Regulatio 1512	17,366.75 ----- ECOTECH SERVICES INC \$ 17,366.75
ACH	U S BANK - PAYMENT PLUS 100163 J G TUCKER & SON INC 107432 PMC ENGINEERING LLC 105213 BAVCO 105133 BIRMINGHAM CONTROLS INC 100163 J G TUCKER & SON INC 100150 HARRINGTON INDUSTRIAL PLASTICS LL 100319 MISSION REPROGRAPHICS 100150 HARRINGTON INDUSTRIAL PLASTICS LL 107522 LINDSAY ENGINEERING, INC. 100150 HARRINGTON INDUSTRIAL PLASTICS LL	2200101740 191.33 2200101700 697.33 2200101699 269.95 2200101966 1,024.79 2200101965 591.31 2200101964 2,392.67 2200101698 1,624.87 2200101697 3,740.44 2200101416 127.90 2200101802 2,566.19 ----- U S BANK - PAYMENT PLUS \$ 13,226.78
ACH	AMAZON BUSINESS Emergency Light Fixture Labeling Tape Cartridge Fuel Blower Kit Rotating Vise Not Available Rotating Vise	19T1-H4N6-KF1 74.20 1RPT-G1Y9-3J9 21.98 17JD-JFKG-VDW 615.35 1KVG-6T16-H9F 105.86 1WPC-RRV9-1R7 105.86 ----- AMAZON BUSINESS \$ 711.53
ACH	SHELL ENERGY NORTH AMERICA LP CCWRP/TP/RWP-8/1-8/31 14950 Tlphn 5/1-5/ RP2/RP5-8/1-8/31 16400 El Prado Rd 5/1-5 RP1-8/1-8/31 2450 Phila St 5/1-5/31 Adj	2046 8/19 43,250.68 2044 8/19 7,865.16 2042 8/19 119,911.45 ----- SHELL ENERGY NORTH AMERICA LP \$ 171,027.29
ACH	HALL, JASMIN Reim-Parking For NAWBO Event	9/19/19 PARKI 16.00 ----- HALL, JASMIN \$ 16.00
ACH	ROYAL INDUSTRIAL SOLUTIONS Switches, Contact Blocks, Nameplates KO Seals	6046-673199 606.59 6046-673579 79.78

Check	Payee / Description	Amount
	ReversingContactors, ContactBlocks, Overlo	6046-673578 1,331.36
	Wire	6046-673577 45.08
	Glove Kits, Gloves	6046-673409 1,020.12
	Junction Boxes	6046-670524 2,250.90
	Hard Hat Face Shield ARC Light Kits	6046-671625 2,649.14
	Vinyl Color Coding Tape, Reducers, Wire Co	6046-673530 194.36
	Wire, Pull Line	6046-673294 383.85
	Fluorescent Lamps	6046-673119 32.22
	Patch Pint	6046-673111 75.37
	Fuse	6046-672792 268.30
	Fuse	6046-672791 277.98
	Analog Input, Cable Assy, Module Interface	6046-672524 2,069.52
	Angles	6046-672953 121.28
	Nipple Chuck Kit	6046-672947 569.45
	Term Blocks, Vinyl & Rubber Tape, Anchors,	6046-673127 269.97
	Solinoids	6046-673080 1,233.97
	Circuit Breaker	6046-673138 258.19
	DriveTools Programming Software	6046-673442 2,230.66
	HID Lamps	6046-673274 247.87
		- - - - -
	ROYAL INDUSTRIAL SOLUTIONS	\$ 16,215.96
ACH	RSD	
	Pleated Filters	55308186-00 393.33
	Pleated Filters	55308188-00 786.66
	Pleated Filters, Super Gripbelts	55308199-00 100.32
	Pleated Filters, Super Gripbelts	55308291-00 49.14
	Valve Rebuild Kits	55306936-00 488.02
		- - - - -
	RSD	\$ 1,817.47
ACH	JC LAW FIRM	
	8/19 SAWPA General	00626 3,495.00
	8/19 Regional Contract	00625 7,615.00
	8/19 General Legal	00624 29,345.00
	8/19 IEUA vs Spicer-EN17018	00627 6,930.00
	8/19 Watermaster	00628 840.00
	8/19 Kaiser vs CBWCD (Ely Basin)	00629 870.00
	8/19 San Bernardino Transit Auth vs IEUA	00630 1,585.00
	8/19 San Bernardino Transt Auth vs IEUA	00631 1,445.00
	8/19 San Bernardino Transt Auth vs IEUA	00632 1,470.00
	8/19 San Bernardino Transit Auth vs IEUA	00633 1,025.00
	8/19 San Bernardino Transit Auth vs IEUA	00634 60.00
		- - - - -
	JC LAW FIRM	\$ 54,680.00
ACH	PREFERRED BENEFIT INSURANCE	
	9/19 Agency Dental Plan	EIA29611 17,385.50
		- - - - -
	PREFERRED BENEFIT INSURANCE	\$ 17,385.50
ACH	ELIE, STEVE	
	Elie, S-ExpRpt 9/25/19 Sac	9/25/19 SAC 40.14
		- - - - -
	ELIE, STEVE	\$ 40.14
ACH	PARKER, KATI	
	Parker, K-ExpRpt 9/25/19 Sac	9/25/19 SAC 24.82
		- - - - -

Check	Payee / Description	Amount
	PARKER, KATI	\$ 24.82
ACH	HALL, JASMIN Hall,J-ExpRpt-9/21-9/26/19 WEFTEC 9/21/19 WEFTE	2,006.65
	HALL, JASMIN	\$ 2,006.65
ACH	ICMA RETIREMENT TRUST 457 P/R 21 10/11/19 Deferred Comp HR 0083200	19,070.86
	ICMA RETIREMENT TRUST 457	\$ 19,070.86
ACH	LINCOLN NATIONAL LIFE INS CO P/R 21 10/11/19 Deferred Comp HR 0083200	22,339.43
	LINCOLN NATIONAL LIFE INS CO	\$ 22,339.43
ACH	ICMA RETIREMENT TRUST 401 P/R 21 10/11/19 Exec Deferred Comp HR 0083200	5,567.74
	ICMA RETIREMENT TRUST 401	\$ 5,567.74
ACH	PATTON SALES CORP Rectanglular Tubes 3828263	224.55
	PATTON SALES CORP	\$ 224.55
ACH	SANTA ANA WATERSHED August 2019 Truck Discharge 9582 3,074.21 August 2019 Service 9588 97,852.82	100,927.03
	SANTA ANA WATERSHED	\$ 100,927.03
ACH	WAXIE SANITARY SUPPLY Towels,Toilet Paper,Push Brooms,Seat Cov 78505577	1,841.50
	WAXIE SANITARY SUPPLY	\$ 1,841.50
ACH	TELL STEEL INC Alum Floor Plates 19-0053025	1,878.37
	TELL STEEL INC	\$ 1,878.37
ACH	AMERICAN COMPRESSOR CO Blower Left Shaft 190793-B	3,482.47
	AMERICAN COMPRESSOR CO	\$ 3,482.47
ACH	CAROLLO ENGINEERS 4600002677-8/2019 Professional Services 0180528 9,730.95 EN17110-8/2019-Professional Srvs 0180527 16,439.63 EN17049-8/2019 Professional Svcs 0180202 13,549.45	39,720.03
	CAROLLO ENGINEERS	\$ 39,720.03
ACH	U S HOSE INC Hex Nipples 0135546-IN	86.20
	U S HOSE INC	\$ 86.20

Check	Payee / Description	Amount
ACH	NATIONAL BUSINESS INVESTIGATIO Employee Background Chk DP,CD,SR IEUA-1077	553.18
	NATIONAL BUSINESS INVESTIGATIO\$	553.18
ACH	INLAND EMPIRE REGIONAL 9/19 Biosolids 90025113 314,487.04 8/19 Biosolids 90024918 315,713.44	
	INLAND EMPIRE REGIONAL \$	630,200.48
ACH	HACH COMPANY Process Instrument Verification Training 11563989	750.00
	HACH COMPANY \$	750.00
ACH	HACH COMPANY Inv-Kits-Sensor Cap Replacements 11631853 812.09 Inv-Kits-Sensor Cap Replacements 11638292 1,689.52 EP20003-Immersion Wiper 11629326 4,646.23 EP20003-Immersion Wiper 11629325 4,646.23	
	HACH COMPANY \$	11,794.07
ACH	INNOVATIVE FEDERAL STRATEGIES 9/19 Prof Svcs 092019 8,000.00 7/19 Prof Svcs 072019 8,000.00	
	INNOVATIVE FEDERAL STRATEGIES \$	16,000.00
ACH	CS-AMSCO 3 Dezurik Valves 15201 3,385.27	
	CS-AMSCO \$	3,385.27
ACH	NATIONAL CONSTRUCTION RENTALS RP1-9/12/19-10/9/19 Toilet,Handwash 5497840 211.55	
	NATIONAL CONSTRUCTION RENTALS \$	211.55
ACH	OLIN CORP RP4-4,878 Gals Sodium Hypochlorite 2732622 3,921.91 RP5-4,898 Gals Sodium Hypochlorite 2732625 3,937.99 TP1-4,882 Gals Sodium Hypochlorite 2732626 3,925.13 TP1-4,862 Gals Sodium Hypochlorite 2732624 3,909.05 CCWRP-4,840 Gals Sodium Hypochlorite 2732623 3,891.36 TP1-4,894 Gals Sodium Hypochlorite 2733122 3,934.78 CCWRP-4,854 Gals Sodium Hypochlorite 2733123 3,902.62 TP1-4,888 Gals Sodium Hypochlorite 2733531 3,929.95 TP1-4,984 Gals Sodium Hypochlorite 2733680 4,007.14 RP2-3,014 Gals Sodium Hypochlorite 2734014 2,576.97 CCWRP-4,942 Gals Sodium Hypochlorite 2734661 3,973.37 TP1-4,840 Gals Sodium Hypochlorite 2735227 3,891.36 RP5-4,862 Gals Sodium Hypochlorite 2735228 3,909.05 RP4-4,918 Gals Sodium Hypochlorite 2735229 3,954.07 RP5-4,762 Gals Sodium Hypochlorite 2735230 4,071.51 TP1-4,828 Gals Sodium Hypochlorite 2735729 3,881.71 TP1-4,846 Gals Sodium Hypochlorite 2732621 3,896.18	

Check	Payee / Description	Amount
	TP1-4,842 Gals Sodium Hypochlorite 2737117	3,892.97
	TP1-4,638 Gals Sodium Hypochlorite 2736811	3,965.49
	TP1-4,822 Gals Sodium Hypochlorite 2736264	3,876.89
	CCWRP-4,852 Gals Sodium Hypochlorite 2736265	3,901.01
	OLIN CORP \$	81,150.51
ACH	CONSERV CONSTRUCTION INC	
	WR20019-9/2019 Professional Services 1521	41,674.50
	WR19006-9/2019 Professional Services 1522	23,515.10
	CONSERV CONSTRUCTION INC \$	65,189.60
ACH	ELECTRO-CHEMICAL DEVICES, INC	
	2 DPC80 Tube Assemblies 68962	300.42
	ELECTRO-CHEMICAL DEVICES, INC \$	300.42
ACH	MICROAGE	
	2 Cisco Power Supplies 2075138	985.60
	MICROAGE \$	985.60
ACH	JEREMY HARRIS CONSTRUCTION, IN	
	TrnrBsns-Rmv/Dsps of Dbrs Insd of Drp In 3165	11,603.00
	JEREMY HARRIS CONSTRUCTION, IN\$	11,603.00
ACH	MANAGED MOBILE INC	
	Repair Parts/Labor for Agency Vehicles IN00-0181349	201.86
	MANAGED MOBILE INC \$	201.86
ACH	AMAZON BUSINESS	
	Rotary Hammer Bits 1YDC-JDFQ-3XV	226.70
	Electric Tool-See Credit Memo 14R3-XT7K- 1HK3-6NKY-CHX	631.89
	Credit f/Electric Tool-Inv 1HK3-6NKY-CHX 14R3-XT7K-DV9	578.03
	10 Batteries 1LR3-V14L-4HC	2.75
	Jaw Insert,Reamer Cone,Pipe Cutter Wheel 1QJQ-HJDL-4GP	346.83
	AMAZON BUSINESS \$	630.14
ACH	SOLAR STAR CALIFORNIA V LLC	
	RP1-8/1-8/31 2450 Phila St E02M0615-3004	18,771.65
	RP1-8/1-8/31 2450 Phila St E02M0616-3004	4,236.46
	RP5/TP5/HQA/B-8/1-8/31 6075 Kimball Ave E02M0614-3004	25,658.77
	SOLAR STAR CALIFORNIA V LLC \$	48,666.88
ACH	IEUA EMPLOYEES' ASSOCIATION	
	P/R 21 10/11/19 Employee Ded HR 0083200	213.00
	P/R DIR 10 10/11/19 Employee Ded HR 0083100	12.00
	IEUA EMPLOYEES' ASSOCIATION \$	225.00
ACH	IEUA SUPERVISORS UNION ASSOCIA	
	P/R 21 10/11/19 Employee Ded HR 0083200	330.00
	IEUA SUPERVISORS UNION ASSOCIA\$	330.00

Check	Payee / Description	Amount
ACH	IEUA GENERAL EMPLOYEES ASSOCIA P/R 21 10/11/19 Employee Ded	HR 0083200 1,069.10
	IEUA GENERAL EMPLOYEES ASSOCIA\$	1,069.10
ACH	IEUA PROFESSIONAL EMPLOYEES AS P/R 21 10/11/19 Employee Ded	HR 0083200 510.00
	IEUA PROFESSIONAL EMPLOYEES AS\$	510.00
ACH	DISCOVERY BENEFITS INC P/R 21 10/11/19 Cafeteria Plan	HR 0083200 4,017.45
	DISCOVERY BENEFITS INC \$	4,017.45
ACH	PATRICK W HUNTER P/R 21 10/11/19	HR 0083200 248.50
	PATRICK W HUNTER \$	248.50
ACH	ELIE, STEVE MlgReim-9/19 Meetings-Elie,S	MLG 9/19 72.21
	ELIE, STEVE \$	72.21
ACH	HALL, JASMIN MlgReim-9/19 Meetings-Hall,J MlgReim-9/19 Meetings-Hall,J	MLG 9/19A 201.84 MLG 9/19B 91.70
	HALL, JASMIN \$	293.54
ACH	PARKER, KATI MlgReim-9/19 Meetings-Parker,K	MLG 9/19 86.25
	PARKER, KATI \$	86.25
ACH	AQUA BEN CORPORATION RP1-23,000 Lbs Hydrofloc 750A DAFT-4,600 Lbs Hydrofloc 748E	39547 28,499.88 39546 4,361.72
	AQUA BEN CORPORATION \$	32,861.60
ACH	NAPA GENUINE PARTS COMPANY Harness	287287 27.33
	NAPA GENUINE PARTS COMPANY \$	27.33
ACH	ROYAL INDUSTRIAL SOLUTIONS Transformer, Circuit Breaker, Wire, Connecto Ground Rod LED GFCIs, GFCI Receptacle Covers EN19001-8/10-9/9 Project Mgmt/Onsite Eng Locknuts Liq-Tite, Conduit Bodies, Connectors, Junct Device Boxes, Covers, Connectors, Plugs, Red Conduit Clamps, Vinyl Tape, Connectors, Nip Nonfusible HD Switches Wire, Transformer, Conduit, Circuit Breaker	6046-673339 5,864.02 6046-673846 30.54 6046-673634 153.04 6046-673646 3,247.43 6046-673460 1.78 6046-673310 307.36 6046-672176 1,198.23 6046-673307 613.53 6046-673510 1,831.73 6046-673657 6,740.13

Check	Payee / Description	Amount
	Clamps, Channels, Hubs, Couplings, Bodies, Vi UPSs, Vinyl Tape	203.43 1,558.40
	6046-673740 6046-673538	
	ROYAL INDUSTRIAL SOLUTIONS \$	21,749.62
ACH	UNIVAR USA INC RP5-12,153 Lbs Sodium Bisulfite PradoLS-12,055 Lbs Sodium Bisulfite RP5-12,161 Lbs Sodium Bisulfite TP1-12,118 Lbs Sodium Bisulfite	2,833.78 2,810.79 2,835.51 2,825.58
	LA753318 LA752129 LA751002 LA753899	
	UNIVAR USA INC \$	11,305.66
ACH	WAXIE SANITARY SUPPLY Paper Towels Cups, Lids Wire Brushes	75.45 1,779.00 19.72
	78522635 78533206 78515698	
	WAXIE SANITARY SUPPLY \$	1,874.17
ACH	WEST VALLEY MOSQUITO AND 8/19 Mosquito Control f/WW Trtmt Facili 2502	572.53
	WEST VALLEY MOSQUITO AND \$	572.53
ACH	PACIFIC PARTS & CONTROLS Prox Switches	3,703.37
	I430961	
	PACIFIC PARTS & CONTROLS \$	3,703.37
ACH	R F MACDONALD RP1 Boiler #2 Semi-Annual Cleaning	4,457.00
	281183	
	R F MACDONALD \$	4,457.00
ACH	BLACK & VEATCH CORPORATION EN17044-8/2019 Professional Services	27,303.75
	1304077	
	BLACK & VEATCH CORPORATION \$	27,303.75
ACH	ACCUSTANDARD INC Performance Check Std, Volatile Organic C	601.17
	906041	
	ACCUSTANDARD INC \$	601.17
ACH	THATCHER COMPANY OF CALIFORNIA CCWRP-44,040 Lbs Aluminum Sulfate RP4-45,760 Lbs Aluminum Sulfate RP1-44,820 Lbs Aluminum Sulfate	3,566.87 3,706.17 3,647.80
	268045 268046 268615	
	THATCHER COMPANY OF CALIFORNIA \$	10,920.84
ACH	CAROLLO ENGINEERS EN17110-7/2019-Professional Srvs	5,249.00
	0179658	
	CAROLLO ENGINEERS \$	5,249.00
ACH	MCMMASTER-CARR SUPPLY CO Motor Switch, Cord Grip, Locknut, O-Ring	115.09
	18542829	

Check	Payee / Description		Amount
	MCMASTER-CARR SUPPLY CO	\$	115.09
ACH	TOM DODSON & ASSOCIATES		
	WR15021-8/20-8/22 Prof Svcs	IE-320 19-3	1,534.42
	WR15021-8/5-8/19 Prof Svcs	IE-320 19-2	4,698.04
	EN17049-8/12-9/6 Prof Svcs	IE-318 19-4	8,625.77
	TOM DODSON & ASSOCIATES	\$	14,858.23
ACH	HACH COMPANY		
	RP1Mnt-Door Assy Kits	11633437	296.83
	RP1Mnt-Demal KCL Solution	11645040	276.24
	HACH COMPANY	\$	573.07
ACH	INNOVATIVE FEDERAL STRATEGIES		
	10/19 Prof Svcs	102019	8,000.00
	8/19 Prof Svcs	082019	8,526.08
	INNOVATIVE FEDERAL STRATEGIES	\$	16,526.08
ACH	STANEK CONSTRUCTORS INC		
	EN14042-9/19 Pay Est 9	PE 9-EN14042	25,250.60
	STANEK CONSTRUCTORS INC	\$	25,250.60
ACH	DAVE'S PLUMBING		
	1 Water Softener Removal 10/2019	5227	245.00
	1 Water Softener Removal 10/2019	5226	245.00
	DAVE'S PLUMBING	\$	490.00
ACH	ELECTRO-CHEMICAL DEVICES, INC		
	Total Chlorine Analyzer	69005	4,546.50
	ELECTRO-CHEMICAL DEVICES, INC	\$	4,546.50
ACH	V3IT CONSULTING INC		
	SAP BASIS August 2019 Support	V3IT2019IEUA0	6,184.00
	V3IT CONSULTING INC	\$	6,184.00
ACH	FERREIRA COASTAL CONSTRUCTION		
	WR15021-9/19 Pay Est 10	PE 10-WR15021	196,724.91
	FERREIRA COASTAL CONSTRUCTION	\$	196,724.91
ACH	WEST COAST ADVISORS		
	7/19 Prof Svcs	12472	9,800.00
	8/19 Prof Svcs	12496	9,800.00
	9/19 Prof Svcs	12525	9,800.00
	WEST COAST ADVISORS	\$	29,400.00
ACH	PRIORITY BUILDING SERVICES LLC		
	August 2019 Lab Janitorial Service	65592	3,261.54
	PRIORITY BUILDING SERVICES LLC	\$	3,261.54

Check	Payee / Description		Amount
ACH	AMAZON BUSINESS 2 Humidifier Tanks Punch & Die Set, Grease Gun Desktop File, Hardcover Lab Notebook P-Touch Tape, Flash Drive	1HG6-6DP3-GJY 1T9C-CIT6-3JG 1YD4-KHQ7-XFG 1FNL-NVGK-V9C	791.18 451.94 89.06 110.03
	AMAZON BUSINESS	\$	1,442.21
ACH	CDM CONSTRUCTORS INC EN13016.03-9/19 Pay Est 20	PE 20-EN13016	277,273.65
	CDM CONSTRUCTORS INC	\$	277,273.65
ACH	JWC ENVIRONMENTAL LLC RP5-Augers-Rotor Assys, Adapter Gaskets, T RP5-Grinder	99185 99006	29,703.64 40,427.81
	JWC ENVIRONMENTAL LLC	\$	70,131.45
ACH	KEARNS & WEST PL19002-8/19 Prof Svcs	016618	7,391.25
	KEARNS & WEST	\$	7,391.25
ACH	T E ROBERTS INC EN14043-9/19 Pay Est 9	PE 9-EN14043	235,277.58
	T E ROBERTS INC	\$	235,277.58
ACH	SCHULER CONSTRUCTORS INC EN17045-9/19 Pay Est 6	PE 6-EN17045	185,961.78
	SCHULER CONSTRUCTORS INC	\$	185,961.78
ACH	THATCHER ENGINEERING & ASSOC DL37145-8/26-9/4 Prof Svcs	4059	550.00
	THATCHER ENGINEERING & ASSOC	\$	550.00
ACH	CASCADE DRILLING LP RW19002-9/19 Pay Est 7	PE 7-RW19002	47,689.42
	CASCADE DRILLING LP	\$	47,689.42
ACH	WESTERN DENTAL PLAN 9/19 Agency Dental Plan	9/2019	2,254.51
	WESTERN DENTAL PLAN	\$	2,254.51
ACH	DISCOVERY BENEFITS INC September 2019 Admin Fees	000165787-IN	213.75
	DISCOVERY BENEFITS INC	\$	213.75
ACH	Inland Empire Reg. Composting	216359541001 10307229666	39.20 0.01

Check	Payee / Description	Amount
	Inland Empire Reg. Composting \$	39.21
ACH	ICMA RETIREMENT TRUST 457 P/R 22 10/25/19 Deferred Comp	HR 0083300 19,171.58
	ICMA RETIREMENT TRUST 457 \$	19,171.58
ACH	LINCOLN NATIONAL LIFE INS CO P/R 22 10/25/19 Deferred Comp	HR 0083300 22,308.61
	LINCOLN NATIONAL LIFE INS CO \$	22,308.61
ACH	ICMA RETIREMENT TRUST 401 P/R 22 10/25/19 Exec Deferred Comp	HR 0083300 5,573.24
	ICMA RETIREMENT TRUST 401 \$	5,573.24
ACH	AQUA BEN CORPORATION DAFT-4,600 Lbs Hydrofloc 748E RPI-23,000 Lbs Hydrofloc 750A	39580 4,361.72 39581 28,499.88
	AQUA BEN CORPORATION \$	32,861.60
ACH	NAPA GENUINE PARTS COMPANY Battery, Core Deposit Battery, Core Deposit 3 Antifreeze Testers 3 Testers	4584-288702 333.42 4584-288701 195.12 4584-288793 13.87 4584-288705 47.26
	NAPA GENUINE PARTS COMPANY \$	589.67
ACH	ROYAL INDUSTRIAL SOLUTIONS Analog Module Strut Pipe Straps Terminal Blocks, DIN Rail, End Anchors, Cen Ballasts Emerg Lites, Wire, Conduit, Ballasts, Flex C Conduit Bodies Redundancy Module Load Center, Conduit Overload Relays Square Washers, Conduit Bodies, Flex Conne Hole Saw Kit, Conduit Body, Hub, Cover, Gask Light Fixtures, Supports, Conduit, Conduit Class J Fuses LED Drivers LED Drivers Conduit, JackChain, ConduitBodies, Boxes, Co SCANport/DPI/DSI USB Converter Analog Input Modules Cable Cutter, Circuit Breakers Strut Channels, Emerg Lites, Wire, Bushings Light Fixtures Strut Pipe Straps Locknuts Post Base Cable Assembly 15 PanelView Graphic Terminals, 10 Adapte Conduit, Receptacles, Boxes, Screws, Covers,	6046-673930 987.21 6046-670422 116.92 6046-671612 107.71 6046-672135 161.09 6046-672928 1,234.39 6046-673544 150.58 6046-673710 5,490.40 6046-673760 460.21 6046-673957 240.89 6046-673980 254.90 6046-674004 79.11 6046-673916 1,098.87 6046-674101 322.39 6046-673197 117.66 6046-673990 454.97 6046-674041 219.26 6046-674175 455.51 6046-674176 2,436.23 6046-674217 78.04 6046-674232 2,301.02 6046-673870 1,160.10 6046-674322 127.24 6046-673503 5.00 6046-674440 30.98 6046-673915 475.21 6046-673237 67,243.47 6046-674344 508.77

Check	Payee / Description	Amount
	EN19001-9/10-10/9 Project Mgmt/Onsite En 6046-674643	3,247.43
	Plugs,KO Seals,Covers,Couplings,Wallplat 6046-674345	693.52
	Ridgid Unit Die Head & Pipe Reamer 6046-674231	804.05
	DC I/O Module,Analog I/O Module,Power Su 6046-674716	1,502.58
	Selector Switches 6046-674779	261.64
		- - - - -
	ROYAL INDUSTRIAL SOLUTIONS \$	92,827.35
ACH	WAXIE SANITARY SUPPLY	
	Degreaser,Towels,Liners,Bleach,Paper Tow 78547958	6,543.33
		- - - - -
	WAXIE SANITARY SUPPLY \$	6,543.33
ACH	TELL STEEL INC	
	Alum Sheets 19-0054547	306.06
		- - - - -
	TELL STEEL INC \$	306.06
ACH	PACIFIC PARTS & CONTROLS	
	Prox Switches I431052	1,058.11
		- - - - -
	PACIFIC PARTS & CONTROLS \$	1,058.11
ACH	R F MACDONALD	
	RP1 Boiler #1 Annual Cleaning & Tune Up 281670	5,542.00
		- - - - -
	R F MACDONALD \$	5,542.00
ACH	U S HOSE INC	
	Econ Suction Hose,Cam-Lock Adapters,Clam 0135646-IN	1,203.07
		- - - - -
	U S HOSE INC \$	1,203.07
ACH	TOM DODSON & ASSOCIATES	
	P&EC-7/6-7/17 Prof Svcs IE-236 19-2	900.00
	GWR-4/1-7/31 Prof Svcs IE-309 19-2	6,982.50
	EN19010-9/15-9/19 Prof Svcs IE-326 19-1	950.00
	EN19025-9/15-9/19 Prof Svcs IE-327 19-1	950.00
		- - - - -
	TOM DODSON & ASSOCIATES \$	9,782.50
ACH	DLT SOLUTIONS	
	Password Manager Annual Renewal SI450692	518.00
		- - - - -
	DLT SOLUTIONS \$	518.00
ACH	KVAC ENVIRONMENTAL SERVICES IN	
	RP1-5/22-5/23 Vac Pmp/Trnsprt Lqd/Sld Ws KS1905201	6,995.00
		- - - - -
	KVAC ENVIRONMENTAL SERVICES IN\$	6,995.00
ACH	RSD	
	PressureGauge,PleatedFilters,PressureTip 55310012-00	187.12
	Indoor Blower Assembly 55309334-00	1,610.09
	Pleated Filters 55310372-00	786.66
	Rebuild Kits 55309539-00	409.63
	Fan Motor,Fans,Multi Nut Driver,Magnetic 55309518-00	211.27
	Transformer 55308986-00	58.68
	Custom Plenum 55308808-00	440.84

Check	Payee / Description	Amount
	NitrogenTank, RecoveryCylinder, Refrigeran 55309184-00	568.57
	RSD \$	4,272.86
ACH	PEST OPTIONS INC September 2019 Weed Abatement Services 333833	3,925.00
	September 2019 GWR Weed Abatement Servic 333904	8,274.82
	PEST OPTIONS INC \$	12,199.82
ACH	SUNGARD AVAILABILITY SERVICES 11/19 Disaster Recovery Svc 152725589	4,152.00
	SUNGARD AVAILABILITY SERVICES \$	4,152.00
ACH	ALTA FOODCRAFT COFFEE HQB-Coffee, Stirrers 51918982	133.42
	WRHS-Coffee, Sugar 51918983	72.39
	HQA-Coffee, Filters, Stirrers 51918981	132.30
	ALTA FOODCRAFT COFFEE \$	338.11
ACH	DOWNES ENERGY RPI-2,100 Gals Clear Diesel Fuel 0256348-IN	7,186.68
	DOWNES ENERGY \$	7,186.68
ACH	TRICO CORPORATION Oil Analysis P52599	50.00
	TRICO CORPORATION \$	50.00
ACH	EPI-USE LABS LLC 12/23/19-12/22/20 HCM Annual Maintenance INV-US4994	2,304.75
	EPI-USE LABS LLC \$	2,304.75
ACH	EPI-USE AMERICA INC 8/2019 HCM Consulting 263908593	1,550.00
	EPI-USE AMERICA INC \$	1,550.00
ACH	V3IT CONSULTING INC SAP August 2019 Support V3IT2019IEUA0	212.00
	V3IT CONSULTING INC \$	212.00
ACH	PREMIUM PROMOTIONALS 21 Ladies & 12 Mens TShirts w/Imprint 1908100	786.27
	PREMIUM PROMOTIONALS \$	786.27
ACH	AMAZON BUSINESS Flowmeter 1KLJ-R16C-MCR	32.95
	50 Plastic Comb Binding Spines 1D43-31LJ-TCL	28.91
	Memory Foam Wrist Rest 19J1-KVFW-YRK	19.37
	AMAZON BUSINESS \$	81.23

Check	Payee / Description	Amount
ACH	AMERICAN OFFICE PROFESSIONALS 2 HP Toner Cartridges 2306	221.39
	AMERICAN OFFICE PROFESSIONALS \$	221.39
ACH	MEANS CONSULTING LLC PL19005-9/2019 Professional Services IE-5618	810.00
	MEANS CONSULTING LLC \$	810.00
ACH	REDWOOD ENERGY STORAGE LLC 7/19 RP1/RP5/CCWRP Energy Storage System 9 8/19 RP1/RP5/CCWRP Energy Storage System 10 9/19 RP1/RP5/CCWRP Energy Storage System 11	10,460.58 10,458.33 10,458.33
	REDWOOD ENERGY STORAGE LLC \$	31,377.24
ACH	REDWOOD ENERGY STORAGE II LLC 9/7-10/7/19 RP4 Energy Storage System Mg 7 8/7-9/7/19 RP4 Energy Storage System Mgm 6 7/7-8/7/19 RP4 Energy Storage System Mgm 5	27,708.33 27,708.33 27,307.27
	REDWOOD ENERGY STORAGE II LLC \$	82,723.93
ACH	IEUA EMPLOYEES' ASSOCIATION P/R 22 10/25/19 Employee Ded HR 0083300	213.00
	IEUA EMPLOYEES' ASSOCIATION \$	213.00
ACH	IEUA SUPERVISORS UNION ASSOCIA P/R 22 10/25/19 Employee Ded HR 0083300	330.00
	IEUA SUPERVISORS UNION ASSOCIA\$	330.00
ACH	IEUA GENERAL EMPLOYEES ASSOCIA P/R 22 10/25/19 Employee Ded HR 0083300	1,069.10
	IEUA GENERAL EMPLOYEES ASSOCIA\$	1,069.10
ACH	WESTERN DENTAL PLAN 10/19 Agency Dental Plan 10/2019	2,287.40
	WESTERN DENTAL PLAN \$	2,287.40
ACH	PREFERRED BENEFIT INSURANCE 10/19 Agency Dental Plan EIA29967	17,150.40
	PREFERRED BENEFIT INSURANCE \$	17,150.40
ACH	IEUA PROFESSIONAL EMPLOYEES AS P/R 22 10/25/19 Employee Ded HR 0083300	510.00
	IEUA PROFESSIONAL EMPLOYEES AS\$	510.00
ACH	DISCOVERY BENEFITS INC P/R 22 10/25/19 Cafeteria Plan HR 0083300	4,017.45
	DISCOVERY BENEFITS INC \$	4,017.45

Check	Payee / Description	Amount
ACH	PATRICK W HUNTER P/R 22 10/25/19	248.50
	PATRICK W HUNTER	\$ 248.50
ACH	PARKER, KATI Parker, K-ExpRpt-10/10/19 ENR Award Cerem 10/10/19 ENR	63.28
	PARKER, KATI	\$ 63.28
ACH	Inland Empire Reg. Composting	50.21
	346803863001	567.87
	6046-672830	0.01
	427069	0.01
	Inland Empire Reg. Composting \$	618.09
ACH	OLIN CORP	
	RP5-4,786 Gals Sodium Hypochlorite 2741310	4,092.03
	TP1-4,836 Gals Sodium Hypochlorite 2738316	3,888.14
	RP2-3,050 Gals Sodium Hypochlorite 2737708	2,607.75
	CCWRP-4,890 Gals Sodium Hypochlorite 2737707	3,931.56
	RP4-4,856 Gals Sodium Hypochlorite 2739360	3,904.22
	RP5-4,870 Gals Sodium Hypochlorite 2738317	3,915.48
	RP4-4,950 Gals Sodium Hypochlorite 2738318	3,979.80
	CCWRP-3,002 Gals Sodium Hypochlorite 2739359	2,566.71
	TP1-4,832 Gals Sodium Hypochlorite 2738857	3,884.93
	RP5-4,920 Gals Sodium Hypochlorite 2738858	3,955.68
	RP2-2,980 Gals Sodium Hypochlorite 2743208	2,592.60
	TP1-4,662 Gals Sodium Hypochlorite 2743207	3,986.01
	TP1-4,760 Gals Sodium Hypochlorite 2742748	4,069.80
	TP1-4,848 Gals Sodium Hypochlorite 2742384	3,897.79
	CCWRP-3,026 Gals Sodium Hypochlorite 2742383	2,587.23
	TP1-4,848 Gals Sodium Hypochlorite 2742382	3,897.79
	RP5-4,908 Gals Sodium Hypochlorite 2741860	3,946.03
	TP1-4,868 Gals Sodium Hypochlorite 2741859	3,913.87
	TP1-4,772 Gals Sodium Hypochlorite 2741312	4,080.06
	RP4-4,804 Gals Sodium Hypochlorite 2741311	3,862.42
	TP1-4,928 Gals Sodium Hypochlorite 2739717	3,962.11
	TP1-4,834 Gals Sodium Hypochlorite 2739718	3,886.54
	TP1-4,446 Gals Sodium Hypochlorite 2739860	3,801.33
	TP1-4,888 Gals Sodium Hypochlorite 2740741	3,929.95
	OLIN CORP	\$ 89,139.83
ACH	ESTRADA, JIMMIE J Reim Monthly Health Prem	511.48
	ESTRADA, JIMMIE J	\$ 511.48
ACH	LICHTI, ALICE Reim Monthly Health Prem	187.74
	LICHTI, ALICE	\$ 187.74
ACH	NOWAK, THEO T Reim Monthly Health Prem	511.48
	NOWAK, THEO T	\$ 511.48

Check	Payee / Description	Amount
ACH	SONNENBURG, ILSE Reim Monthly Health Prem	HEALTH PREM 187.74
	SONNENBURG, ILSE	\$ 187.74
ACH	DYKSTRA, BETTY Reim Monthly Health Prem	HEALTH PREM 187.74
	DYKSTRA, BETTY	\$ 187.74
ACH	MUELLER, CAROLYN Reim Monthly Health Prem	HEALTH PREM 187.74
	MUELLER, CAROLYN	\$ 187.74
ACH	GRIFFIN, GEORGE Reim Monthly Health Prem	HEALTH PREM 187.74
	GRIFFIN, GEORGE	\$ 187.74
ACH	CANADA, ANGELA Reim Monthly Health Prem	HEALTH PREM 187.74
	CANADA, ANGELA	\$ 187.74
ACH	CUPERSMITH, LEIZAR Reim Monthly Health Prem	HEALTH PREM 187.74
	CUPERSMITH, LEIZAR	\$ 187.74
ACH	DELGADO-ORAMAS JR, JOSE Reim Monthly Health Prem	HEALTH PREM 323.74
	DELGADO-ORAMAS JR, JOSE	\$ 323.74
ACH	GRANGER, BRANDON Reim Monthly Health Prem	HEALTH PREM 161.87
	GRANGER, BRANDON	\$ 161.87
ACH	GADDY, CHARLES L Reim Monthly Health Prem	HEALTH PREM 161.87
	GADDY, CHARLES L	\$ 161.87
ACH	BAKER, CHRIS Reim Monthly Health Prem	HEALTH PREM 25.87
	BAKER, CHRIS	\$ 25.87
ACH	WEBB, DANNY C Reim Monthly Health Prem	HEALTH PREM 136.00
	WEBB, DANNY C	\$ 136.00
ACH	HUMPHREYS, DEBORAH E Reim Monthly Health Prem	HEALTH PREM 161.87

Check	Payee / Description	Amount
	HUMPHREYS, DEBORAH E	\$ 161.87
ACH	MOUAT, FREDERICK W Reim Monthly Health Prem	HEALTH PREM 161.87
	MOUAT, FREDERICK W	\$ 161.87
ACH	MORGAN, GARTH W Reim Monthly Health Prem	HEALTH PREM 136.00
	MORGAN, GARTH W	\$ 136.00
ACH	ALLINGHAM, JACK Reim Monthly Health Prem	HEALTH PREM 25.87
	ALLINGHAM, JACK	\$ 25.87
ACH	MAZUR, JOHN Reim Monthly Health Prem	HEALTH PREM 476.19
	MAZUR, JOHN	\$ 476.19
ACH	RUDDER, LARRY Reim Monthly Health Prem	HEALTH PREM 25.87
	RUDDER, LARRY	\$ 25.87
ACH	HAMILTON, MARIA Reim Monthly Health Prem	HEALTH PREM 136.00
	HAMILTON, MARIA	\$ 136.00
ACH	PICENO, TONY Reim Monthly Health Prem	HEALTH PREM 187.74
	PICENO, TONY	\$ 187.74
ACH	RAMOS, CAROL Reim Monthly Health Prem	HEALTH PREM 25.87
	RAMOS, CAROL	\$ 25.87
ACH	FISHER, JAY Reim Monthly Health Prem	HEALTH PREM 136.00
	FISHER, JAY	\$ 136.00
ACH	KING, PATRICK Reim Monthly Health Prem	HEALTH PREM 25.87
	KING, PATRICK	\$ 25.87
ACH	HOWARD, ROBERT JAMES Reim Monthly Health Prem	HEALTH PREM 25.87
	HOWARD, ROBERT JAMES	\$ 25.87
ACH	DIETZ, JUDY Reim Monthly Health Prem	HEALTH PREM 136.00

Check	Payee / Description	Amount
	DIETZ, JUDY	\$ 136.00
ACH	DAVIS, GEORGE Reim Monthly Health Prem	HEALTH PREM 25.87
	DAVIS, GEORGE	\$ 25.87
ACH	MONZAVI, TAGHI Reim Monthly Health Prem	HEALTH PREM 25.87
	MONZAVI, TAGHI	\$ 25.87
ACH	PETERSEN, KENNETH Reim Monthly Health Prem	HEALTH PREM 187.74
	PETERSEN, KENNETH	\$ 187.74
ACH	TRAUTERMAN, HELEN Reim Monthly Health Prem	HEALTH PREM 187.74
	TRAUTERMAN, HELEN	\$ 187.74
ACH	TIEGS, KATHLEEN Reim Monthly Health Prem	HEALTH PREM 942.38
	TIEGS, KATHLEEN	\$ 942.38
ACH	DIGGS, GEORGE Reim Monthly Health Prem	HEALTH PREM 511.48
	DIGGS, GEORGE	\$ 511.48
ACH	HAYES, KENNETH Reim Monthly Health Prem	HEALTH PREM 511.48
	HAYES, KENNETH	\$ 511.48
ACH	HUNTON, STEVE Reim Monthly Health Prem	HEALTH PREM 161.87
	HUNTON, STEVE	\$ 161.87
ACH	RODRIGUEZ, LOUIS Reim Monthly Health Prem	HEALTH PREM 161.87
	RODRIGUEZ, LOUIS	\$ 161.87
ACH	VARBEL, VAN Reim Monthly Health Prem	HEALTH PREM 476.19
	VARBEL, VAN	\$ 476.19
ACH	CLIFTON, NEIL Reim Monthly Health Prem	HEALTH PREM 471.19
	CLIFTON, NEIL	\$ 471.19
ACH	WELLMAN, JOHN THOMAS	

Check	Payee / Description	Amount
	Reim Monthly Health Prem	HEALTH PREM 618.64
	WELLMAN, JOHN THOMAS	\$ 618.64
ACH	SPEARS, SUSAN Reim Monthly Health Prem	HEALTH PREM 25.87
	SPEARS, SUSAN	\$ 25.87
ACH	TROXEL, WYATT Reim Monthly Health Prem	HEALTH PREM 187.74
	TROXEL, WYATT	\$ 187.74
ACH	CORLEY, WILLIAM Reim Monthly Health Prem	HEALTH PREM 476.19
	CORLEY, WILLIAM	\$ 476.19
ACH	CALLAHAN, CHARLES Reim Monthly Health Prem	HEALTH PREM 25.87
	CALLAHAN, CHARLES	\$ 25.87
ACH	LESNIAKOWSKI, NORBERT Reim Monthly Health Prem	HEALTH PREM 187.74
	LESNIAKOWSKI, NORBERT	\$ 187.74
ACH	VER STEEG, ALLEN J Reim Monthly Health Prem	HEALTH PREM 482.34
	VER STEEG, ALLEN J	\$ 482.34
ACH	HACKNEY, GARY Reim Monthly Health Prem	HEALTH PREM 476.19
	HACKNEY, GARY	\$ 476.19
ACH	CAREL, LARRY Reim Monthly Health Prem	HEALTH PREM 25.87
	CAREL, LARRY	\$ 25.87
ACH	TOL, HAROLD Reim Monthly Health Prem	HEALTH PREM 187.74
	TOL, HAROLD	\$ 187.74
ACH	BANKSTON, GARY Reim Monthly Health Prem	HEALTH PREM 492.63
	BANKSTON, GARY	\$ 492.63
ACH	ATWATER, RICHARD Reim Monthly Health Prem	HEALTH PREM 136.00
	ATWATER, RICHARD	\$ 136.00

Check	Payee / Description	Amount
ACH	FIESTA, PATRICIA Reim Monthly Health Prem	HEALTH PREM 476.19
	FIESTA, PATRICIA	\$ 476.19
ACH	DIGGS, JANET Reim Monthly Health Prem	HEALTH PREM 647.48
	DIGGS, JANET	\$ 647.48
ACH	CARAZA, TERESA Reim Monthly Health Prem	HEALTH PREM 173.32
	CARAZA, TERESA	\$ 173.32
ACH	SANTA CRUZ, JACQUELYN Reim Monthly Health Prem	HEALTH PREM 804.23
	SANTA CRUZ, JACQUELYN	\$ 804.23
ACH	HECK, ROSELYN Reim Monthly Health Prem	HEALTH PREM 25.87
	HECK, ROSELYN	\$ 25.87
ACH	SOPICKI, LEO Reim Monthly Health Prem	HEALTH PREM 323.74
	SOPICKI, LEO	\$ 323.74
ACH	GOSE, ROSEMARY Reim Monthly Health Prem	HEALTH PREM 136.00
	GOSE, ROSEMARY	\$ 136.00
ACH	KEHL, BARRETT Reim Monthly Health Prem	HEALTH PREM 136.00
	KEHL, BARRETT	\$ 136.00
ACH	RITCHIE, JANN Reim Monthly Health Prem	HEALTH PREM 136.00
	RITCHIE, JANN	\$ 136.00
ACH	LONG, ROCKWELL DEE Reim Monthly Health Prem	HEALTH PREM 482.64
	LONG, ROCKWELL DEE	\$ 482.64
ACH	FATTAHI, MIR Reim Monthly Health Prem	HEALTH PREM 136.00
	FATTAHI, MIR	\$ 136.00
ACH	VERGARA, FLORENTINO Reim Monthly Health Prem	HEALTH PREM 323.74
	VERGARA, FLORENTINO	\$ 323.74

Check	Payee / Description	Amount
ACH	ROGERS, SHIRLEY Reim Monthly Health Prem	HEALTH PREM 187.74
	ROGERS, SHIRLEY	\$ 187.74
ACH	WALL, DAVID Reim Monthly Health Prem	HEALTH PREM 309.32
	WALL, DAVID	\$ 309.32
ACH	CHUNG, MICHAEL Reim Monthly Health Prem	HEALTH PREM 161.87
	CHUNG, MICHAEL	\$ 161.87
ACH	ADAMS, PAMELA Reim Monthly Health Prem	HEALTH PREM 25.87
	ADAMS, PAMELA	\$ 25.87
ACH	BLASINGAME, MARY Reim Monthly Health Prem	HEALTH PREM 482.64
	BLASINGAME, MARY	\$ 482.64
ACH	ANDERSON, KENNETH Reim Monthly Health Prem	HEALTH PREM 161.87
	ANDERSON, KENNETH	\$ 161.87
ACH	MOE, JAMES Reim Monthly Health Prem	HEALTH PREM 25.87
	MOE, JAMES	\$ 25.87
ACH	POLACEK, KEVIN Reim Monthly Health Prem	HEALTH PREM 804.23
	POLACEK, KEVIN	\$ 804.23
ACH	ELROD, SONDR Reim Monthly Health Prem	HEALTH PREM 161.87
	ELROD, SONDR	\$ 161.87
ACH	FRAZIER, JACK Reim Monthly Health Prem	HEALTH PREM 178.32
	FRAZIER, JACK	\$ 178.32
ACH	HOAK, JAMES Reim Monthly Health Prem	HEALTH PREM 136.00
	HOAK, JAMES	\$ 136.00
ACH	DEZHAM, PARIVASH Reim Monthly Health Prem	HEALTH PREM 178.32

Check	Payee / Description	Amount
	DEZHAM, PARIVASH	\$ 178.32
ACH	FOLEY III, DANIEL J. Reim Monthly Health Prem	HEALTH PREM 173.32
	FOLEY III, DANIEL J.	\$ 173.32
ACH	CLEVELAND, JAMES Reim Monthly Health Prem	HEALTH PREM 136.00
	CLEVELAND, JAMES	\$ 136.00
ACH	LANGNER, CAMERON Reim Monthly Health Prem	HEALTH PREM 632.25
	LANGNER, CAMERON	\$ 632.25
ACH	HAMILTON, LEANNE Reim Monthly Health Prem	HEALTH PREM 25.87
	HAMILTON, LEANNE	\$ 25.87
ACH	HOOSHMAND, RAY Reim Monthly Health Prem	HEALTH PREM 136.00
	HOOSHMAND, RAY	\$ 136.00
ACH	SCHLAPKOHL, JACK Reim Monthly Health Prem	HEALTH PREM 136.00
	SCHLAPKOHL, JACK	\$ 136.00
ACH	POOLE, PHILLIP Reim Monthly Health Prem	HEALTH PREM 173.32
	POOLE, PHILLIP	\$ 173.32
ACH	ADAMS, BARBARA Reim Monthly Health Prem	HEALTH PREM 161.87
	ADAMS, BARBARA	\$ 161.87
ACH	RUESCH, GENECE Reim Monthly Health Prem	HEALTH PREM 335.19
	RUESCH, GENECE	\$ 335.19
ACH	VANDERPOOL, LARRY Reim Monthly Health Prem	HEALTH PREM 492.63
	VANDERPOOL, LARRY	\$ 492.63
ACH	AMBROSE, JEFFREY Reim Monthly Health Prem	HEALTH PREM 471.19
	AMBROSE, JEFFREY	\$ 471.19
ACH	MERRILL, DIANE Reim Monthly Health Prem	HEALTH PREM 482.34

Check	Payee / Description	Amount
	MERRILL, DIANE	\$ 482.34
ACH	HOUSER, ROD Reim Monthly Health Prem	HEALTH PREM 644.21
	HOUSER, ROD	\$ 644.21
ACH	RUSSO, VICKI Reim Monthly Health Prem	HEALTH PREM 173.32
	RUSSO, VICKI	\$ 173.32
ACH	HUSS, KERRY Reim Monthly Health Prem	HEALTH PREM 828.68
	HUSS, KERRY	\$ 828.68
ACH	BINGHAM, GREGG Reim Monthly Health Prem	HEALTH PREM 482.64
	BINGHAM, GREGG	\$ 482.64
ACH	CHARLES, DAVID Reim Monthly Health Prem	HEALTH PREM 136.00
	CHARLES, DAVID	\$ 136.00
ACH	YEBOAH, ERNEST Reim Monthly Health Prem	HEALTH PREM 136.00
	YEBOAH, ERNEST	\$ 136.00
ACH	ALVARADO, ROSEMARY Reim Monthly Health Prem	HEALTH PREM 335.19
	ALVARADO, ROSEMARY	\$ 335.19
ACH	BARELA, GEORGE Reim Monthly Health Prem	HEALTH PREM 136.00
	BARELA, GEORGE	\$ 136.00
ACH	FETZER, ROBERT Reim Monthly Health Prem	HEALTH PREM 804.23
	FETZER, ROBERT	\$ 804.23
ACH	SPAETH, ERIC Reim Monthly Health Prem	HEALTH PREM 173.32
	SPAETH, ERIC	\$ 173.32
ACH	DAVIS, MARTHA Reim Monthly Health Prem	HEALTH PREM 25.87
	DAVIS, MARTHA	\$ 25.87
ACH	BRULE, CHRISTOPHER	

Check	Payee / Description	Amount
	Reim Monthly Health Prem	HEALTH PREM 173.32
	BRULE, CHRISTOPHER	\$ 173.32
ACH	ROOS, JAMES Reim Monthly Health Prem	HEALTH PREM 482.64
	ROOS, JAMES	\$ 482.64
ACH	MULLANEY, JOHN Reim Monthly Health Prem	HEALTH PREM 309.32
	MULLANEY, JOHN	\$ 309.32
ACH	VALENZUELA, DANIEL Reim Monthly Health Prem	HEALTH PREM 482.64
	VALENZUELA, DANIEL	\$ 482.64
ACH	PACE, BRIAN Reim Monthly Health Prem	HEALTH PREM 482.64
	PACE, BRIAN	\$ 482.64
ACH	KING, JOSEPH Reim Monthly Health Prem	HEALTH PREM 136.00
	KING, JOSEPH	\$ 136.00
ACH	VILLALOBOS, HECTOR Reim Monthly Health Prem	HEALTH PREM 173.32
	VILLALOBOS, HECTOR	\$ 173.32
ACH	BAXTER, KATHLEEN Reim Monthly Health Prem	HEALTH PREM 309.32
	BAXTER, KATHLEEN	\$ 309.32
ACH	PENMAN, DAVID Reim Monthly Health Prem	HEALTH PREM 482.64
	PENMAN, DAVID	\$ 482.64
ACH	ANGIER, RICHARD Reim Monthly Health Prem	HEALTH PREM 482.64
	ANGIER, RICHARD	\$ 482.64
ACH	MERRILL, DEBORAH Reim Monthly Health Prem	HEALTH PREM 340.19
	MERRILL, DEBORAH	\$ 340.19
ACH	O'DEA, KRISTINE Reim Monthly Health Prem	HEALTH PREM 161.87
	O'DEA, KRISTINE	\$ 161.87

Check	Payee / Description	Amount
ACH	OAKDEN, LISA Reim Monthly Health Prem	HEALTH PREM 817.22
	OAKDEN, LISA	\$ 817.22
ACH	LAUGHLIN, JOHN Reim Monthly Health Prem	HEALTH PREM 136.00
	LAUGHLIN, JOHN	\$ 136.00
ACH	HUGHBANKS, ROGER Reim Monthly Health Prem	HEALTH PREM 173.32
	HUGHBANKS, ROGER	\$ 173.32
ACH	SPENDLOVE, DANNY Reim Monthly Health Prem	HEALTH PREM 161.87
	SPENDLOVE, DANNY	\$ 161.87
ACH	HOULIHAN, JESSE Reim Monthly Health Prem	HEALTH PREM 173.32
	HOULIHAN, JESSE	\$ 173.32
ACH	WARMAN, EVELYN Reim Monthly Health Prem	HEALTH PREM 25.87
	WARMAN, EVELYN	\$ 25.87
ACH	HERNANDEZ, DELIA Reim Monthly Health Prem	HEALTH PREM 173.32
	HERNANDEZ, DELIA	\$ 173.32
ACH	AVILA, ARTHUR L Reim Monthly Health Prem	HEALTH PREM 173.32
	AVILA, ARTHUR L	\$ 173.32
ACH	GUARDIANO, GARY Reim Monthly Health Prem	HEALTH PREM 161.87
	GUARDIANO, GARY	\$ 161.87
ACH	POMERLEAU, THOMAS Reim Monthly Health Prem	HEALTH PREM 25.87
	POMERLEAU, THOMAS	\$ 25.87
ACH	BARRER, SATURNINO Reim Monthly Health Prem	HEALTH PREM 309.32
	BARRER, SATURNINO	\$ 309.32
ACH	LACEY, STEVEN Reim Monthly Health Prem	HEALTH PREM 668.23
	LACEY, STEVEN	\$ 668.23

Check	Payee / Description	Amount
ACH	MILLS, JOHN Reim Monthly Health Prem	HEALTH PREM 173.32
	MILLS, JOHN	\$ 173.32
ACH	REED, RANDALL Reim Monthly Health Prem	HEALTH PREM 618.64
	REED, RANDALL	\$ 618.64
ACH	RAMIREZ, REBECCA Reim Monthly Health Prem	HEALTH PREM 314.32
	RAMIREZ, REBECCA	\$ 314.32
ACH	RAZAK, HALLA Reim Monthly Health Prem	HEALTH PREM 136.00
	RAZAK, HALLA	\$ 136.00
ACH	OSBORN, CINDY L Reim Monthly Health Prem	HEALTH PREM 309.32
	OSBORN, CINDY L	\$ 309.32
ACH	FESTA, GARY Reim Monthly Health Prem	HEALTH PREM 248.13
	FESTA, GARY	\$ 248.13
ACH	MENDEZ, DAVID G Reim Monthly Health Prem	HEALTH PREM 173.32
	MENDEZ, DAVID G	\$ 173.32
ACH	DELGADO, FLOR MARIA Reim Monthly Health Prem	HEALTH PREM 136.00
	DELGADO, FLOR MARIA	\$ 136.00
ACH	GROENVELD, NELLETJE Reim Monthly Health Prem	HEALTH PREM 173.32
	GROENVELD, NELLETJE	\$ 173.32
ACH	BATONGMALAQUE, CHARLIE L Reim Monthly Health Prem	HEALTH PREM 1,057.56
	BATONGMALAQUE, CHARLIE L	\$ 1,057.56
ACH	BOBBITT, JOHN Reim Monthly Health Prem	HEALTH PREM 272.00
	BOBBITT, JOHN	\$ 272.00
ACH	AQUA BEN CORPORATION RPI-23,000 Lbs Hydrofloc 750A	39609 28,499.88
	RPI-23,000 Lbs Hydrofloc 750A	39461 28,499.88

Check	Payee / Description		Amount
	RP2-23,000 Lbs Hydrofloc 748E	39590	21,808.60
	DAFT-9,200 Lbs Hydrofloc 748E	39460	8,723.44
	DAFT-4,600 Lbs Hydrofloc 748E	39608	4,361.72
	AQUA BEN CORPORATION	\$	91,893.52
ACH	HASCO OIL COMPANY, INC. RP5Mnt-Mobil DTE 25	0229077-IN	6,187.84
	HASCO OIL COMPANY, INC.	\$	6,187.84
ACH	ROYAL INDUSTRIAL SOLUTIONS Nonfusible Switches	6046-673134	1,971.56
	ROYAL INDUSTRIAL SOLUTIONS	\$	1,971.56
ACH	UNIVAR USA INC RP5-12,530 Lbs Sodium Bisulfite PradoLS-12,550 Lbs Sodium Bisulfite	LA756716 LA757310	2,921.61 2,926.30
	UNIVAR USA INC	\$	5,847.91
ACH	ENVIRONMENTAL RESOURCES ASSOC Volatiles, Metals	916442	960.15
	ENVIRONMENTAL RESOURCES ASSOC	\$	960.15
ACH	ACCUSTANDARD INC MtBE	907006	67.66
	ACCUSTANDARD INC	\$	67.66
ACH	THATCHER COMPANY OF CALIFORNIA TP1-45,320 Lbs Aluminum Sulfate TP1-44,960 Lbs Aluminum Sulfate	269061 269133	3,688.50 3,614.67
	THATCHER COMPANY OF CALIFORNIA	\$	7,303.17
ACH	CAROLLO ENGINEERS EN19051-6/2019 Professional Svcs	0178541	7,478.70
	CAROLLO ENGINEERS	\$	7,478.70
ACH	TOM DODSON & ASSOCIATES RW15003/4-7/1-9/15 Prof Svcs EN17049-9/3-9/17 Prof Svcs PL19005-8/7-9/11 Prof Svcs EN20059-7/8-7/23 Prof Svcs WR15021-9/9-9/10 Prof Svcs	IE-305 19-4 IE-318 19-5 IE-311 19-7 IE-324 19-1 IE-320 19-4	11,632.96 3,461.07 1,650.00 1,900.00 410.44
	TOM DODSON & ASSOCIATES	\$	19,054.47
ACH	PALM AUTO DETAIL INC 9/19 Carwash Svcs f/Agency Fleet Vehicle 19999-4		2,022.45
	PALM AUTO DETAIL INC	\$	2,022.45
ACH	ALFA LAVAL INC 2 Filter Elements	279053440	507.26

Check	Payee / Description	Amount
	ALFA LAVAL INC \$	507.26
ACH	PARSONS WATER & INFRASTRUCTURE EN19001/EN19006-8/3-9/6 Prof Svcs 1909B441	806,253.03
	PARSONS WATER & INFRASTRUCTURE\$	806,253.03
ACH	HACH COMPANY RP1Mnt-Door Assy Kit 11658395	1,214.28
	HACH COMPANY \$	1,214.28
ACH	INSIDE PLANTS INC FcltyMgt/Lab-10/19 Indoor Plant Care 76754	636.00
	INSIDE PLANTS INC \$	636.00
ACH	NATIONAL CONSTRUCTION RENTALS 10/4/19-10/31/19 Potty/Handicap Potty/Si 5519577	994.80
	NATIONAL CONSTRUCTION RENTALS \$	994.80
ACH	RSD Class J Fuses 55308784-00 457.13 Duct Sealant,Paint Brush 55310932-00 17.98 Condensate Tablets 55310933-00 53.59	528.70
	RSD \$	528.70
ACH	PEST OPTIONS INC RP5SHF 8/19-9/12 Weed Abatement Services 333339	8,860.00
	PEST OPTIONS INC \$	8,860.00
ACH	SIEMENS INDUSTRY INC Transfer Invoice from Ponton for Payment 5603864545	4,434.99
	SIEMENS INDUSTRY INC \$	4,434.99
ACH	YORK RISK SERVICES GROUP INC 10/19-12/19 W/C Adm Fee - 4Q19 500019341	4,762.00
	YORK RISK SERVICES GROUP INC \$	4,762.00
ACH	TRICO CORPORATION Oil Analysis P52934 384.00 Oil Analysis P52952 160.00 Oil Analysis P52931 32.00 Oil Analysis P52950 75.00 Oil Analysis P52951 160.00 Oil Analysis P52932 352.00 Oil Analysis P52935 64.00	1,227.00
	TRICO CORPORATION \$	1,227.00
ACH	MICROAGE 5 M3060070010006OSS 2078095 1,083.45 Sophos Webserver Protection, Subscriptio 2078304 4,973.88	6,057.33

Check	Payee / Description	Amount
	MICROAGE	\$ 6,057.33
ACH	CALIFORNIA WATER TECHNOLOGIES	
	Phills-16,637 lbs Ferric Chloride Soluti 36040	5,250.98
	RP2-16,612 lbs Ferric Chloride Solution 36031	5,243.14
	RP1-17,333 lbs Ferric Chloride Solution 35898	5,470.64
	Phills-17,761 lbs Ferric Chloride Soluti 36024	5,605.78
	RP1-18,295 lbs Ferric Chloride Solution 35920	5,774.10
	Phills-18,476 lbs Ferric Chloride Soluti 35952	5,831.27
	Phills-18,896 lbs Ferric Chloride Soluti 35961	5,963.80
	RP4-17,821 lbs Ferric Chloride Solution 36017	5,624.47
	Phills-16,915 lbs Ferric Chloride Soluti 35986	5,338.60
	RP2-17,909 lbs Ferric Chloride Solution 35999	5,652.38
	CCWRP-17,440 lbs Ferric Chloride Solutio 35954	5,504.33
	RP1-17,279 lbs Ferric Chloride Solution 35990	5,453.62
	CALIFORNIA WATER TECHNOLOGIES \$	66,713.11
ACH	V3IT CONSULTING INC	
	SAP BASIS September 2019 Support V3IT2019IEUA1	6,184.00
	V3IT CONSULTING INC \$	6,184.00
ACH	EVOQUA WATER TECHNOLOGIES LLC	
	101/19-12/31/19 DI Tank Rental 904184439	118.24
	EVOQUA WATER TECHNOLOGIES LLC \$	118.24
ACH	PRIORITY BUILDING SERVICES LLC	
	August 2019 Janitorial Service 65590	16,908.29
	PRIORITY BUILDING SERVICES LLC\$	16,908.29
ACH	ECOTECH SERVICES INC	
	9/19 Residential Home Pressure Regulatio 1544	20,369.23
	ECOTECH SERVICES INC \$	20,369.23
ACH	JC LAW FIRM	
	9/19 San Bernardino Transt Auth vs IEUA(00648	1,575.00
	9/19 General Legal 00641	35,550.00
	9/19 Regional Contract 00642	1,290.00
	9/19 IEUA vs Spicer-EN17018 00643	5,790.00
	9/19 Watermaster 00644	1,410.00
	9/19 Kaiser vs CBWCD (Ely Basin) 00645	1,050.00
	9/19 San Bernardino Transit Auth vs IEUA 00646	240.00
	9/19 San Bernardino Transt Auth vs IEUA 00647	300.00
	JC LAW FIRM \$	47,205.00
ACH	U S BANK - PAYMENT PLUS	
	100150 HARRINGTON INDUSTRIAL PLASTICS LL 2200102855	1,016.94
	101945 FLORENCE FILTER CORP 2200102499	2,957.16
	105316 PLUMBERS DEPOT INC 2200102509	3,343.48
	107889 ALS ENVIRONMENTAL 2200102536	1,275.00
	100150 HARRINGTON INDUSTRIAL PLASTICS LL 2200102700	9,025.92
	105316 PLUMBERS DEPOT INC 2200102424	2,624.13
	101123 ALL AMERICAN CRANE MAINTENANCE 2200102423	475.00

Check	Payee / Description	Amount
	100951 POLYDYNE INC 2200102422	16,923.86
	100150 HARRINGTON INDUSTRIAL PLASTICS LL 2200102421	4,673.88
	107432 PMC ENGINEERING LLC 2200102307	2,661.29
	105316 PLUMBERS DEPOT INC 2200102306	2,367.76
	104896 WESTERN WATER WORKS SUPPLY CO 2200102305	22,304.03
	101706 CALOLYMPIC SAFETY 2200102304	443.81
	105316 PLUMBERS DEPOT INC 2200102037	43,294.96
	100275 VWR INTERNATIONAL LLC 2200100875	98.35
		- - - - -
	U S BANK - PAYMENT PLUS \$	113,485.57
ACH	AMAZON BUSINESS	
	Binder Divider, Hooks, Power Strip 1F9K-F6HX-FDG	117.19
	Adhesion Cloth Label Tape 1F9K-F6HX-FNJ	104.52
	Picture Frames, Label Tape, Spray Kit Disp 1MPT-DNC7-GVP	85.75
	Plastic Hide A Key 1F9K-F6HX-6KY	7.53
		- - - - -
	AMAZON BUSINESS \$	314.99
ACH	SOLARWINDS INC	
	SolarWinds Patch Manager & IP Address Ma IN448887	5,791.11
		- - - - -
	SOLARWINDS INC \$	5,791.11

Grand Total Payment Amount: \$ 4,652,711.38

Attachment 2D

Vendor Wires
(excludes Payroll)

Check	Payee / Description	Amount
Wire	PUBLIC EMPLOYEES RETIREMENT SY P/R 20 9/27/19 PERS Adj P/R 20 9/27 A P/R 20 9/27/19 PERS HR 0082200	0.74 188,419.26 ----- 188,420.00
Wire	PUBLIC EMPLOYEES' RETIREMENT S 10/19 Health Ins-Retirees, Employees 15807869 10/1 10/19 Health Ins-Board 15807878 10/1	268,496.60 6,632.62 ----- 275,129.22
Wire	PUBLIC EMPLOYEE'S RETIREMENT S P/R 21 10/11/19 Deferred Comp P/R 21 ADJUST	83.56 ----- 83.56
Wire	PUBLIC EMPLOYEE'S RETIREMENT S P/R 21 10/11/19 Deferred Comp HR 0083200	32,246.60 ----- 32,246.60
Wire	EMPLOYMENT DEVELOPMENT DEPARTM P/R DIR 10 10/11/19 Taxes HR 0083100 P/R 21 10/11/19 Taxes HR 0083200 P/R 21 10/11/19 Taxes HR 0083200	427.39 65,687.10 10,331.07 ----- 76,445.56
Wire	INTERNAL REVENUE SERVICE P/R DIR 10 10/11/19 Taxes HR 0083100 P/R 21 10/11/19 Taxes HR 0083200	2,790.74 338,840.62 ----- 341,631.36
Wire	STATE DISBURSEMENT UNIT P/R 21 10/11/19 HR 0083200 P/R 21 10/11/19 HR 0083200	3,294.44 198.00 ----- 3,492.44
Wire	PUBLIC EMPLOYEES RETIREMENT SY P/R 21 10/11/19 PERS HR 0083200 P/R 21 10/11/19 PERS Adj P/R 21 10/11	187,868.75 12.02 ----- 187,880.77
Wire	METROPOLITAN WATER DISTRICT August 2019 Water Purchase 9817	4,382,340.20 ----- 4,382,340.20
Wire	EMPLOYMENT DEVELOPMENT DEPARTM P/R 22 10/25/19 Taxes HR 0083300 P/R 22 10/25/19 Taxes HR 0083300	62,299.11 9,573.20 ----- 71,872.31

Check	Payee / Description	Amount
Wire	PUBLIC EMPLOYEE'S RETIREMENT S P/R 22 10/25/19 Deferred Comp	HR 0083300 32,411.42
	PUBLIC EMPLOYEE'S RETIREMENT S\$	32,411.42
Wire	INTERNAL REVENUE SERVICE P/R 22 10/25/19 Taxes	HR 0083300 312,719.53
	INTERNAL REVENUE SERVICE	\$ 312,719.53
Wire	STATE BOARD OF EQUALIZATION 9/19 Sales Tax Deposit	23784561 9/19 1,528.00
	STATE BOARD OF EQUALIZATION	\$ 1,528.00
Wire	STATE DISBURSEMENT UNIT P/R 22 10/25/19	HR 0083300 3,294.44
	P/R 22 10/25/19	HR 0083300 198.00
	STATE DISBURSEMENT UNIT	\$ 3,492.44
Wire	PUBLIC EMPLOYEES RETIREMENT SY P/R 22 10/25/19 PERS	HR 0083300 188,538.83
	P/R 22 10/25/19 PERS Adj	P/R 22 10/25 3.18
	PUBLIC EMPLOYEES RETIREMENT SY\$	188,542.01
Wire	SUMITOMO MITSUI BANKING CORP 08B 7/1/19-9/30/19 LOC Fees	NY-091282 092 38,474.60
	SUMITOMO MITSUI BANKING CORP	\$ 38,474.60
Wire	CALPERS CA Employers's Retiree Benefit Trust	10/17/19 4,500,000.00
	CALPERS	\$ 4,500,000.00

Grand Total Payment Amount: \$ 10,636,710.02

Attachment 2E

Payroll-Net Pay-Directors

INLAND EMPIRE UTILITIES AGENCY

Ratification of Board of Directors

Payroll for October 11, 2019
Presented at Board Meeting on December 18, 2019

DIRECTOR NAME	GROSS PAYROLL	NET PAYROLL
Jasmin Hall	\$3,266.57	\$2,095.39
Katherine Parker	\$4,761.16	\$2,195.55
Michael Camacho	\$4,385.79	\$1,695.10
Steven J. Elie	\$4,971.16	\$1,663.97
Paul Hofer	\$0.00	\$0.00
TOTALS	\$17,384.68	\$7,650.01

	Count	Amount
TOTAL EFTS PROCESSED	3	\$5,954.91
TOTAL CHECKS PROCESSED	1	\$1,695.10
CHECK NUMBERS USED	110410 - 110410	

IEUA DIRECTOR PAYSHEET

MICHAEL CAMACHO
 EMPLOYEE NO. 1140
 ACCOUNT NO. 10200 110100 100000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-04-19	IEUA Board Workshop/Meeting	No	\$-0-
09-08-19	34 Annual WaterReuse Conference	Yes	\$260.00
09-09-19	34 Annual WaterReuse Conference	Yes	\$260.00
09-10-19	34 Annual WaterReuse Conference	Yes	\$260.00
09-11-19	IEUA Eng. Ops, & Water Resources Committee	Yes	\$260.00
09-12-19	Telecon meeting with CVWD Director J. Curatalo. re: CBP and CVWD Board meeting recap	Yes	\$260.00
09-18-19	IEUA Board Meeting	Yes	\$260.00
09-19-19	IEUA/IERCA Battery & Solar Project Dedication	Yes	\$260.00
09-24-19	Cucamonga Valley Water District Board Meeting	Yes	\$260.00
09-25-19	2019 CSDA Conference & Exhibitor Showcase	Yes	\$260.00
09-26-19	2019 CSDA Conference & Exhibitor Showcase	Yes	\$260.00
09-27-19	2019 CSDA Conference & Exhibitor Showcase	Yes (10 mtgs. max)	\$-0-
TOTAL REIMBURSEMENT			\$2,600.00
Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.			
Total No. of Meetings Attended			11
Total No. of Meetings Paid			10

DIRECTOR
SIGNATURE

*Joseph Woodell for
Director Camacho*

Approved by:

Shivan Deshpande
Paul Hofer
President, Board of Directors

**DIRECTOR PAYSHEET FOR IEUA REPRESENTATIVE
ON WATERMASTER BOARD (ALTERNATE)**

MICHAEL CAMACHO
EMPLOYEE NO. 1362
ACCOUNT NO. 10107 1101115 110000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-26-19	Chino Basin Watermaster Board Meeting	No	\$-0-
TOTAL REIMBURSEMENT			\$-0-
Up to 10 days of service per month per Ordinance No. 105 (i.e., \$135.00 – difference between Watermaster \$125.00 and Agency meetings \$260.00 (eff. 7/01/19), including MWD meetings. Chino Basin Watermaster does not compensate an alternate Director unless the alternate Director is attending on behalf of an absent primary Director. In accordance to Ordinance No. 98, Section 1, (i) Attendance at any meeting provided for under Sections 1.b, c, e, and f, shall also include payment to both the primary representative and the alternate representative to said body if they both attend said meeting. Record full amount on timesheet for attendance by alternates.			
Total No. of Watermaster Meetings Attended			1
Total No. of Watermaster Meetings Paid			1

DIRECTOR SIGNATURE *Michael Camacho*

Approved by: *Paul Hofer*
Paul Hofer
President, Board of Directors

**DIRECTOR PAYSHEET FOR IEUA REPRESENTATIVE
ON REGIONAL POLICY COMMITTEE (ALTERNATE)**

MICHAEL CAMACHO
EMPLOYEE NO. 1140
ACCOUNT NO. 10900 110100 500000 501215

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
	None	No	\$-0-
TOTAL REIMBURSEMENT (Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19.) IEUA pays Regional Policy Committee members (total amount of \$260.00, should reflect on timesheet))			\$-0-
Total No. of Meetings Attended			0
Total No. of Meetings Paid			0

**DIRECTOR
SIGNATURE**

Michael Camacho

Michael Camacho

Approved by:

Paul Hofer

Paul Hofer
President, Board of Directors

**DIRECTOR PAYSHEET FOR IEUA REPRESENTATIVE
ON CHINO BASIN WATER BANK (ALTERNATE)**

MICHAEL CAMACHO
EMPLOYEE NO. 1140
ACCOUNT NO. 10900 110100 500000 501215

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
	None	No	\$-0-
TOTAL REIMBURSEMENT Up to 10 days of service per month per Ordinance No. 105			\$-0-
Total No. of CBWB Meetings Attended			0
Total No. of CBWB Meetings Paid			0

DIRECTOR SIGNATURE *Paul Hofer*
Director

Approved by: *Paul Hofer*
Paul Hofer
President, Board of Directors

**DIRECTOR PAYSHEET FOR IEUA REPRESENTATIVE
ON CHINO DESALTER AUTHORITY**

MICHAEL CAMACHO
EMPLOYEE NO. 1140
ACCOUNT NO. 10900 110100 500000 50125

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-05-19	CDA Board meeting	No	\$-0-
09-26-19	Special CDA Board Meeting	No	\$-0-
TOTAL REIMBURSEMENT			\$-0-
Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 7/01/19). Chino Desalter Authority will pay \$150.00 per meeting directly to the Agency. Record full amount on timesheet. CDA pays both primary and alternate for attendance			
Total No. of CDA Meetings Attended			0
Total No. of CDA Meetings Paid			0

DIRECTOR SIGNATURE *Michael Camacho*

Approved by: *Paul Hofer*
Paul Hofer
President, Board of Directors

IEUA DIRECTOR PAYSHEET

STEVEN J. ELIE
 EMPLOYEE NO. 1175
 ACCOUNT NO. 10200 110100 100000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-03-19	SCWC Legislative Task Force Telecon	Yes	\$260.00
09-03-19	WNA Leadership Council Conference Call	Yes (same day)	\$-0-
09-04-19	IEUA Board of Directors Workshop/Meeting	No	\$-0-
09-09-19	IEUA Audit Committee Meeting	Yes	\$260.00
09-11-19	Chino Valley Fire District 9-11 Memorial Tribute	Yes	\$260.00
09-11-19	WaterNow Leadership Council Meeting	Yes (same day)	\$-0-
09-12-19	WaterNow Leadership Council Meeting	Yes	\$260.00
09-18-19	IEUA Board of Directors Meeting	Yes	\$260.00
09-19-19	IEUA/IERCA Battery & Solar Project Dedication	Yes	\$260.00
09-21-19	Chino Hills State of the City Community Fair	Yes	\$260.00
09-23-19	SCWC Legislative Task force Call	Yes	\$260.00
09-24-19	IEUA Leadership Breakfast	Yes	\$260.00
09-24-19	Meeting w/R. DiPrimio & Shivaji re: CBP	Yes (same day)	\$-0-
09-25-19	Meeting Director of Governor's Water Portfolio Program Nancy Vogel - Ad Hoc Committee on Chino Basin Project	Yes	\$260.00
TOTAL REIMBURSEMENT Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.			\$2,600.00
Total No. of Meetings Attended			13
Total No. of Meetings Paid			10

DIRECTOR
SIGNATURE

Steven J. Elie

Approved by:

Paul Hofer
 Paul Hofer
 President, Board of Directors

**DIRECTOR PAYSHEET FOR IEUA
ON WATERMASTER BOARD**

STEVEN J. ELIE
EMPLOYEE NO. 1175
ACCOUNT NO. 10200 110100 100000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-26-19	CBWM Board Meeting	Yes	\$-0-
TOTAL REIMBURSEMENT			\$-0-
Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance. (i.e., \$135.00 – difference between Watermaster \$125.00 and Agency meetings \$260.00 (eff. 7/01/19). Chino Basin Watermaster does not compensate an alternate Director unless the alternate Director is attending on behalf of an absent primary Director. In accordance to Ordinance No. 98, Section 1, (i) Attendance at any meeting provided for under Sections 1.b, c, e, and f, shall also include payment to both the primary representative and the alternate representative to said body if they both attend said meeting. Record full amount on timesheet for attendance by alternates			
Total No. of Watermaster Meetings Attended			1
Total No. of Watermaster Meetings Paid			0

***Decline IEUA portion**

DIRECTOR SIGNATURE *Steven J. Elie*
 Approved by: *Paul Hofer*
 Paul Hofer
 President, Board of Directors

**DIRECTOR PAYSHEET FOR IEUA REPRESENTATIVE
ON CHINO DESALTER AUTHORITY (ALTERNATE)**

STEVEN J. ELIE
EMPLOYEE NO. 1175
ACCOUNT NO. 10200-110100 100000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-26-19	Special CDA Board Meeting	Yes (alternate) (10 mtgs. max)	\$-0-
TOTAL REIMBURSEMENT			\$-0-
Up to 10 days of service per month per Ordinance No. 105 (i.e., \$110.00 – difference between CDA (\$150.00 and Agency meetings \$260.00 (eff.7/01/19), including MWD meetings. CDA pays directly to IEUA. Record full amount on timesheet.			
Total No. of CDA Meetings Attended			1
Total No. of CDA Meetings Paid			0

DIRECTOR: *Steven Elie*
SIGNATURE: *Steven Elie*

Approved by: *Paul Hofer*
Paul Hofer
President, Board of Directors.

**DIRECTOR PAYSHEET FOR IEUA REPRESENTATIVE
ON CHINO BASIN WATER BANK**

STEVEN J. ELIE
EMPLOYEE NO. 1175
ACCOUNT NO. 10200 110100 100000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
	None	No	\$-0-
TOTAL REIMBURSEMENT			\$-0-
Up to 10 days of service per month per Ordinance No. 105. (i.e., \$160.00 – difference between CBWB (\$100.00 and Agency meetings \$260.00 (eff.7/01/19), including MWD meetings. CBWB pays directly to IEUA. Record full amount on timesheet.			
Total No. of CBWB Meetings Attended			0
Total No. of CBWB Meetings Paid			0

DIRECTOR SIGNATURE *Stephen J. Elie*

Approved by: *Paul Hofer*
Paul Hofer
President, Board of Directors

IEUA DIRECTOR PAYSHEET

(Page 1 of 2)

JASMIN A. HALL
 EMPLOYEE NO. 1256
 ACCOUNT NO. 10200 110100 100000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-03-19	SCWC Leg. Task Force Conference Call	Yes	\$260.00
09-04-19	IEUA Board of Directors Meeting	Yes	\$260.00
09-06-19	Meeting with Y. Friday to discuss CAAWEF	Yes	\$260.00
09-11-19	IEUA Finance & Administration Committee	Yes	\$260.00
09-12-19	CASA Air Quality, Climate Change, & Energy Workgroup Meeting	Yes	\$260.00
09-16-19	LADWP Meeting with Dave Pettijohn & John Murray	Yes	\$260.00
09-16-19	Association of Special Districts Meeting	Yes (same day)	\$-0-
09-17-19	Chaffey College – The Measure of our Success Event	Yes	\$260.00
09-18-19	Meeting with Laer Pearce (Cadiz)	Yes	\$260.00
09-18-19	IEUA Board of Directors Meeting	Yes (same day)	\$-0-
09-19-19	IEUA/IERCA Battery & Solar Project Dedication	Yes	\$260.00
TOTAL REIMBURSEMENT Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.			(Grand totals provided on Page 2)
Total No. of Meetings Attended			
Total No. of Meetings Paid			

DIRECTOR SIGNATURE *Jasmin A. Hall*
 Vice President Hall

Approved by: *Paul Hofer*
 Paul Hofer, President, Board of Directors

IEUA DIRECTOR PAYSHEET

(Page 2 of 2)

JASMIN A. HALL
 EMPLOYEE NO. 1256
 ACCOUNT NO. 10200 110100 100000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-19-19	NAWBO Inland Empire Meeting	Yes (same day)	\$-0-
09-19-19	State of Education Inspiring Innovation Event	Yes (same day)	\$-0-
09-22-19	WEFTEC Conference	Yes	\$260.00
09-23-19	WEFTEC Conference	Yes (10 mtgs. max)	\$-0-
09-24-19	WEFTEC Conference	Yes (10 mtgs. max)	\$-0-
09-26-19	AABE CA Membership Skype Meeting	Yes (10 mtgs. max)	\$-0-
09-30-19	Meeting with Chuck Hayes	Yes (10 mtgs. max)	\$-0-
09-30-19	Meeting/Tour of Laboratory with N. Groenveld	Yes (10 mtgs. max)	\$-0-
09-30-19	CAAWEF Call Meeting	Yes (10 mtgs. max)	\$-0-
TOTAL REIMBURSEMENT			\$2,600.00
Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.			
Total No. of Meetings Attended			20
Total No. of Meetings Paid			10

DIRECTOR Paul Woodruff
 SIGNATURE Vice President Hall

Approved by: Paul Hofer
 Paul Hofer, President, Board of Directors

**DIRECTOR PAYSHEET FOR IEUA REPRESENTATIVE
ON MWD BOARD**

JASMIN HALL
EMPLOYEE NO. 1256
ACCOUNT NO. 10700 110100 110000 511010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-05-19	Southern Coalition/Inland Caucus Meeting (Telecon)	Yes (10 mtgs. max.)	\$-0-
09-09-19	MWD Finance & Insurance Committee	Yes (10 mtgs. max.)	\$-0-
09-09-19	MWD Water Planning & Stewardship Committee	Yes (10 mtgs. max.)	\$-0-
09-09-19	MWD Engineering & Operations Committee	Yes (10 mtgs. max.)	\$-0-
09-09-19	MWD Organization, Personnel, & Technology Committee	Yes (10 mtgs. max.)	\$-0-
09-09-19	MWD Communication & Legislation Committee	Yes (10 mtgs. max.)	\$-0-
09-10-19	MWD Legal & Claims Committee	Yes (10 mtgs. max.)	\$-0-
09-10-19	MWD Conservation & Local Resources Committee	Yes (10 mtgs. max.)	\$-0-
09-10-19	MWD Real Property & Asset Management Committee	Yes (10 mtgs. max.)	\$-0-
09-10-19	MWD Board Meeting	Yes (10 mtgs. max.)	\$-0-
09-17-19	Dinner Meeting with S. Chapman	Yes (10 mtgs. max.)	\$-0-
TOTAL REIMBURSEMENT Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 7/01/19).			<i>(Grand totals provided on Page 2)</i>
Total No. of Meetings Attended			11
Total No. of Meetings Paid			0

DIRECTOR
SIGNATURE

April Woodruff
Vice President Hall

Approved by:

Paul Hofer
Paul Hofer
President, Board of Directors

**DIRECTOR PAYSHEET FOR IEUA
ON SAWPA COMMISSION (ALTERNATE)**

JASMIN A. HALL
EMPLOYEE NO. 1256
ACCOUNT NO. 10500 110100 165000 501010

APRIL 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-17-19	SAWPA Commission Meeting	Yes (10 mtgs. max.)	\$-0-
TOTAL REIMBURSEMENT			\$-0-
Up to 10 days of service per month per Ordinance No. 105), i.e., \$50.00 – difference between SAWPA (\$210.00 (eff. 01/19) and Agency meetings \$260.00 (eff. 7/01/19), including MWD meetings. SAWPA pays both primary and alternate for attendance, including mileage.			
Total No. of SAWPA Meetings Attended			1
Total No. of SAWPA Meetings Paid			0

DIRECTOR SIGNATURE *Paul Woelke for Vice President Hall*

Approved by: *Paul Hofer*
Paul Hofer
President, Board of Directors

IEUA DIRECTOR PAYSHEET

PAUL HOFER
 EMPLOYEE NO. 1349
 ACCOUNT NO. 10200 110100 100000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-04-19	IEUA Board Meeting	Yes	\$-0-
09-11-19	IEUA Audit Committee	Yes	\$-0-
09-11-19	IEUA Finance & Administration Committee Meeting	Yes	\$-0-
09-18-19	IEUA Board Meeting	Yes	\$-0-
09-26-19	Meeting with GM S. Deshmukh & Christiana Daisy	Yes (staff)	\$-0-
TOTAL REIMBURSEMENT Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.			\$-0-
Total No. of Meetings Attended			5
Total No. of Meetings Paid			0

DIRECTOR
SIGNATURE

Paul Hofer

Paul Hofer

Approved by:

Kati Parker

Kati Parker
Secretary/Treasurer

Director Hofer has waived all stipend payments.


IEUA DIRECTOR PAYSHEET

KATI PARKER
 EMPLOYEE NO. 1362
 ACCOUNT NO. 10200 1100100 100000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-04-19	IEUA Board Meeting	Yes	\$260.00
09-09-19	IEUA Audit Committee	Yes	\$260.00
09-11-19	IEUA Engineering, Operations & Water Resources Committee	Yes	\$260.00
09-18-19	IEUA Board Meeting	Yes	\$260.00
09-19-19	IEUA/IERCA Battery & Solar Project Dedication	Yes	\$260.00
09-20-19	Lunch Meeting w/O Gonzalez to discuss Agency Business	Yes	\$260.00
09-23-19	Lunch Meeting w/R. Reed to discuss Agency business	Yes	\$260.00
09-24-19	IEUA Leadership Breakfast	Yes	\$260.00
09-25-19	Meeting Director of Governor's Water Portfolio Program Nancy Vogel - Ad Hoc Committee on Chino Basin Project	Yes	\$260.00
TOTAL REIMBURSEMENT			\$2,340.00
Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19). IEUA pays both primary and alternate for attendance.			
Total No. of Meetings Attended			9
Total No. of Meetings Paid			9

DIRECTOR 
 SIGNATURE 

Approved by: 
 Paul Hofer
 President, Board of Directors

**DIRECTOR PAYSHEET FOR IEUA
ON SAWPA COMMISSION**

KATI PARKER
EMPLOYEE NO. 1362
ACCOUNT NO. 10500 110100 165000 501010

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-03-19	SAWPA Commission Meeting	Yes	\$50.00
09-17-19	SAWPA Regular Commission Meeting	Yes (same day)	\$-0-
09-26-19	SAWPA OWOW Committee Meeting	Yes (same day)	\$-0-
TOTAL REIMBURSEMENT			\$50.00
Up to 10 days of service per month per Ordinance No. 105 (i.e., \$50.00 – difference between SAWPA (\$210.00 (eff. 1/19) and Agency meetings \$260.00 (eff. 7/01/19), including MWD meetings. SAWPA pays both primary and alternate for attendance, including mileage.			
Total No. of SAWPA Meetings Attended			3
Total No. of SAWPA Meetings Paid			1

DIRECTOR
SIGNATURE

Kati Parker

Kati Parker

Approved by:

Paul Hofer

Paul Hofer
President, Board of Directors

**DIRECTOR PAYSHEET FOR IEUA REPRESENTATIVE
ON REGIONAL POLICY COMMITTEE**

KATI PARKER
EMPLOYEE NO. 1362
ACCOUNT NO. 10900 110100 500000 501215

SEPTEMBER 2019

DATE	TYPE OF MEETING	ATTENDANCE	TOTAL COMPENSATION
09-05-19	Policy Committee Meeting	No (cancelled)	\$-0-
TOTAL REIMBURSEMENT (Up to 10 days of service per month per Ordinance No. 105, including MWD meetings at \$260.00 (eff. 07/01/19.) IEUA pays Regional Policy Committee members (total amount of \$260.00. should reflect on timesheet))			\$-0-
Total No. of Meetings Attended			0
Total No. of Meetings Paid			0

**DIRECTOR
SIGNATURE**

[Handwritten Signature]

Director

Approved by:

[Handwritten Signature]

Paul Hofer
President, Board of Directors

Attachment 2F

Payroll-Net Pay-Employees

Non-Board Members	PP 21 Checks	PP 21 EFTs	PP 22 Checks	PP 22 EFTs	October
NET PAY TO EE	\$0.00	\$812,465.69	\$0.00	\$820,327.05	\$1,632,792.74

INLAND EMPIRE UTILITIES AGENCY

Payroll for October 11, 2019

Presented at Board Meeting on December 18, 2019

GROSS PAYROLL COSTS			\$1,444,523.29
DEDUCTIONS			(\$632,057.60)
NET PAYROLL			812,465.69
NET PAYROLL BREAKDOWN	CHECKS	EFT	TOTAL
CHECKS USED			
TRANSACTION PROCESSED		364	364
AMOUNT	\$0.00	\$812,465.69	<u>\$812,465.69</u>

INLAND EMPIRE UTILITIES AGENCY

Payroll for October 25, 2019

Presented at Board Meeting on December 18, 2019

GROSS PAYROLL COSTS			\$1,425,137.09
DEDUCTIONS			(\$604,810.04)
NET PAYROLL			820,327.05
NET PAYROLL BREAKDOWN	CHECKS	EFT	TOTAL
CHECKS USED			
TRANSACTION PROCESSED		368	368
AMOUNT	\$0.00	\$820,327.05	<u>\$820,327.05</u>

**ACTION
ITEM
2A**



Date: December 18, 2019

To: The Honorable Board of Directors

From: Shivaji Deshmukh, General Manager

Committee: Audit

12/09/19

Finance & Administration

12/11/19

Executive Contact: Shivaji Deshmukh, General Manager

Subject: IEUA Fiscal Year (FY) 2018/19 Single Audit and Audited Comprehensive Annual Financial Report

Executive Summary:

The Single Audit Report for FY ended June 30, 2019, was prepared by the Agency's independent audit firm Lance, Soll & Lunghard, LLP (LSL), in compliance with the Single Audit Act and the Office of Management and Budget (OMB) Uniform Guidance. In the auditor's opinion, IEUA is in compliance with each major federal grant award program and continues to qualify as a "low-risk auditee."

The Comprehensive Annual Financial Report (CAFR) for fiscal year ended June 30, 2019, was prepared in conformity with generally accepted accounting principles (GAAP) as set forth by the Governmental Accounting Standards Board (GASB) and audited by LSL. Based on their audit and testwork results, LSL found the financial statements present fairly, in all material respects, the respective financial position of each major fund and the aggregate remaining fund information as of June 30, 2019, and the respective changes in financial position, and cash flows thereof in accordance with accounting principles generally accepted in the United States (U.S. GAAP). LSL issued an unmodified (clean) opinion.

Staff's Recommendation:

1. Approve the Single Audit Report for fiscal year ended June 30, 2019;
2. Approve the Comprehensive Annual Financial Report for fiscal year ended June 30, 2019; and
3. Direct staff to distribute the report as appropriate, to the various federal, state, and local agencies, financial institutions, bond rating agencies, and other interested parties.

Budget Impact Budgeted (Y/N): N Amendment (Y/N): N Amount for Requested Approval:

Account/Project Name:

Not Applicable

Fiscal Impact (explain if not budgeted):

There is no impact on the Agency's FY 2019/20 Budget as a result of this item, since related audit service fees are budgeted in the Administrative Services Fund under Professional Services.

Prior Board Action:

On December 19, 2018, the Board of Directors approved the Single Audit and the Comprehensive Annual Financial Reports for FY 2017/18 reviewed by the Audit Committee on December 11, 2018.

On March 16, 2016, the Board approved Contract No. 4600002079 with Lance, Soll & Lunghard, LLP for financial auditing and single audit services, for three fiscal years beginning in FY 2015/16, with the option to extend two additional fiscal years.

Environmental Determination:

Not Applicable

Business Goal:

The IEUA FY 2018/19 Single Audit and the Comprehensive Annual Financial Reports are consistent with the Agency's Business Goal of Fiscal Responsibility in providing transparent communication of the fiscal year activity and the net position of the different programs of the Agency.

Attachments:

Attachment 1 - Background

Exhibit A - Single Audit

Exhibit B - FY 2018/19 Audited Comprehensive Annual Financial Report (in Substantive

Completed Form - <https://www.ieua.org/fy-2018-2019-comprehensive-annual-financial-report-substantive-completed-form-fiscal-year-ended-june-30-2019/>)

Attachment 2 - PowerPoint

Attachment 3 - LSL Financial Audit Results Presentation

Background

Subject: IEUA Fiscal Year (FY) 2018/19 Single Audit and Audited Comprehensive Annual Financial Report (CAFR)

FY 2018/19 Single Audit

The Single Audit Act and the Office of Management and Budget (OMB) Uniform Guidance require each non-federal entity that expends \$750,000 or more of federal grants to undergo an annual independent audit. The objectives of the Single Audit are to determine whether there are issues that could have a direct and material effect on internal control over compliance for each major federal grant award, and eligibility of federal grant award expenditures. In FY 2018/19, IEUA incurred \$2,367,017 in total federal costs, including \$526,816 from United States Bureau of Reclamation (USBR) programs and \$1,840,201 from Environmental Protection Agency (EPA) programs.

The Agency's independent external audit firm, Lance, Soll & Lunghard, LLP (LSL), performed the annual single audit for the fiscal year ended June 30, 2019, to be processed by the Federal Clearing House by March 2020. The total federal cost figures are subject to change after processing by the Federal Clearing House. The Single Audit Report for the FY 2018/19 expressed the following opinion over the Agency's expense of federal funds:

1. IEUA is in compliance with each major federal grant award program.
2. No significant deficiencies in internal control over major federal award programs.
3. No findings or questioned costs to major federal award programs.
4. IEUA qualifies as a "low-risk auditee."

Qualifying as a "low-risk auditee" benefits the Agency by improving IEUA's ability to obtain future grant and loan awards. Achieving this status through the Single Audit is indicative of diligent grant management and shows grantors that IEUA is a reliable partner for future funding opportunities

FY 2018/19 Comprehensive Annual Financial Report

The Agency's Comprehensive Annual Financial Report (CAFR) for fiscal year ended June 30, 2019 was prepared in conformity with generally accepted accounting principles in the United States of America (GAAP), as set forth by the Governmental Accounting Standards Board (GASB). Responsibility for both the accuracy of the presented data, and the completeness and fairness of the presentation, including all disclosures, rests with the management of the Agency. To the best of staff's knowledge, the data presented is accurate in all material respects, and reported in a manner designed to fairly present the financial position and results of operations for the various Agency funds and account groups. Disclosures are included within the CAFR to enable the reader to gain an understanding of the Agency's financial activities. Exhibit A is a copy of the Agency CAFR in substantially final form.

FY 2018/19 Financial Highlights

The Agency's statement of net position for fiscal year (FY) ended June 30, 2019, reflects \$709 million, an increase of \$62 million compared to the prior fiscal year.

Decrease in the Wastewater and Water Connection Fees

Connection fees to the regional water and wastewater systems were lower than the prior fiscal year. Wastewater connections fees were \$22.9 million, with 3,390 new units compared to 5,233 units and \$32.8 million in the prior fiscal year. The lower number of new connections is primarily due to slower building activities in FY 2018/19 as compared to prior year. Water connection fees were \$5.9 million in FY 2018/19 compared to \$7.8 million in FY 2017/18.

Imported Water Pass-Through Sales and Purchases

Imported water deliveries for FY 2018/19 were 64,168-acre feet (AF) compared to 67,977 AF reported in FY 2017/18, a decrease of 3,809 AF. The decrease is due to lower demand as a result of wet winter season in FY 2018/19, partially offset by a shift from groundwater to imported water supplies by some member agencies due to water quality restrictions, primarily 1,2,3-Trichloropropane (TCP).

Funding of Employee Retirement Liabilities

Consistent with the Board policy, funding of the pension unfunded liabilities and other post-employment benefits (OPEB) continued in FY 2018/19 with \$4.5 million and \$2.0 million, respectively. Since the inception of the funding plan, cumulative additional contributions toward the unfunded liabilities of \$22.5 million for pension and \$15 million for OPEB have been made through fiscal year ended June 30, 2019. As of June 30, 2019, the most recent actuarial valuation date, the OPEB plan is 87 percent funded with an unfunded actuarial accrued liability of \$2.1 million. The net pension liability measured as of June 30, 2019 using measurement date of June 30, 2018 was 81.3 percent funded at \$38.1 million, in accordance with GASB 68.

Work in Progress (WIP)

The number of open projects under the category of Work in Progress (WIP) decreased by 20 percent in FY 2018/19 compared to FY 2017/18. WIP total cost increased from \$96.6 million from \$81.7 million mainly due to the ongoing design phase of the RP-5 Expansion project and the RP-5 Biosolids Facility project, and the construction of the RP-1 Headworks Gate Replacement project.

Interfund transfers

Inter-fund transfers are used to transfer funds between Agency Funds to support debt service, capital and operations and maintenance costs. One example is the transfer of water connections fees initially recorded in the Recycled Water fund and transferred to other fund to support eligible capital and water use efficiency projects. The individual transactions offset one another and do

not impact the overall net position of the Agency, with the receiver fund recording an increase and sender fund recording a decrease in net position.

In FY 2018/19, inter-fund transfers of \$17.9 million were made to support debt service requirements, the Agency's pro-rata share of groundwater recharge O&M costs, and the allocation of water and wastewater connection fees to support capital project costs based upon information defined in 2015 rate and fee studies. The following tables summarize the Transfers In (Table 1) and Transfer Out (Table 2) by type and between Major and Non-Major funds.

Table 1. FY 2018/19 Transfers In

	Transfer In							Total
	Major Funds				Non-Major Funds			
	Wastewater Capital	Wastewater Operations	Recycled Water	Water Resources	Admin. Services	Groundwater Recharge	Non-Reclaimable Wastewater	
Debt Service		\$0.3	\$2.4			\$0.5		\$3.2
Total Capital Contributions	\$5.4	\$6.3		\$0.4	\$1.4		\$0.2	\$13.7
Operations Support					\$0.4	\$0.6		\$1.0
TOTAL	\$5.4	\$6.6	\$2.4	\$0.4	\$1.8	\$1.1	\$0.2	\$17.9

Table 2. FY 2018/19 Transfers Out

	Transfer Out							Total
	Major Funds				Non-Major Funds			
	Wastewater Capital	Wastewater Operations	Recycled Water	Water Resources	Admin. Services	Groundwater Recharge	Non-Reclaimable Wastewater	
Debt Service	\$3.2							\$3.2
Total Capital Contributions	\$6.0	\$5.4	\$2.3					\$13.7
Operations Support		\$0.3	\$0.6		\$0.1			\$1.0
TOTAL	\$9.2	\$5.7	\$2.9	\$ -	\$0.1	\$ -	\$ -	\$17.9

Debt Service Interfund Transfer \$3.2 million

The Agency issues debt as a single system, pledging consolidated sources of funds to obtain better financing terms. The projects included in the debt financing support different programs and funds. Debt service costs (repayment of principal and interest) are allocated to programs and funds proportionate to the debt proceeds allocated to support respective capital projects. Since the sources of funds may not always match the debt service requirements in an individual fund, debt financing needs are evaluated and an interfund transfer is used to support debt service are recorded in the corresponding funds.

For Fiscal Year 2018/19, Wastewater Capital fund supported bond debt service for the 2008B Variable Rate Bonds recorded in the Groundwater Recharge fund, as well as the 2010A and 2017A Bonds in the Recycled Water fund.

Capital Interfund Transfer \$13.7 million

Capital interfund transfers support capital project expenditures as determined by the nature of the project and funding sources during the fiscal year.

Projects are assigned to the fund that will benefit from the investment. In some cases, where a project will benefit multiple funds, the project is assigned to a single fund to effectively track and

monitor project budget and costs throughout its lifecycle. For these projects, actual expenditures are allocated amongst the funds based on their benefit share at the end of the fiscal year. In Fiscal Year 2018/19, interfund transfers from the Wastewater Operations fund to the Wastewater Capital fund recorded its share of cost for projects such as the RP-1 Liquids and Solids Treatment Expansion, Carbon Canyon Water Recycling Facility Asset Management and Improvements, and the RP-5 Liquid Expansion.

Additionally, capital projects that support common Agency-wide activities are recorded in the Administrative Services fund. For Fiscal year 2017/18 interfund transfers to the Administrative Service fund from the Wastewater Capital, Recycled Water, and Non-Reclaimable Wastewater funds supported projects, including; improvements to the conference rooms audiovisual equipment, headquarters roof replacement, RP-1 training room, replacement of fleet vehicles, replacement of records management system and related projects, and enhancement to the Agency's computer infrastructure network and cybersecurity.

The 2015 engineering rate study included the allocation of water and wastewater connection fees amongst eligible projects recorded in various Agency funds. Interfund transfers are used to allocate connection fees amongst eligible projects based on actual expenditures and funding sources.

In Fiscal Year 2018/19 water connection fees initially recorded in the Recycled Water fund supported projects like the Water Bank and water planning initiatives recorded in the Water Resources fund, as well as a small portion of the Recharge Master Plan Update (RMPU) and Administrative Services fund project costs.

Inter fund transfers of wastewater connection fees to the Administrative Services and the Non-Reclaimable Wastewater fund supported eligible project costs.

Operation Support Interfund Transfer \$1.0 million

Operation support interfund transfers are limited to certain non-capital projects, such as the Agency's pro rata share of the groundwater recharge basin of operating and maintenance costs, per agreement with Chino Basin Watermaster.

In Fiscal Year 2018/19 Wastewater Operations, Recycled Water, and Non-Reclaimable funds supported Administrative services non-capital projects like Agency wide coatings.

External Auditors' Independent Audit

The Agency's independent audit firm, Lance, Soll & Lunghard, LLP (LSL) performed the annual financial audit. LSL issued an unmodified ("clean") opinion over the financial statements for the Fiscal Year ended June 30, 2019, indicating that the financial statements are presented fairly and in accordance with GAAP and free of material misstatements. LSL found no material deficiency in internal controls over financial reporting.

LSL also completed the Single Audit Report for all billing activity for FY 2018/19 to be processed by the Federal Clearing House by March 2020. No findings in the Single Audit were noted by LSL.

Fiscal Year 2018/19 is the fourth audit completed by LSL in accordance to the contract approved by the Board of Directors on March 16, 2016 for three fiscal years beginning in FY 2015/16, including the option to extend the contract two fiscal years. The agreement covers the audit of the Inland Empire Utilities Agency (Agency) financial statements, review of the annual appropriations limit calculation, and audit of the Agency's federal awards in accordance with Office of Management and Budget (Single Audit).

Internal Audit Department Review

Internal Audit staff performed an additional quality control check/review of the draft financial statements with emphasis on; overall mathematical accuracy, validation of the MD&A, notes, and statistical sections, including continued disclosure compliance as required by bond covenants, to the financial statements or to other supporting information.

Internal Audit staff's review did not include tests of transactions, or tests of the reliability of the totals and amounts included in the various categories, accounts, funds, statements, etc. Staff relies on the work of the external auditors to test the reliability of the financial information reported. Staff's review is only one of an additional quality control to ensure a professional presentation, consistent with prior years.

Government Financial Officers Association (GFOA) CAFR Award

For the past twenty years, the Agency has applied for and received the GFOA CAFR Award for excellence in financial reporting. The deadline to submit the FY 2018/19 CAFR is December 31, 2019.

The IEUA FY 2018/19 Comprehensive Annual Financial Report is consistent with the Agency's Business Goal of Fiscal Responsibility in providing transparent communication of the Fiscal Year activity and the net position of the different programs of the Agency.

INLAND EMPIRE UTILITIES AGENCY

SINGLE AUDIT REPORT

JUNE 30, 2019

DRAFT

INLAND EMPIRE UTILITIES AGENCY

SINGLE AUDIT REPORT

JUNE 30, 2019

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DRAFT



INDEPENDENT AUDITORS' REPORT ON INTERNAL CONTROL
OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS
BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE
WITH *GOVERNMENT AUDITING STANDARDS*

To the Board of Directors
Inland Empire Utilities Agency
Chino, California

We have audited, in accordance with the auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards* issued by the Comptroller General of the United States, the financial statements of each major fund and the aggregate remaining fund information of the Inland Empire Utilities Agency (the Agency), as of and for the year ended June 30, 2019, and the related notes to the financial statements, which collectively comprise the Agency's basic financial statements, and have issued our report thereon dated [REDACTED], 2019.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the Agency's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinions on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the Agency's internal control. Accordingly, we do not express an opinion on the effectiveness of the Agency's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or, significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the Agency's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.





INDEPENDENT AUDITORS' REPORT ON COMPLIANCE FOR EACH MAJOR PROGRAM
AND ON INTERNAL CONTROL OVER COMPLIANCE AND REPORT ON THE SCHEDULE OF
EXPENDITURES OF FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE

To the Board of Directors
Inland Empire Utilities Agency
Chino, California

Report on Compliance for Each Major Federal Program

We have audited the Inland Empire Utilities Agency (the Agency)'s compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the Agency's major federal programs for the year ended June 30, 2019. The Agency's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with federal statutes, regulations, and the terms and conditions of its federal awards applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of Agency's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. Code of Federal Regulations Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the Agency's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the Agency's compliance.

Opinion on Each Major Federal Program

In our opinion, the Inland Empire Utilities Agency complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2019.



CPAs AND ADVISORS

To the Board of Directors
Inland Empire Utilities Agency
Chino, California

Report on Internal Control over Compliance

Management of the Agency is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the Agency's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the Agency's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. *A material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. *A significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on the Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have audited the financial statements of the Agency, as of and for the year ended June 30, 2019, and the related notes to the financial statements, which collectively comprise Agency's basic financial statements. We issued our report thereon dated **December 13, 2019**, which contained unmodified opinions on those financial statements. Our audit was conducted for the purpose of forming opinions on the financial statements that collectively comprise the basic financial statements. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the



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To the Board of Directors
Inland Empire Utilities Agency
Chino, California

auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the basic financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

Lance, Solt & Luyhard, LLP

Brea, California
December 13, 2019

DRAFT

INLAND EMPIRE UTILITIES AGENCY

SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE FISCAL YEAR ENDED JUNE 30, 2019

Federal Grantor/Pass-Through Grantor/Program Title	Federal CFDA Number	Pass-Through Entity Identifying Number	Passed Through to Subrecipients	Total Federal Expenditures
<u>U.S. Department of the Interior</u>				
Direct programs:				
Title XVI Water Reclamation and Reuse *	15.504	N/A	\$ 311,432	\$ 311,432
Reclamation States Emergency Drought Relief	15.514	N/A	-	178,257
Water Conservation Field Services	15.530	N/A	-	37,127
Total U.S. Department of the Interior			311,432	526,816
<u>Environmental Protection Agency</u>				
Passed through the State of California Water Resources Control Board:				
Capitalization Grants for Clean Water State Revolving Funds *	66.458	80-832-1913	-	1,840,201
Total Environmental Protection Agency			-	1,840,201
Total Expenditures of Federal Awards			\$ 311,432	\$ 2,367,017

*Major Program

Note a: Refer to Note 1 to the schedule of expenditures of federal awards for a description of significant accounting policies used in preparing this schedule.

Note b: There were no federal awards expended in the form of noncash assistance and insurance in effect during the year.

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The accompanying notes are an integral part of this schedule.

INLAND EMPIRE UTILITIES AGENCY

**NOTES TO THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS
FOR THE YEAR ENDED JUNE 30, 2019**

Note 1: Summary of Significant Accounting Policies Applicable to the Schedule of Expenditures of Federal Awards

a. Scope of Presentation

The accompanying schedule presents only the expenditures incurred by the Inland Empire Utilities Agency (the Agency), that are reimbursable under federal programs of federal financial assistance. For the purposes of this schedule, federal awards include both federal financial assistance received directly from a federal agency, as well as federal funds received indirectly by the Agency from a non-federal agency or other organization. Only the portion of program expenditures reimbursable with such federal funds is reported in the accompanying schedule. Program expenditures in excess of the maximum federal reimbursement authorized or the portion of the program expenditures that were funded with state, local or other non-federal funds are excluded from the accompanying schedule.

b. Basis of Accounting

The expenditures included in the accompanying schedule were reported on the modified accrual basis of accounting. Under the modified accrual basis of accounting, expenditures are incurred when the Agency becomes obligated for payment as a result of the receipt of the related goods and services. Expenditures reported included any property or equipment acquisitions incurred under the federal program. The Agency has elected not to use the 10-percent *de minimis* indirect cost rate allowed under the Uniform Guidance.

Note 2: Reconciliation of State Water Resource Control Board and GAAP Expenditure Reporting

For the year ended June 30, 2019, the following adjustments were necessary to reconcile the federal awards provided to the Agency for the Capitalization Grants for Clean Water State Revolving Funds federal awards program per the State Water Resource Control Board's records to the federal expenditures reported by the Agency under accounting principles generally accepted in the United States of America (GAAP).

Federal awards per the State Water Resource Control Board:	
C-06-8105-110	\$ 17,800
C-06-8105-120	2,189,656
Subtotal	<u>2,207,456</u>
Adjustment for expenditures reported in the prior fiscal period:	
C-06-8105-120	(2,189,656)
Adjustment for expenditures accrued in the current fiscal period:	
C-06-8105-120	580,939
C-06-8173-110	1,241,462
Subtotal	<u>(367,255)</u>
Total Federal Expenditures of the Capitalization Grants for Clean Water State Revolving Funds Program (GAAP)	<u>\$ 1,840,201</u>

INLAND EMPIRE UTILITIES AGENCY

SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2019

SECTION I - SUMMARY OF AUDITORS' RESULTS

Financial Statements

Type of auditors' report issued:

Unmodified Opinion

Internal control over financial reporting:

- Significant deficiencies identified? ___yes Xno
- Material weaknesses identified? ___yes Xnone reported

Noncompliance material to financial statements noted?

___yes Xno

Federal Awards

Internal control over major programs:

- Significant deficiencies identified? ___yes Xno
- Material weaknesses identified? ___yes Xnone reported

Type of auditors' report issued on compliance for major programs:

Unmodified Opinion

Any audit findings disclosed that are required to be reported in accordance with Uniform Guidance?

___yes Xno

Identification of major programs:

CFDA Number(s)

Name of Federal Program or Cluster

15.504
66.458

Title XVI Water Reclamation and Reuse
Capitalization Grants for Clean Water State Revolving Funds

Dollar threshold used to distinguish between type A and type B program

\$750,000

Auditee qualified as low risk auditee?

Xyes ___no

INLAND EMPIRE UTILITIES AGENCY

**SCHEDULE OF FINDINGS AND QUESTIONED COSTS
FOR THE YEAR ENDED JUNE 30, 2019**

SECTION II - FINANCIAL STATEMENT FINDINGS

No matters were reported.

SECTION III - FEDERAL AWARD FINDINGS AND QUESTIONED COSTS

No matters were reported.

DRAFT



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www.ieua.org

**SUMMARY SCHEDULE OF PRIOR YEAR FINDINGS AND QUESTIONED COSTS
FOR THE FISCAL YEAR ENDED JUNE 30, 2018**

There were no findings reported for the fiscal year ended June 30, 2018.

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Water Smart – Thinking in Terms of Tomorrow

Paul Hofer
President

Jasmin A. Hall
Vice President

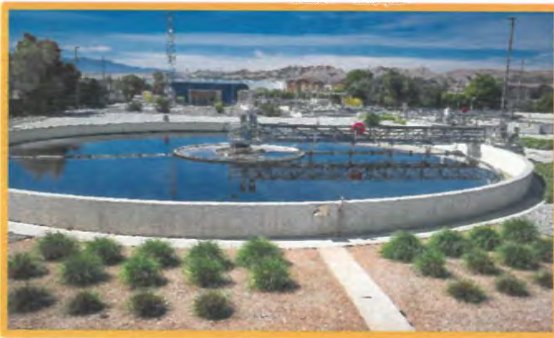
Kati Parker
Secretary/Treasurer

Michael E. Camacho
Director

Steven J. Elie
Director

Shivaji Deshmukh
General Manager

Single Audit and Comprehensive Annual Financial Reports Fiscal Year Ended June 30, 2019



FY 2018/19 Single Audit

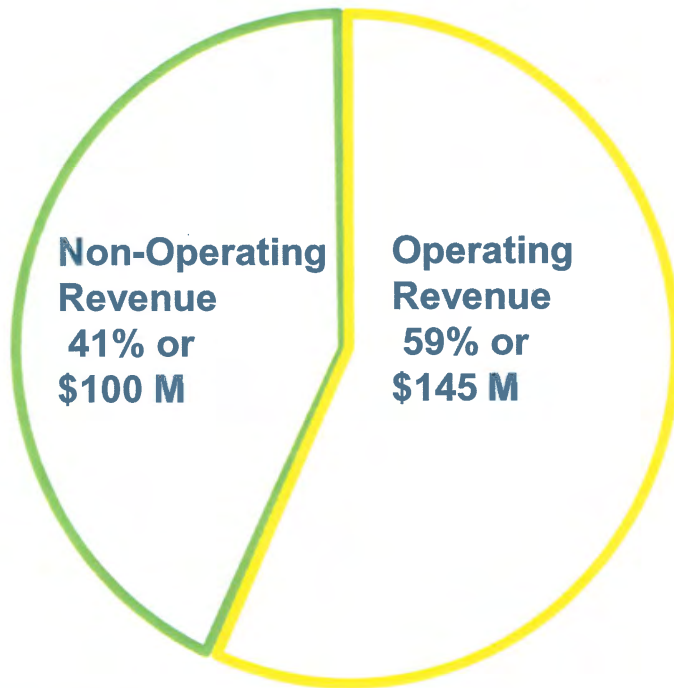
- Federal Cost \$2.37 million:
 - \$0.53 million US Bureau of Reclamation (USBR)
 - \$1.84 million Environmental Protection Agency (EPA)
- In compliance with each major federal grant award program,
- No significant deficiencies in internal control over major federal award programs,
- No findings or questioned costs to major federal award programs, and
- IEUA qualifies as a “low-risk auditee”.

FY 2018/19 Financial Highlights

- **Increased total net position by \$62 million:**
 - Operating activities: (\$24) million
 - Non-operating activities: \$86 million
- **Total net position as of 6/30/19 \$709 million:**
 - \$442 million net investment in capital assets
 - \$113 million restricted assets
 - \$154 million designated / unrestricted assets
- **Interfund transfers \$17.9 million (Note 15 to Financial Statements):**
 - \$ 3.2 million Debt service support
 - \$13.6 million Capital support
 - \$ 1.1 million Operations and Maintenance support

FY 2018/19 Revenues

**Total Revenue
\$245 Million**



\$ Million

Operating revenue:

• Water Sales	\$46
• Service Charges	\$85
• Recycled Water Sales	\$14
Total Operating Revenue	<u>\$145</u>

Non-Operating revenue:

• Property Tax	\$53
• Connection Fees	\$29
• Capital Grants	\$ 2
• Other nonoperating expenses	\$16
Total Non-Operating Revenue	<u>\$100</u>

FY 2018/19 Expenses & Change in Net Position

\$ Million

Operating expense:

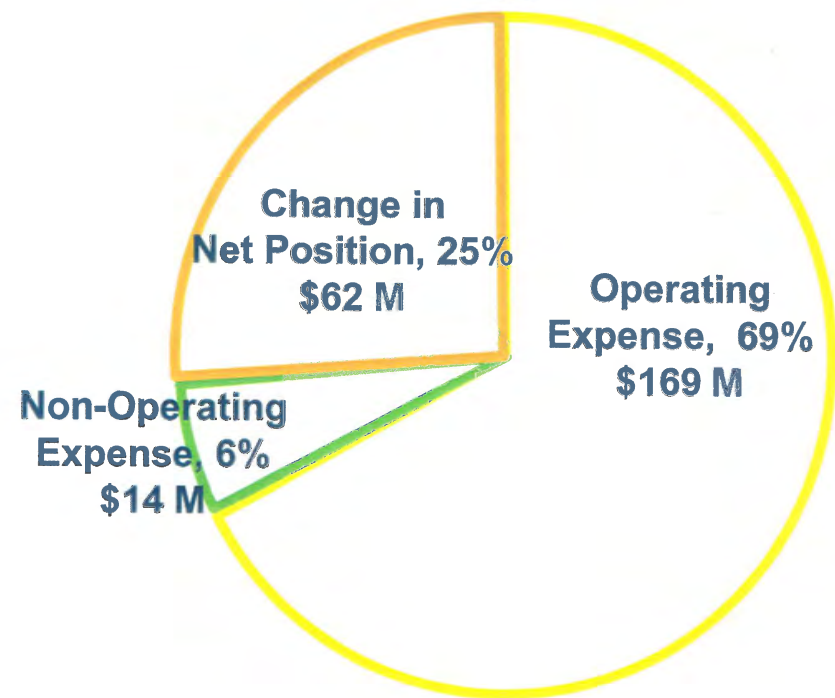
• Water Purchases	\$46
• Wastewater Treatment	\$45
• O&M and Support Services	\$42
• Depreciation and Amortization	<u>\$36</u>
Total Operating Expense	<u>\$169</u>

Non-Operating Expense:

• Interest on long term debt	\$ 6
• Other nonoperating expenses	<u>\$ 8</u>
Total Non-Operating Revenue	<u>\$ 14</u>

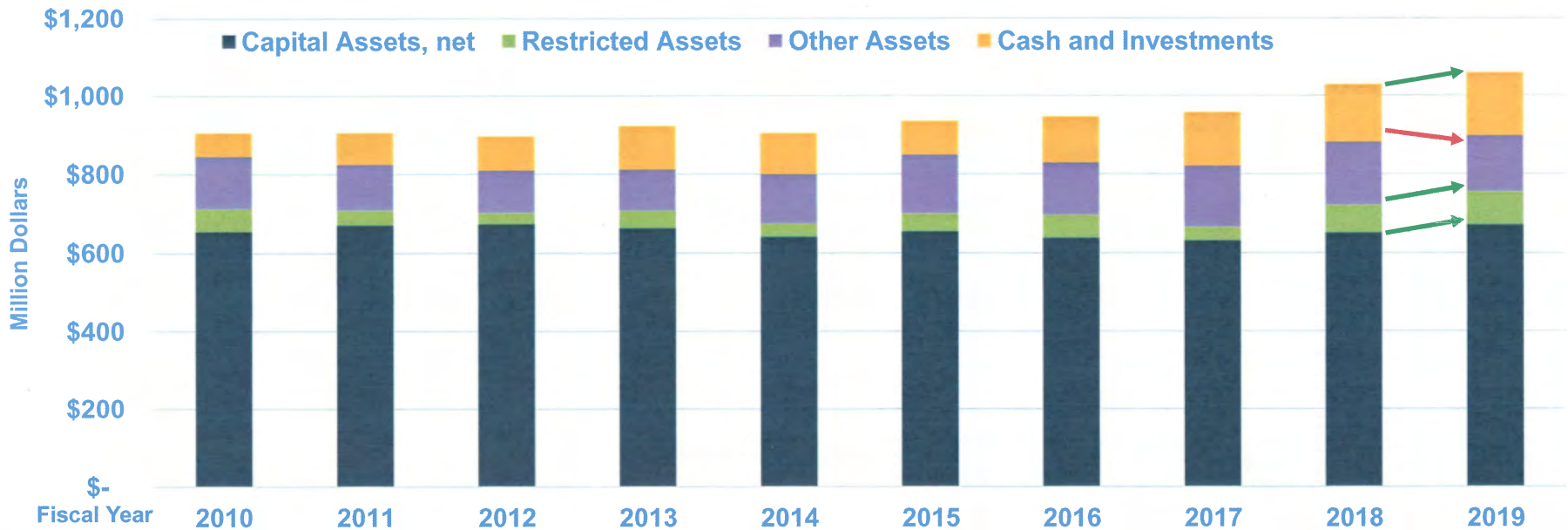
Change in Net Position: \$62

Total Expenses & Change in Net Position \$245 Million



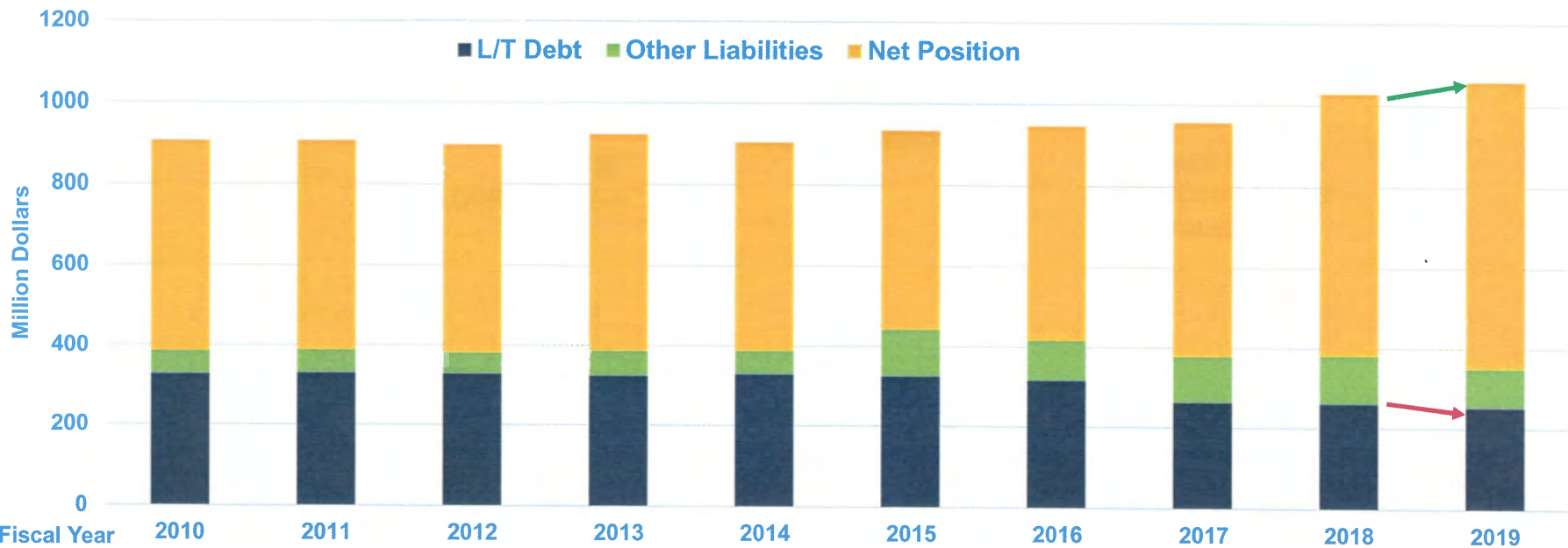
Total Assets increased \$41 million

- Capital assets, restricted assets and cash and investments grew, other assets went down driven by reduction in deferred outflows related to pension



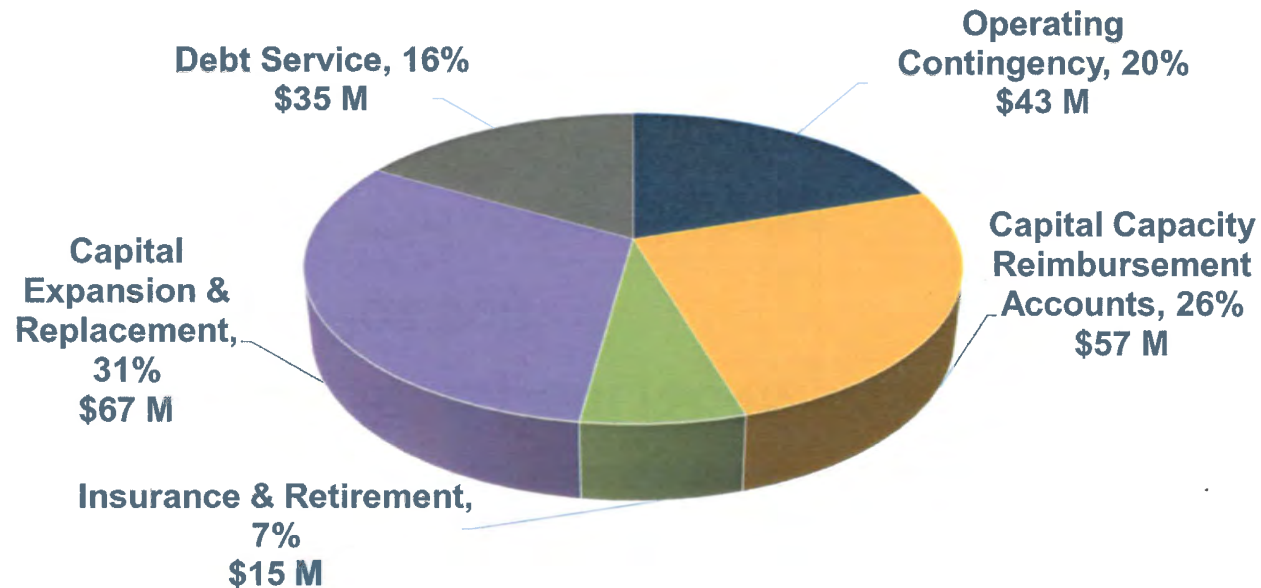
Total Liabilities and Net Position

- \$ 8.0 M decrease in Long Term Debt (\$64M in last 3 years)
- \$ 62.0 M increase in Net Position



FY 2018/19 Ending Cash Position \$246 Million

- \$29 million increase from prior fiscal year



Recommendation

1. Approve the Inland Empire Utilities Agency Single Audit Report for fiscal year ended June 30, 2019;
2. Approve the Inland Empire Utilities Agency Comprehensive Annual Financial Report for fiscal year ended June 30, 2019; and
3. Direct staff to distribute the reports as appropriate, to the various federal, state, and local agencies, financial institutions, bond rating agencies, and other interested parties.

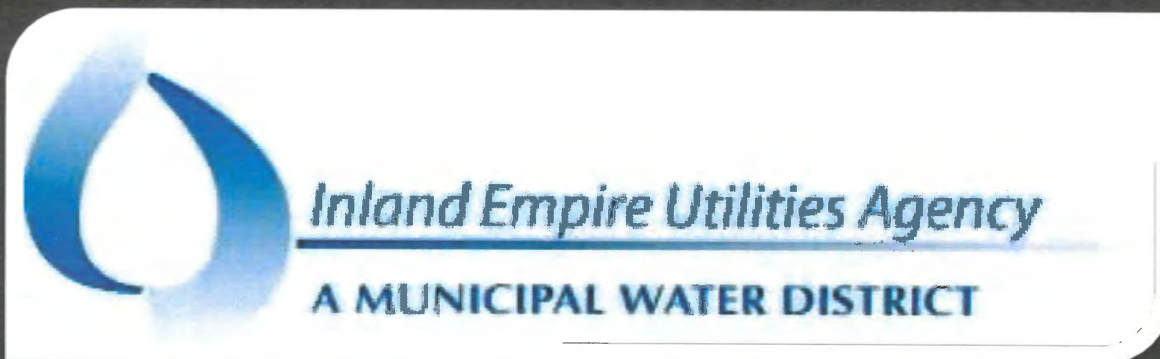
Questions



The comprehensive annual financial report is consistent with the Agency's business goal of fiscal responsibility



INLAND EMPIRE UTILITIES AGENCY FISCAL YEAR ENDED JUNE 30, 2019 FINANCIAL AUDIT RESULTS



Focused
on YOU



CPAs AND ADVISORS



HIGHLIGHTS FROM LAST MEETING

- Met last with auditors June 10, 2019
- Discussed our planning and audit approach
- Met with audit committee outside of management
- Audit fieldwork performed the week September 30, 2019



FY 2019 Audit Communication Results

Financial Audit

- Opinion Letter
- Report on Internal Control and Other Matters
- Audit Communication Letter (SAS 114 – **conclusion**)

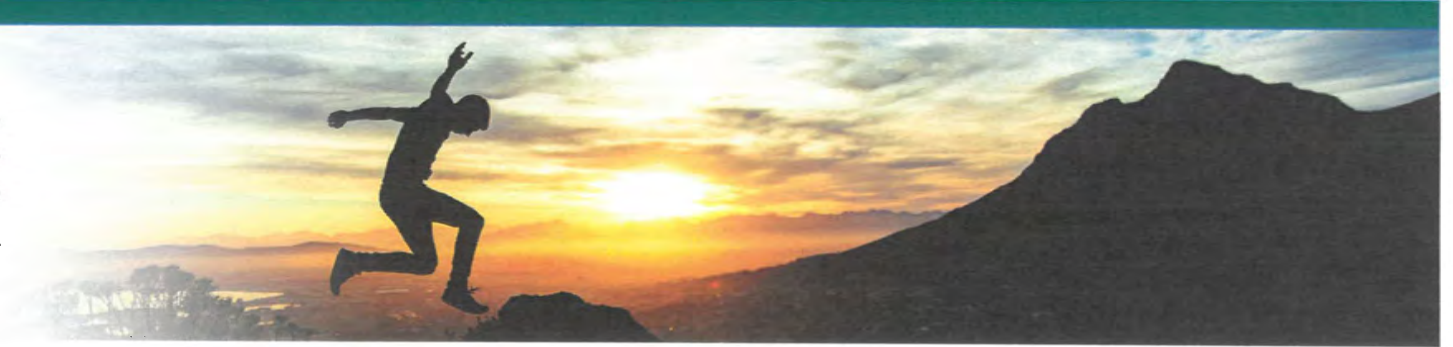
Single Audit

- Schedule of Expenditures of Federal Awards
- Report on Internal Control over Compliance



Opinion Letter

- Unmodified Opinion
 - Based on our audit of significant transactions, our understanding of the Agency's policies and procedures, and our testwork results, we have issued an Opinion that the financials statements are free of material misstatements at June 30, 2019.



Report on Internal Control over Financial Reporting and on Compliance and Other Matters

- In planning and performing the audit, we consider the Agency's Internal Controls over Financial Reporting specific to giving an opinion of the Financial Statements, but this is not the purpose of the audit.
- No opinion is expressed on the internal controls
- During our course of audit procedures weaknesses may come to our attention and communicated to Management and to you in this letter. None to report at this time.



Audit Communication Letter (SAS 114 – conclusion)

- Significant Audit Findings
 - Accounting Practices
 - Significant and Appropriate Estimates: OPEB and Pension Liabilities
 - No Difficulties Encountered in Performing the Audit
 - No Disagreements with Management to disclose
 - Management Representation includes a letter to the auditors regarding their acceptance of responsibilities and disclosures of no known errors or fraudulent activities to communicate to auditors



Audit Communication Letter (SAS 114 – conclusion)

- 2019 – Implemented this year.
 - Asset Retirement Obligations (GASB 83) – none noted
 - New disclosures relating to debt (GASB 88) – updated to footnotes
- **FUTURE YEAR – New Accounting Pronouncements**
- 2020
 - Fiduciary Activity changes (GASB 84)
- 2021
 - Lease reporting (GASB 87)



CPAs AND ADVISORS



Single Audit

- Federal Expenditures of \$2.4 million.
 - Title XVI Water Reclamation and Reuse s \$311 thousand
 - Water State Revolving Fund \$1.8 Million
- No findings noted



Thank you

- Contact information:
 - Deborah.harper@lslcpas.com
 - Ryan.Domino@lslcpas.com
 - 714-672-0022

- Any Questions



Inland Empire Utilities Agency

A MUNICIPAL WATER DISTRICT

**ACTION
ITEM
2B**



Date: December 18, 2019

To: The Honorable Board of Directors
Committee: Finance & Administration

From: Shivaji Deshmukh, General Manager

ASD
12/11/19

Executive Contact: Christina Valencia, Executive Manager of Finance & Administration/AGM

Subject: Establishing the Governing Documents of the Defined Contribution Plans
Administrative Committee and Health Reimbursement Arrangement Trust Committee

Executive Summary:

As the Plan Sponsor of the 457(b) and 401(a) deferred compensation plans (the Plans), the Agency has a fiduciary responsibility to exercise care and prudence in selecting and monitoring plan investments and monitoring the service providers to ensure plan fees are reasonable. To assist the Agency more effectively meet its fiduciary responsibility, a contract for retirement investment consulting services was awarded to Hyas Group in June 2019. In addition to taking the lead in the evaluation of Plan service providers, Hyas is also assisting the Agency in establishing a governance structure to more effectively administer the Plan activities and performance going forward, as well as adding a Health Reimbursement Arrangement (HRA) benefit. The proposed structure includes the creation of the Defined Contribution Plans Administrative Committee and the and the HRA Trust Committee (Committees) to serve as trustees of the Plans. Committee members include: Executive Manager of Finance and Administration/AGM as Chairperson, Manager of Human Resources as co-Chairperson, Manager of Finance and Accounting, two Participating Employee representatives elected by Plan participants, and the Investment Consultant. Committee members will have a fiduciary responsibility to ensure Plans are administered solely in the interest of Plan participants. These fiduciary responsibilities are outlined in the attached documents.

Staff's Recommendation:

1. Approve Resolution No. 2019-12-2, authorizing the Defined Contribution Plans Administrative Committee, Committee Charter, Statement of Investment Policies and Guidelines, Fee and Expense Policy Statement and as Plan Sponsor delegate its administrative and fiduciary authority to the Committee;
2. Approve Resolution No. 2019-12-3, authorizing the Health Reimbursement Arrangement Trust Committee, Committee Charter, Statement of Investment Policies and Guidelines, Fee and Expense Policy Statement and as Plan Sponsor delegate its administrative authority to the Committee; and
3. Authorize the General Manager to execute associated Plans, subject to non-substantive changes.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

Account/Project Name:

Retirement plan investment advisory and legal counsel services for the initial assessment will be funded by Professional Services budget in the Administrative Services fund from unrestricted reserves. Thereafter, fees will be supported by Plan administrative fees paid by participants.

Fiscal Impact (explain if not budgeted):

None

Prior Board Action:

None

Environmental Determination:

Not Applicable

Business Goal:

The engagement of a retirement plan investment advisory supports the IEUA Business Goal of Fiscal Responsibility to monitor the performance of deferred contribution programs to ensure the operation and investment are solely for providing benefits to participants and beneficiaries.

Attachments:

Attachment 1 - Background

Attachment 2 - IEUA Defined Contribution Plans Administrative Committee Charter - Draft

Attachment 3 - IEUA Defined Contribution Plans Administrative Committee Statement of Investment Policies and Guidelines - Draft

Attachment 4 - IEUA Defined Contribution Plans Administrative Committee Fee and Expense Policy Statement - Draft

Attachment 5 - IEUA HRA Trust Committee Charter - Draft

Attachment 6 - IEUA HRA Trust Committee Statement of Investment Policies and Guidelines - Draft

Attachment 7 - IEUA HRA Trust Committee Fee and Expense Policy Statement - Draft

Attachment 8 - Resolution No. 2019-12-2

Attachment 9 - Resolution No. 2019-12-3

Background

Subject: Governing Documents of the Defined Contribution Plans Administrative Committee and Health Reimbursement Arrangement Trust Committee

DEFINED CONTRIBUTION PLANS

A major component of the Agency's retirement benefit package provided to employees includes employer sponsored 457(b) deferred compensation and 401(a) defined contribution plans (Plan or Plans). During their course of employment, and on a voluntary basis, employees may choose to contribute to a Plan, or Plans, on a tax-deferred basis. Currently, the Agency, as the Plan Sponsor, engages California Public Employees' Retirement System (CalPERS), Lincoln Financial Group (Lincoln), and ICMA Retirement Corporation (ICMA-RC) as service providers to administer the Plans. The Executive Manager of Finance and Administration/Assistant General Manager currently serves as the Plan Administrator.

Under the California State Constitution, Article XVI Section 17, government entities that sponsor 457(b) and 401(a) plans have fiduciary responsibilities to ensure the operation and investment of the public retirement plan is for the sole purpose of providing benefits to participants and beneficiaries. Where a Plan Sponsor engages a third-party service provider to provide administrative or investments related services, as is the case for the Agency, it is still the Plan Sponsor's main fiduciary responsibility to exercise care and prudence in selecting and monitoring Plan investments, monitoring the service providers performance and ensure Plan fees are reasonable. As of June 2019, the combined total assets of the 457(b) and 401(a) plans were just under \$30 million with 361 participants, including approximately 130 retirees.

HEALTH REIMBURSEMENT ARRANGEMENT

If approved, a Health Reimbursement Arrangement (HRA) will further expand employee benefits and allow eligible employees to make contributions on a tax-deferred basis to offset qualified health care costs for participants, dependents and beneficiaries. Contributions, earnings and withdrawals are all tax free. Withdrawals may only be made to pay for eligible medical expenses as defined in Internal Revenue Service (IRS) section 213 of the Internal Revenue Code (IRC). HRAs are considered IRC section 115 Trusts and are only available to employees at public sector agencies. They are common within the public sector, especially here in southern California where the first HRA was introduced at the city of Long Beach in 1999.

Like the 401(a) Defined Contribution Plan, employee participation in an HRA is a voluntary, irrevocable defined contribution. Employees choosing to participate will designate a fixed amount or percentage contribution that cannot be modified or cancelled while still employed at the Agency. HRA participants can offset health costs such as: medical (health, dental, and eye) insurance premiums, co-pays, and prescriptions. A key advantage is the ability for retiring participants to deposit accrued sick leave balances into their HRA accounts on a tax-deferred basis. The Agency, as the Plan Sponsor, may also contribute to HRA.

Investment Consultant

Deferred compensation plans and health reimbursement arrangements are complex and subject to legal requirements. Since most Plan Sponsors do not have the expertise in-house to appropriately administer these plans, it is not uncommon to engage third party record keepers and/or retirement plan investment advisors. To assist in the evaluation of the current service providers a contract was awarded to Hyas Group to for retirement investment advisory services in June 2019.

Based on the West Coast, Hyas is one of the largest public sector retirement plan consultants in the U.S. representing over 400,000 participants and more than \$30+ billion in plan assets; \$15 billion of the assets are in California. Hyas has over 20 years of industry experience with 457(b), 401(a), CalPERS pension, and HRAs. Some of their local clients include: Eastern Municipal Water District, Irvine Ranch Water District, Metropolitan Water District of Southern California, San Bernardino County Employees' Retirement Association, Alameda County Water District, and the city of Whittier.

In addition to assisting staff with the evaluation of the current 457(b) and 401(a) service providers, Hyas will also assist in establishing an HRA to expand employee benefits. As part of this process, Hyas will also assist staff in establishing a governance structure to more effectively administer these programs going forward.

Defined Contribution Plans Administrative Committee

A key objective of the evaluation process was to establish a governance structure to more effectively administer Plan activities and performance going forward. The proposed structure will include the creation of the Inland Empire Utilities Agency Defined Contribution Plans Administrative Committee (Administrative Committee) to serve as trustees of the Agency's Plan. The Administrative Committee will be comprised of five (5) voting members, including the Executive Manager of Finance and Administration/Assistant General Manager as Chairperson and Plan Administrator, Manager of Human Resources as co-Chairperson, Manager of Finance and Accounting, and two (2) Participating Employee representatives elected by Plan participants. As Plan Sponsor, the Board of Directors will delegate administrative authority to the Administrative Committee members who will serve as trustees in a fiduciary capacity to ensure the Plans are administered solely in the interest of the plan participants and beneficiaries. Hyas Group, the retirement investment advisor contracted by the Agency, will serve in an advisory/co-fiduciary capacity to the Administrative Committee.

The Administrative Committee's fiduciary responsibilities is outlined in the Inland Empire Utilities Agency Defined Contribution Plans Administrative Committee Charter (Attachment 2). Some of the key duties include: selecting the investment design features in accordance with the Inland Empire Utilities Agency Statement of Investment Policies and Guidelines (Attachment 3); monitoring Plan costs and ensuring service providers are providing Plan participants optimal services; administering payment of Plan-related expenses in accordance with the Inland Empire Utilities Agency Fee and Expense Policy Statement (Attachment 4), and providing Plan status reports to the Board of Directors.

Health Reimbursement Arrangement Trust Committee

As recommended by special counsel, a separate committee and governance documents are needed for the proposed HRA. Staff is recommending the same members serve in both the Administrative Committee and the Health Reimbursement Arrangement Trust Committee (HRA Committee). As trustees, HRA Committee members will have a fiduciary responsibility to ensure the HRA is administered solely in the interest of HRA participants and beneficiaries.

The HRA Committee's fiduciary responsibilities are outlined in the Inland Empire Utilities Agency HRA Trust Committee Charter (Attachment 5), some of which include: selecting the investment design features in accordance with the Inland Empire Utilities Agency HRA Trust Committee Statement of Investment Policies and Guidelines (Attachment 6); monitoring HRA costs and ensuring service providers are providing participants optimal services; administering payment of the HRA Trust related expenses as outlined in the Inland Empire Utilities Agency HRA Trust Committee Fee and Expense Policy Statement (Attachment 7), and providing Plan status reports to the Board of Directors.

Conclusion

If approved, the proposed governance structure will enhance the overall quality and value for plan participants and their beneficiaries by more effectively monitoring investments, service provider performance, and ensuring fees remain appropriate and competitive.

**DEFINED CONTRIBUTION PLANS
ADMINISTRATIVE COMMITTEE**

COMMITTEE CHARTER

**INLAND EMPIRE
UTILITIES AGENCY**

December 2019

DRAFT

INLAND EMPIRE UTILITIES AGENCY

DEFINED CONTRIBUTION ADMINISTRATIVE COMMITTEE CHARTER

I. INTRODUCTION.....PAGE 1

II. MEMBERSHIP OF THE COMMITTEEPAGE 1

 A. Appointed Members

 B. Advisory Members

 C. Elected Members

 D. Vacancies of the Committee

 E. Alternates

III. COMMITTEE ACTIONPAGE 2

IV. RIGHTS AND DUTIESPAGE 3

V. ALLOCATION AND DELEGATION OF RESPONSIBILITYPAGE 3

VI. INDEMNIFICATIONPAGE 4

VII. RESPONSIBILITIES.....PAGE 4

VIII. STANDARD OF CARE.....PAGE 5

IX. PLAN DOCUMENTSPAGE 6

X. PRIOR VERSIONS.....PAGE 6

XI. AMENDMENT.....PAGE 6

GLOSSARY.....PAGE 7

DRAFT

I. INTRODUCTION

This Charter sets forth the rights, powers, responsibilities, and obligations of the Defined Contribution Plans Administrative Committee (the "Committee") for Inland Empire Utilities Agency ("Agency") 457 Deferred Compensation Plan and 401 (a) Defined Contribution Plan (collectively the "Plans" and each individually a "Plan") to provide tax deferred retirement benefits to eligible employees and their beneficiaries. The Plans are pension benefit plans established pursuant to Sections 457(b) and 401(a), respectively, of the Internal Revenue Code of 1986, as amended (the "Code").

As the Plan Sponsor of the Plans, the Agency established the Committee as the Plan Administrator, and appointed the Executive Manager of Finance and Administration/Assistant General Manager as the chairperson of the Committee. The Committee is charged under this Charter with carrying out its duties and responsibilities as Plan Administrator. It is the intent of the Committee to fulfill its fiduciary responsibilities with respect to the Plans solely in the interest of the participants and beneficiaries.

II. MEMBERSHIP OF THE COMMITTEE

The Committee members, as fiduciaries, are to perform their duties with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.

The five (5) member Committee shall consist of the following identified positions:

A. Appointed Members:

1. Executive Manager of Finance & Administration/Assistance General Manager, as Chairperson
2. Manager of Human Resources, as co-Chairperson
3. Manager of Finance and Accounting

Each Appointed Member shall serve by virtue of and only so long as that member holds the identified position.

B. Elected Members:

1. Two (2) Participating Employee Representatives

Employee representatives will be elected by active plan participants to serve a two-year term beginning the first day of the month following the election. Employee representatives must be an active participant in the 457 and/or 401(a) plans. Employee representatives may resign at any time by giving thirty (30) day notice to the Committee Chairperson and/or co-Chairperson.

Committee members shall serve without compensation. All reasonable out-of-pocket expenses of the Committee shall be paid by the Agency in accordance with its standard reimbursement policies unless paid from Plan assets.

C. Vacancies on the Committee

Vacancies on the Committee will occur upon the occurrence of any of the following events:

1. Resignation/termination of Agency employment by an appointed member.
2. A member fails to complete the requisite education and ethics training after being notified by the Plan Administrator.
3. A member is convicted of a felony or any other offense involving a violation of member's official duties.
4. The decision of a competent court declaring void the member's appointment.
5. The death of the member.

D. Alternates

The Committee may have up to three alternates. In the absence of the primary member, alternate members will have all rights, powers, privileges, liabilities and duties established by this Charter when attending a meeting or conducting business as directed by that matter. The Deputy Manager of Human Resources will serve as the alternate for the appointed members. Alternatives for the elected members will be the two participating employees with the most votes after the two primary elected members.

Upon resignation of an elected primary member, the Committee will appoint an alternate member to assume the primary role unless they choose not to do so.

III. COMMITTEE ACTION

The Committee shall endeavor to meet at least quarterly to review Plans performance and to review the Plans investment options offered in accordance with the Plans Investment Policy Statement. The Committee will also make changes to the investment offerings as provided under the Investment Policy Statement and as warranted. The Executive Manager of Finance and Administration/Assistant General Manager shall function as the Committee Chairperson. The Manager of Human Resources shall function as the Committee Co-Chairperson. The Chairperson, co-Chairperson or their designee is authorized to execute any document or documents on behalf of the Committee and will retain signatory authority for all Plans-related functions. The Consultant shall provide the agenda and follow up correspondence regarding Committee's proceedings and forward these to the Agency.

The Committee Chairperson is responsible for the preparation of the meeting agenda and the agenda material. The agenda will be distributed to Committee members a minimum of one week before the scheduled meeting date. All Committee members will have the responsibility for submission of appropriate agenda items to the Committee Chairperson. Minutes will be promptly prepared following each Committee meeting and distributed to the Committee members and any other person deemed appropriate by the Committee Chairperson. Minutes of the previous meeting shall be acted upon at the following meeting.

The Agency, through its Committee, shall be responsible for the data, records, and documents pertaining to the Committee's duties associated with the Plans. With respect to any matter brought to the Committee for a vote, each voting member shall be entitled to one vote. The Committee shall act by a majority of its members

and such action may be taken either by a vote at a meeting with at least three members present physically or through teleconferencing.

IV. RIGHTS AND DUTIES

A. The Committee and any representatives that it chooses to assist it to carry out its responsibilities under the Plan shall have the maximum discretionary authority permitted by law to interpret, construe and administer the Plan, to make determinations regarding participation, enrollment and eligibility for benefits under the Plan, to evaluate and determine the validity of benefit claims, and to resolve any and all claims and disputes regarding the rights and entitlements of individuals to participate in the Plan and to receive benefits and payments pursuant to the Plan. The decisions of the Committee and its representatives shall be given the maximum deference permitted by law. Pursuant to such authority, the Committee shall, in a fiduciary capacity, take the actions described below:

1. Make rules, regulations, interpretations, and computations, and take such other action as is necessary or appropriate to administer the Plans in a uniform and nondiscriminatory manner consistent with the terms of the Plan documents and applicable law for the exclusive benefit of the participants and beneficiaries in the Plans;
2. Determine who is eligible under the terms of the Plans;
3. Determine benefit levels in accordance with the terms of the Plans;
4. Obtain necessary compliance testing and Plan audits;
5. Receive, review and, where appropriate, take action concerning accounts and reports furnished by the Plans' trustee or others;
6. Prepare and file or distribute all reports and notices which are required by law or necessary to administer the Plans;
7. Collect, classify and deposit with the Plans' trustee the Plan contributions;
8. Safeguard the Plan assets;
9. Modify, amend or alter the provisions of the Plans in whole or in part to remain compliant with Internal Revenue Code Section 457 and 401 (a) and regulations thereunder;
10. Determine the investment options offered to participants of the Plans and approve changes as appropriate;
11. Determine disbursement options such as loans from the Plans as defined by the appropriate Plan Documents;
12. Establish Ad-Hoc Subcommittees as appropriate to facilitate the operation of the Plans;

13. Maintain or have maintained all necessary records for the administration of the Plans;
14. Authorize, subject to current Agency contracting authority, all service providers necessary or desirable for the administration of the Plans including a consultant, record keeper(s), third-party administrator(s), trustee(s), custodian(s), insurance company(ies), regulated investment company(ies), legal or special counsel and/or other such service provider(s) as shall be necessary or appropriate for the administration and operation of the Plans; and
15. Ensure all Committee members complete fiduciary and ethics training as identified by the Plan Administrator or their designee.

V. ALLOCATION AND DELEGATION OF RESPONSIBILITY

The Committee may, by written rule or by its minutes, allocate responsibilities among Committee members and may delegate to persons or firms other than Committee members the authority to carry out responsibilities under the Plan. The Committee's delegation of responsibilities will be conducted in accordance with its fiduciary duties as set forth herein. In the event a responsibility is allocated to a Committee member, no other Committee member shall be liable for any act or omission of that person except as may otherwise be required by law. If a responsibility is delegated to a person or firm other than a Committee member or their alternate, the Committee shall not be responsible or liable for any act or omission of such person or entity in carrying out such responsibility except as may otherwise be required by law.

VI. INDEMNIFICATION

The Agency shall defend, indemnify and hold harmless the members of the Committee and other employees and former employees acting for and on behalf of the Plan ("Indemnitees") to the fullest extent permitted by law, from and against any and all liabilities, demands, claims, losses, costs and expenses, including reasonable attorneys' fees, arising out of, relating to, or resulting from any action, inaction or conduct relating to membership on the committee for the management and administration of the Plans unless the Indemnitee's action, inaction or conduct arise out of, relate to, or result from Indemnitee's gross negligence, bad faith, willful misconduct, or a willful violation of law including, but not limited to, a breach of fiduciary duty.

The Agency may purchase insurance to cover potential liability of one or more Committee members. The Plans may purchase insurance for the Committee members or for itself to cover liability or losses occurring by reason of the act or omission of a Committee member, if such insurance permits recourse by the insurer against the Committee member in the case of a breach of a fiduciary obligation by such Committee member. Furthermore, a Committee member may purchase insurance to cover fiduciary liability from and for his or her own account.

VII. RESPONSIBILITIES

The Committee shall have the following responsibilities related to Plans' investments:

- A. Selecting the investment design features of the Plans. This includes establishing:
 - 1. The investment policy and objectives,
 - 2. The number and types of investment alternatives available to Plan participants, and
 - 3. Participant investment procedures;
- B. Appointing, monitoring and evaluating all investment fund options in accordance with guidelines and benchmarks established within this document and consistent with applicable laws;
- C. Invest the assets of the Plans with the care, skill, prudence, and diligence under the circumstances then prevailing of a prudent man acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims. Investments must be diversified so as to minimize the risk of large losses, unless under the circumstances it is clearly prudent not to do so. In addition, investments must be sufficiently liquid to pay benefits as they become due under the Plan. All Plan investments must be appropriate for the type of retirement plan involved and consistent with governing law;
- D. Identify appropriate investment strategies and appropriate investment funds with respect to the Plans, or properly delegate such matters to one or more investment managers and/or the participants in the Plan. In the event such matters are delegated, the Committee shall regularly monitor the investments of the Plans and, if necessary, take corrective actions;
- E. Formulate policies, practices and procedures to carry out the funding of the Plans which shall be consistent with the objectives of the Plans and the provisions of applicable law. Without limiting the generality of the foregoing, the Committee shall, from time to time, accomplish the following with respect to the Plans:
 - 1. Review short-term, intermediate and long-range investment goals;
 - 2. Determine and project benefit liabilities;
 - 3. Make plans to satisfy the liquidity needs of the Plans;
 - 4. Consult with the trustee or such other advisors as may be necessary to maintain adequate funding and assure the payment of benefits due under the Plans;

- F. Monitoring Plans costs which are charged to Plans assets and/or paid by Plans participants, including, but not limited to, investment management fees, administrative fees, trustee fees and fees paid to other Plans service providers;
- G. Ensuring general investment information is available to Plan participants regarding the procedures for making investment choices under the Plans and general investment information regarding each of the investment options offered under the Plan;
- H. Ensuring the service provider(s) to the Plans are providing education to plan participants, and all other services included in their service agreements; and
- I. Providing at least annual reports of the Plans' status to the Board of Directors.

Additional information regarding Plan investments may be found in the Inland Empire Utilities Agency Defined Contribution Plans Statement of Investment Policies and Guidelines ("IPS").

VIII. STANDARD OF CARE

The Committee shall discharge its duties with respect to the Plans in such a manner as to comply with applicable law and regulations including Code Section 457 and 401(a) and regulations thereunder. Generally, all assets and income of the Plan shall be held in trust for the exclusive benefit of participants and their beneficiaries. The Committee members, as trustee and fiduciaries, are to perform their duties with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in like capacity and familiar with such matters would use.

IX. PLAN DOCUMENTS

The Plans shall be governed by and administered under one or more plan documents and trust agreements. These Plan documents and trust agreements, together with any other formal documents maintained for the operation and administration of the Plans, shall be available for inspection by Plans participants during business hours.

X. PRIOR VERSIONS

This Charter shall supersede and/or repeal all previous versions or actions.

XI. AMENDMENT

This Charter may be amended by a majority vote of the Inland Empire Utilities Agency Deferred Compensation Administrative Committee at a meeting called for that purpose.

DATED this _____ day of _____, 2019.

INLAND EMPIRE UTILITIES AGENCY

By _____

Committee Chairperson

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GLOSSARY

Ad-Hoc Sub Committees: Established as needed by the Deferred Compensation Administrative Committee to address specific needs.

Committee Chair/Plan Administrator: Executive Manager of Finance and Administration/Assistant General Manager, or as appointed by the Plan Sponsor.

Deferred Compensation Administrative Committee (“Committee”): Established by the Plan Sponsor to serve as the investment fiduciary for the Plans.

Fees: Fees or charges paid to third party providers for Plan administration and investment management.

Fiduciary: Anyone with discretionary authority or control over the administration of a retirement plan or its assets have the responsibility to act with care, prudence and solely in the best interest and for the exclusive benefit of Plan participants and beneficiaries. Fiduciaries include Plan Sponsor, Trustee, Plan Administrator, a Committee appointed by the Board, and Service Providers.

Investment Consultant (“Consultant”): An entity that offers investment option products and manages assets for the Plans. Examples of investment option products offered by an investment provider may include mutual funds, commingled trust funds, separate accounts and/or variable annuity contracts.

Investment Manager: The person(s) at the Investment Provider responsible for implementing an investment option’s investing strategy and managing its portfolio trading activities.

Investment Objectives: The overall financial objectives of an investor. For example, whether the investor requires income or capital appreciation. The investor’s objectives govern the investment strategy.

Investment Provider: An entity that offers investment option products and manages assets for the Plans. Examples of investment option products offered by an investment provider may include mutual funds, commingled trust funds, separate accounts and/or variable annuity contracts.

Legal Counsel: The general counsel to the Plans’ Sponsor.

Plans: Code Sections 457 and 401(a) deferred compensation plans established by Inland Empire Utilities Agency to provide eligible employees an opportunity to accumulate retirement savings on a tax-favored basis.

Plan Documents: The governing documents of the Plans.

Plan Sponsor: Inland Empire Utilities Agency

Proxy Vote: A form of voting whereby some members of a decision-making body may delegate their voting power to other members of the same body to vote in their absence, and/or to select additional representatives. A person so designated is called a "proxy" and the person designating him or her is called a "principal".

Record-Keepers or Record Keepers: The Service Providers engaged by the Plans to keep and maintain the Plans' participant data.

Special Counsel: Legal counsel to the Committee.

Services Provider: An entity engaged to assist the Plans' Sponsor, Plan Administrator and the Committee in regard to the administration of the Plans. Service Providers may include but are not limited to: the Plans' Record-Keepers, investment consultants, accountants, and/or any other providers. This assistance may include Plan enrollment, communication, education, including providing general investment information to Plan participants regarding the procedures for making investment choices under the Plans and general investment information regarding each of the investment options offered under the Plans, distribution processing, record keeping and other administrative functions as prescribed in an agreement entered into between the Plans' Sponsor and the Services Providers. Where appropriate, agreements with services providers must specifically note their acceptance of fiduciary responsibility.

**DEFINED CONTRIBUTION PLANS
ADMINISTRATIVE COMMITTEE**

**STATEMENT OF INVESTMENT POLICIES
AND GUIDELINES**

**INLAND EMPIRE
UTILITIES AGENCY**

December 2019

Inland Empire Utilities Agency
457 DEFERRED COMPENSATION,
401(a) DEFINED CONTRIBUTION PLANS

STATEMENT OF INVESTMENT POLICIES AND GUIDELINES

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I. INTRODUCTION AND PURPOSE

This statement is set forth to provide a clear understanding of the investment policies, guidelines and objectives related to the administration of the Inland Empire Utilities Agency 457 Deferred Compensation and 401(a) Defined Contribution Plans (collectively the “Plans” and each individually a “Plan”). The Plans are pension benefit plans governed by Sections 457(b) and 401(a), respectively, of the Internal Revenue Code of 1986, as amended (the “Code”).

The Inland Empire Utilities Agency Defined Contribution Plans Administrative Committee (the “Committee”) was established by Inland Empire Utilities Agency (the “Agency”) as the Plan Sponsor to administer and provide oversight for the Plans, and operates pursuant to, and in compliance with the Committee Charter dated December 18, 2019. The Plans are salary deferral retirement vehicles available to eligible employees who are interested in saving for retirement on a tax-favored basis. The purpose of the Plans’ is to provide a vehicle for and to encourage additional retirement savings to supplement the retirement benefits provided to employees.

This Investment Policy Statement (IPS) is further intended to assist the fiduciaries of the Plans in making investment-related decisions in a prudent manner. It outlines the underlying philosophies and processes for the selection, monitoring and evaluation of the investment options and investment providers utilized by the Plans. This IPS will be reviewed at least annually and it can be revised at any time to reflect changes in the capital markets, plan participant objectives, or other factors relevant to the Plans.

II. SUMMARY OF RESPONSIBILITIES

A. Committee – The Committee serves as the investment fiduciary for the Plans. The Committee conducts reviews of the Defined Contribution Plans (457/401a) trusts and investments and makes recommendations as necessary. The Committee’s responsibilities include the following:

1. Establishment and maintenance of the IPS;
2. Appointment of an investment consultant to assist the Committee in selecting, monitoring, and modifying investment options;
3. Selection of investment options (including a default investment option) for the Plans, with the assistance of the investment consultant, as set forth below;
4. Providing access to investment asset classes deemed appropriate for use by participants;
5. Research, selection, and offering of specific funds appropriate for identified asset classes;
6. Monitoring the performance of the investment advisor, and removing or replacing the investment advisor to the extent determined appropriate by the Committee;
7. Monitoring the performance and suitability of investment options, with the assistance of the investment consultant;
8. Ceasing new investments to, or withdraw assets from, an investment option when determined appropriate by the Committee, with the assistance of the investment consultant;
9. Advising each participant of the participant’s responsibility for investing his or her Plan accounts in the available investment options, and assure that each participant receives adequate fund information, investment education and performance reports;
10. Managing and controlling investment related costs of the Plans.

The five (5) Committee members collectively shall serve as Trustees. The Committee is composed of the Executive Manager of Finance and Administration/Assistant General Manager as Chairperson; the Manager of Human Resources as the co-Chairperson; the Manager of Finance and Accounting; and two (2) Participating Employee Representatives.

It is the intent of the Committee to fulfill their fiduciary responsibilities with respect to the Plans solely in the interest of the participants and beneficiaries. The Committee members, as fiduciaries, are to perform their

duties with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.

- B. Investment Consultant** - The Committee may recommend engaging an independent investment consultant (hereinafter “Consultant”) to assist in carrying out the duties and responsibilities of this Investment Policy Statement. Such Consultant must be registered with either State or Federal securities regulators pursuant to the Investment Advisors Act of 1940. The Consultant’s role is to provide information and advice to the Committee on various investment related issues. The Consultant has no discretionary control or authority over Plan assets. In its role as an advisor to the Committee the Consultant acknowledges a fiduciary role with respect to the investment advice provided to the Committee. The services of the Consultant will be set forth in a separate agreement between the Plans’ Sponsor and Investment Consultant.
- C. Investment Provider** – An entity that offers investment option products and manages assets for the Plans. Examples of investment option products offered by an investment provider may include mutual funds, commingled trust funds, separate accounts and/or variable annuity contracts.
- D. Investment Manager** – The person(s) at the Investment Provider responsible for implementing an investment option’s investing strategy and managing its portfolio trading activities.
- E. Services Provider** – An entity engaged to assist the Plans’ Sponsor, Plan Administrator and the Committee in regard to the administration of the Plans. Services Providers may include but are not limited to; the Plans’ record-keepers, investment consultants, accountants, and/or any other providers. This assistance may include Plan enrollment, communication, education, including providing general investment information to Plan participants regarding the procedures for making investment choices under the Plans and general investment information regarding each of the investment options offered under the Plans, distribution processing, record keeping and other administrative functions as prescribed in an agreement entered into between the Plans Sponsor and the Services Providers. Where appropriate, agreements with services providers must specifically note their acceptance of fiduciary responsibility.
- F. Legal Counsel** – The general counsel to the Plans’ Sponsor, or special counsel will serve as legal counsel to the Committee.

III. GENERAL COMPLIANCE

The Committee will act accordingly, as Fiduciaries following best practices as established Article XVI, section 53609 of the California Constitution. These practices include but may not be limited to the Duty of Loyalty, Duty of Prudence, and Duty to Follow Plan Document.

The Plans’ investment policies and guidelines shall be reviewed on an annual basis for modifications, as needed, but may be modified at any time as deemed necessary by the Committee. The Committee may make and modify decisions regarding the investment options at least quarterly or as appropriate.

These are participant-directed Plans. Both employee and employer contributions may be directed to certain investment options specified by the Committee and/or to a broader range of investment options made available through a participant’s optional individual directed account.

The Plan fiduciaries intend to provide a range of investment options that will enable participants to invest according to varying risk tolerance, savings time horizon, and financial goals. Participants choose the investment options for their contributions from among those available under the Plans and may change their investment

choices as often as permitted by the respective Summary Plan Descriptions and investment fund managers.

As applicable, at minimum, it is intended that Plan participants shall be provided with the following opportunities:

- A. Choose from a minimum of three diverse investment categories, each with materially different risk and return characteristics. At least one of the categories will provide for a high degree of safety and capital preservation.
- B. Receive or have access to the following information, as updated:
 - 1. A description of the investment alternatives available under the Plans including a general description of the investment objectives, risk and return characteristics, and type and diversification of assets comprising each alternative;
 - 2. Identification of the designated Investment Providers, Managers and investment products;
 - 3. A description of any transaction fees or expenses charged to the Plan participant's account, and information on costs and fees for an investment product that reduces the rate of return to Plan participants (expense ratios); and
 - 4. Prospectuses, annual reports, and semi-annual reports on investment products, if available.

IV. GENERAL INVESTMENT POLICY, OBJECTIVES AND STANDARDS

It is the policy of the Plans to foster an investment environment that encourages and facilitates participant efforts to supplement other sources of retirement income. The Plans will be structured in an attempt to provide Plan participants with an array of investment options that offer competitive rates of return and reasonable overall cost. Participants in the Plans are solely responsible for their own investment decisions and bear the risks and assume responsibility for the results of the investment options that they select. The Plan Sponsor and Committee make no representations, promises, or warranties regarding the suitability of Plan participation for any participant's individual investment or retirement needs. Additionally, the Plans' Sponsor and Committee(s) make no representations, promises or warranties about the performance of the Plans or the Plan's investments.

The Plans exist in a very dynamic marketplace in which new investment alternatives may become available over time. At present, the market offers a broad array of investment products. These products may include:

- Fixed annuity options
- Variable annuity options
- Co-mingled trust funds
- Mutual funds
- Shares of any company, association or corporation

The primary investment objective of the Plans is to present participants with a range of investment options, which give participants an opportunity to increase the value of their investment assets in a manner consistent with varying levels of participant risk/reward tolerances and investment decision making skills. While the Plans cannot meet all Plan participant investment preferences and attitudes, the Plans attempt to provide investment vehicles for participants at various levels of investment sophistication and with varying requirements for risk and return.

Information that may be used to select which investment products to offer includes, but is not limited to, the following:

- Age, income and other demographic data on the Plans participants

- Liquidity and administrative constraints imposed on the Plans by service providers
- Development of new investment products in the marketplace
- Level of participant usage of investment products

To enable participants to establish different investment strategies, the Plans will offer investment categories that have varying return and volatility characteristics. It is the responsibility of each participant to evaluate the investment options and to select an appropriate mix.

A risk/reward structure is basic to investments. Generally, those vehicles offering the greatest return over time also carry the highest risk or volatility of return. The inherent conflict between volatility and long-range asset accumulation can be lessened through diversification among asset classes. To provide participants the opportunity to select risk/reward strategies and to diversify the Plans' assets, the Plans will offer a number of investment alternatives.

In addition to providing a range of investment options, the Plans seek to provide investment options that are competitive in terms of performance relative to appropriate investment performance and risk benchmarks. The performance and risk relationships of the Plans' investment options will be reviewed periodically. Investment options should generally be given a full market cycle to achieve stated objectives (market cycles normally occur over 3-5 years period). Investment options are expected to meet or exceed their pre-determined benchmark index(es) net of fees. Where peer groups are definable, investment options are also expected to perform within the upper half of a sample of same style peers net of fees. In addition to net investment performance, the options' risk characteristics will also be reviewed. The risk associated with an investment option generally should be similar to that of the same-style peer group.

V. INVESTMENT OPTIONS

Investment options offered by the Plans will be categorized or grouped by similarities in investment objectives, style and risk. The Plans' Service Provider(s) and/or Consultant may be asked to assist in determining the categories of investment options. The Plans will be structured to assist participants in meeting their long-term investment objectives by providing investment options within the following permitted investment categories (these categories are further explained in the following pages of this document):

A. Tier 1: Target Retirement Date Pre-Mixed Portfolios

B. Tier 2: Asset Class Investment Options

1. Money Market
2. Fixed/Stable Value
3. US Government Bond
4. Total Return Bond
5. U.S. Large-Size Company Equity
6. U.S. Small/Mid-Size Company Equity
7. Global Equity
8. International Equity

C. Tier 3: Self-Directed Brokerage

Investment options and categories may be added or deleted as deemed necessary. At least one investment option shall be available within each investment category. However, under no circumstances shall it be permissible for the Committee or the investment advisor to select any investment options that are sold by the investment advisor or its affiliates.

The following table outlines the objectives and performance benchmarks for each of the Plans' investment options. The risk associated with an investment option will be compared to appropriate risk benchmarks or measures for a same-style group of peer investment options, where definable.

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TIER 1: TARGET RETIREMENT DATE PRE-MIXED PORTFOLIOS

Lifecycle Premixed Portfolio – Retirement Income	
Provide different levels of income and capital growth dependent upon an individual participant’s specific target retirement or withdrawal date. Portfolios provide different allocations to stocks and bonds dependent upon the target retirement or withdrawal date that is selected. The portfolio will be well diversified including U.S. and international fixed income securities and U.S. and international equities. Stocks generally will comprise 30% of the total portfolio. The percentage of international equities generally will not exceed 50% of the equity portion of the portfolio.	
Benchmark Index:	Custom Blended Index
Peer Groups:	US Target Date Retirement Income

Lifecycle Premixed Portfolio – 2020, 2030, 2040	
Provide different levels of income and capital growth dependent upon an individual participant’s specific target retirement or withdrawal date. Portfolios provide different allocations to stocks and bonds dependent upon the target retirement or withdrawal date that is selected. The portfolio will be well diversified including U.S. and international fixed income securities, and U.S. and international equities. Stocks generally will comprise 50%-85% of the total portfolio. The percentage of international equities generally will not exceed 50% of the equity portion of the portfolio.	
Benchmark Index:	Custom Blended Index
Peer Groups:	US Target Date 2020, US Target Date 2030, US Target Date 2040

Lifecycle Premixed Portfolio –2050, 2060	
Provide different levels of income and capital growth dependent upon an individual participant’s specific target retirement or withdrawal date. Portfolios provide different allocations to stocks and bonds dependent upon the target retirement or withdrawal date that is selected. The portfolio will be well diversified including U.S. and international fixed income securities, and U.S. and international equities. Stocks generally will comprise 85%-90% of the total portfolio. The percentage of international equities generally will not exceed 65% of the equity portion of the portfolio.	
Benchmark Index:	Custom Blended Index
Peer Groups:	US Target Date 2050, US Target Date 2060+

TIER 2: ASSET CATEGORY INVESTMENT OPTIONS

Money Market	
Provide current income and a high degree of investment safety with little or no fluctuation of principal.	
Benchmark Index:	90-Day Treasury Bills Index
Peer Group:	Money Market

Fixed / Stable Value	
Provide high current income relative to cash investments and a high degree of investment safety without fluctuation of principal. Investment returns are derived primarily from interest income. A Fixed or General Account option, which is a fixed rate contract that is backed by an insurance company's balance sheet, is to be of mid-investment-grade rating or higher, and backed by a diversified pool of underlying investments. A stable value option will be invested in guaranteed investment contracts (GICs), "synthetic" portfolios, money market instruments, and others, each mainly comprised of investments of short- to intermediate maturity, and which provide for an adequate degree of liquidity. The weighted-average maturity is expected to remain between two and five years at most times. The overall weighted credit-quality rating of the option shall be the equivalent of mid-investment-grade rating or higher. The rating must be obtained from at least one credit rating agency such as Moody, S&P or Duff & Phelps. If the option's weighted rating declines below this level, the option will be evaluated for corrective action.	
Benchmark Index:	1. 5 Year CMT Index 2. 90-Day Treasury Bills Index
Peer Group:	NA

US Government Bond	
Provide current income consistent with preservation of capital and prudent investment management. Primarily US Government and US Government Agency debt securities. A minority portion of the fund may be invested in non-government Mortgage-Backed Securities such as privately issued adjustable rate and fixed rate mortgage loans or other mortgages related securities, asset-backed securities and corporate securities.	
Benchmark Index:	Bloomberg Barclays US Treasury 5- 10 Year Index
Peer Group:	US Intermediate Government

Total Return Bond	
Provide capital appreciation and income through a diversified, actively managed fixed income portfolio. The portfolio's duration is expected to be comparable to that of its Benchmark Index, with some bandwidth allowed for the manager to exercise strategic deviation from the Benchmark. Average credit quality is expected to be investment grade. The fixed income portfolio will normally be primarily comprised of investments including money market instruments, U.S. Government and Agency bonds, mortgage-backed securities, corporate bonds, and others. The manager will be given discretion to hold securities that are not contained within the Benchmark Index, which may include Foreign Bonds, High Yield Bonds, Convertibles, Treasury Inflation Protected Securities, derivatives, and others. The portfolio's aggregated composition and risk and return characteristics however are expected to be reflective of its asset class.	
Benchmark Index:	Barclays Capital US Aggregate Bond Index
Peer Group:	US Intermediate-Term Bond

TIER 2: ASSET CATEGORY INVESTMENT OPTIONS (continued)

U.S. Large Company Equity	
Provide long-term capital appreciation through a diversified common stock portfolio with an average market capitalization greater than \$10 billion. Stocks of foreign companies that are traded in the U.S. may also be included in the portfolio, but generally should not exceed more than 20% of the total portfolio.	
Benchmark Indexes:	Blend: S&P 500 Index Growth: Russell 1000 Growth Index Value: Russell 1000 Value Index
Peer Groups:	Blend: US Large Cap Blend Growth: US Large Cap Growth Value: US Large Cap Value
U.S. Small/Mid-Size Company Equity	
Provide long-term capital appreciation through a diversified common stock portfolio with an average market capitalization between \$500 million and \$10 billion. Stocks of foreign companies that are traded in the U.S. may also be included in the portfolio, but generally should not exceed more than 20% of the total portfolio.	
Benchmark Indexes:	Blend (active): Blended US Mid-Cap Index Blend (passive): S&P Completion Index
Peer Groups:	Blend: US Mid-Cap Blend
Global Equity	
Provide long-term capital appreciation through a diversified actively managed portfolio of global equities. The option normally invests in issuers from at least five different countries, including the United States.	
Benchmark Indexes:	MSCI All Country World Index (net)
Peer Groups:	World Stock
International Equity	
Provide long-term capital appreciation through a diversified, actively managed portfolio of international equities. Stocks of emerging countries may be used at the discretion of the manager, but generally should not exceed more than 30% of the total portfolio.	
Benchmark Indexes:	Blend (active): MSCI All Country World Index ex-U.S. (net) Blend (passive): Custom Blended Index
Peer Groups:	Blend: US Foreign Blend

TIER 3: SELF-DIRECTED BROKERAGE

Self-Directed Brokerage	
<p>For Plan participants who consider themselves to be knowledgeable about investment principles, the Plan may offer a brokerage account option. Such option may be provided through one or more broker-dealers, as defined and regulated by the National Association of Securities Dealers, Securities Exchange Committee or State Securities Departments. Assets held in a Self-Directed Brokerage account will be maintained in the name of the Plan Trustee or custodian for the benefit of the participant who established the account.</p> <p>Permissible investments for a Self-Directed Brokerage account may include registered mutual funds and other securities permitted under State law. It is the account holder's responsibility to adhere to these and any other restrictions placed on him or her by the Committee, broker-dealer or regulatory body. It is also the account holder's responsibility not to engage in transactions prohibited by statute or any regulatory entity. Investment options available through the account may contain additional risks and are not monitored by the Committee. The Committee may further restrict permissible investments available in the Self-Directed Brokerage. Each Self-Directed Brokerage account holder will be responsible for his or her own commissions, fees or loads applicable to individual securities or mutual fund transactions for the account.</p>	
Benchmark Index:	Not Applicable
Peer Group:	Not Applicable

VI. INVESTMENT OPTION SELECTION GUIDELINES

Investment options offered to participants will be provided through investment provider(s) accessible on the Services Provider's platform. Before introducing a new investment option, the Committee, in consultation with the Consultant and Services Provider will define the niche to be filled and assess any prospective investment option's performance, quality, and risk characteristics. At a minimum, investment options under consideration should satisfy performance and risk considerations under actual, not modeled, conditions and over an appropriate time period. Investment option selection considerations may include, but are not limited to the following:

- A. The investment option should generally, but not necessarily, have a history that spans a full market cycle, normally three to five (3-5) years.
- B. The investment option should generally meet or exceed its predetermined benchmark index, net of fees.
- C. The investment option should generally perform at median or within the upper half of a recognized and defined sample of same-style peer options.
- D. The investment option should be able to demonstrate a consistent performance track record attributable to a specific investment manager or team of managers.
- E. In selecting Target Retirement Date funds, the Committee shall consider the current and prospective composition of the Target Date funds (based on their glide path), and the corresponding risk and return implications relative to the benchmark and peer group constituents.

VII. INVESTMENT OPTION REVIEW GUIDELINES AND MONITORING

Investment providers and investment managers are required to comply with all applicable laws, rules, and regulations. However, the Committee takes no responsibility for the failure of such option and/or investment manager to comply with any and all applicable laws, rules or regulations.

It is recognized that certain Stable Value and Fixed options often have liquidity restrictions. Investment options with sales loads, redemption fees, or other non-investment management related expenses will be avoided to the extent possible.

The Committee shall meet at least semi-annually. However, investment option performance, risk and style consistency is intended to be evaluated on a quarterly basis. Performance and risk results will be evaluated using comparisons with this policy, pertinent market indices and against other same-style peers, where definable. When necessary, investment option performance and risk may be reviewed more frequently.

The Committee will periodically review the investment options' progress in meeting the Plans' investment objectives. Investment options will be expected to comply with all stated investment objectives, guidelines and applicable rules contained in the prospectus or fund fact sheet. The Committee may review the performance of investment options quarterly to determine if they are achieving the established objectives.

A. Investment Performance reviews

Performance reviews may include, but are not limited to, a review of:

1. Investment portfolios;
2. Fees and expenses;
3. Investment style, process and philosophy;
4. Investment management personnel; and
5. Index tracking error.

The performance review will also include measuring the options' investment performance relative to stated benchmarks or respective indexes and peer groups; as well as the monitoring risk measures. The following will be evaluated:

B. Quantitative Measures

1. **Active Investment Strategies.** Options employing active management are expected to outperform their stated asset class or style benchmark net of all management fees over a trailing five-year time period; and to rank above the 50th percentile of the appropriate peer group for the same trailing five year time period. It is also expected that the risk of each option, as defined by standard deviation of returns, be commensurate with the prescribed strategy relative to the appropriate market index and/or peer group.
2. **Passive Investment Strategies.** Passive Options are expected to track the performance of the index strategy that the option is designed to replicate, less management fees, with marginal tracking error. It is also expected that the risk of each passive option, as defined by standard deviation of returns, be commensurate with the appropriate market index.

C. Qualitative Measures

The options will also be monitored on an ongoing basis for other material changes which the Committee may determine are of importance to the decision of whether or not to retain an investment option, such as personnel departures; organizational changes; or alterations in investment style, philosophy, or strategy; and adherence to stated guidelines.

D. Time Periods. The Committee acknowledges that fluctuating rates of return characterize the securities markets, particularly during short-term time-periods. Recognizing that short-term fluctuations may cause variations in an option's performance, the Committee intends to employ investment options with long-term investment strategies and will evaluate option performance from a long-term perspective. Performance over market cycles of three to five years will be weighted more heavily than performance over shorter time periods, such as one year or less.

E. Risk Measurement. In addition to the qualitative and quantitative measures referenced above, the Committee will also review the investment options' risk characteristics in relation to that performance. Risk will be measured in various ways including, but not limited to:

1. Standard deviation
2. Downside risk or semi-variance
3. Risk/return ratios such as Sharp or Treynor Ratios
4. Other statistical measures such as Beta, Alpha and Variance

F. Minimum Standards

Notwithstanding anything to the contrary set forth above in this Section VII, the Committee will consider the following minimum standards in selecting investment options of the Plans:

1. **Manager Tenure with the Fund:** At least 5 years (waived for passive index funds or if Committee values previous experience.)
2. **Fund Net Assets:** At least \$100,000,000 for Large Cap, \$75,000,000 for Mid Cap & \$50,000,000 for Small Cap. (Assumes aggregation of all share classes and waived for index funds).
3. **5-Year Performance in Category:** Better than 50%.
4. **Overall Expense Ratio in Category:** Lower than 50%.
5. **Minimum Fund Performance History:** At least 5 years (waived for new share class, passive index funds or fund of funds).
6. **Investment Options:** Funds with front-end (unless accessed no-load) or back-end sales charges/loads will not be offered in the core lineup.

The Committee may select investment options that do not meet these minimum standards after due consideration and analysis in accordance with this IPS and documentation of the considerations in the selection thereof.

VIII. INVESTMENT OPTION TERMINATION AND WATCH GUIDELINES

Generally, all investment options are expected to remain true to their stated investment objectives and to perform as well as or better than their prescribed performance benchmarks, net of fees. The Committee recognizes the long-term nature of retirement plan investing and the variability of market returns. Periodic underperformance in any of the criteria outlined in this Investment Policy will not necessitate the termination of an option; however, any underperformance will result in consideration by the Committee of the factors causing underperformance and possible courses of action that the Committee may take.

The Committee may, at any time, place any investment option that it views as having a pattern of underperformance on a watch-status. Reasons the Committee might place an option on a watch-status, include but

are not limited to, the following:

A. Quantitative Measures

1. Actively Managed Options
 - a) Performance below the prescribed benchmark index over a trailing five-year period, combined with
 - b) Performance below the median of its peer group over a trailing five-year period
2. Passively Managed Options. Net of fee performance tracking error relative to the respective index that is greater than 15 basis points over a trailing five-year period.
3. Fund Net Assets. Less than \$100,000,000 for Large Cap, \$75,000,000 for Mid Cap & \$50,000,000 for Small Cap (assumes aggregation of all share classes and waived for index funds).
4. Sharpe Ratio: If the ratio is consistently lower than the benchmark (showing risk adjusted return below the benchmark).
5. Alpha: If fund management is consistently subtracting value (persistent negative alpha).
6. Upside/Downside Capture Ratios: If the percentage of gains the fund experiences in up markets versus the losses incurred in down markets becomes unfavorable.

The Committee may select investment options that do not meet these quantitative measures after due consideration and analysis in accordance with this IPS and documentation of the considerations in the selection thereof.

Certain passive investment options operate in a marketplace that includes foreign markets whose exchanges close prior to that of the United States. In these instances, some fund managers may engage in a method of "Fair Value Pricing," whereby the managers adjust the pricing of securities in the Fund to reflect any information that has become available after the close of the applicable foreign market. Discrepancies in performance between the applicable investment option and its performance benchmark that are due to "Fair Value Pricing" will be taken into consideration in evaluating performance of the affected investment options.

C. Qualitative Measures

1. Management team or other significant personnel turnover;
2. Changes or inconsistency in the product's investment philosophy, process, style or risk profile;
3. Excessive or rapid asset growth or decline;
4. Pending regulatory investigations or material legal proceedings;
5. Changes to firm ownership;
6. Significant increase in management fees or expense ratio.
7. In the case of monitoring Target Retirement Date funds, the Committee shall consider the current and prospective composition of the Target Date funds (based on their glide path) and the corresponding risk and return implications relative to the benchmark and peer group constituents.

The Committee may select investment options that do not meet these qualitative measures after due consideration and analysis in accordance with this IPS and documentation of the considerations in the selection thereof.

The Committee's evaluation of a fund that is put "on watch" may include one or more of the following steps:

1. A communication to the fund company asking for an explanation of its underperformance.
2. An analysis of recent transactions, holdings, and portfolio characteristics to determine the cause for underperformance or to verify a change in style.
3. A meeting with the fund company, which may be conducted telephonically or on-site, to gain insight into organizational changes and any changes in strategy or discipline.
4. A formal review of gathered information leading to a decision to: (a) retain the fund in a normal capacity; (b) retain subject to watch status; or (c) terminate.

An investment option may remain on watch status until the Committee decides to take further action. Committee actions include, but are not limited to, the following:

- Removing the investment option from watch status; and
- Terminating the investment option and reallocating the assets to an alternate or replacement investment option by Committee direction.

To be removed from quantitative, performance related watch status, generally, performance for the preceding five-year trailing periods should be above the benchmark index or median for at least two consecutive quarters. However, barring any breakdown in process, the Committee may decide to leave an option on watch for as long as they believe it is prudent to do so.

The Committee reserves the right to terminate investment option relationships at any time, for any reason when it determines such termination is in the best interests of the Plans and their participants and beneficiaries. Upon termination, further contributions or transfers to an investment option may be frozen, or the option may be replaced with or without transferring existing assets from the replaced option. Once the decision to terminate an option and remove it from the Plans is made, asset transfer and liquidation should be handled to the best advantage of the Plans, with due consideration given to the anticipated effect on affected participants and beneficiaries.

IX. PARTICIPANT COMMUNICATIONS

Participant communication materials, including fund prospectuses, will be available from the recordkeeper/trustee and/or Plan Sponsor. Participants will be given updated investment performance information regarding each investment option at least quarterly. Ongoing educational materials intended to assist participants in making informed investment decisions will also be made available periodically.

X. INVESTMENT OVERSIGHT RESPONSIBILITY AND PROXY VOTING

The Committee shall have overall responsibility for the selection, monitoring and termination of all investment managers. Additionally, the Committee shall be responsible for reviewing and maintaining these investment policies and guidelines.

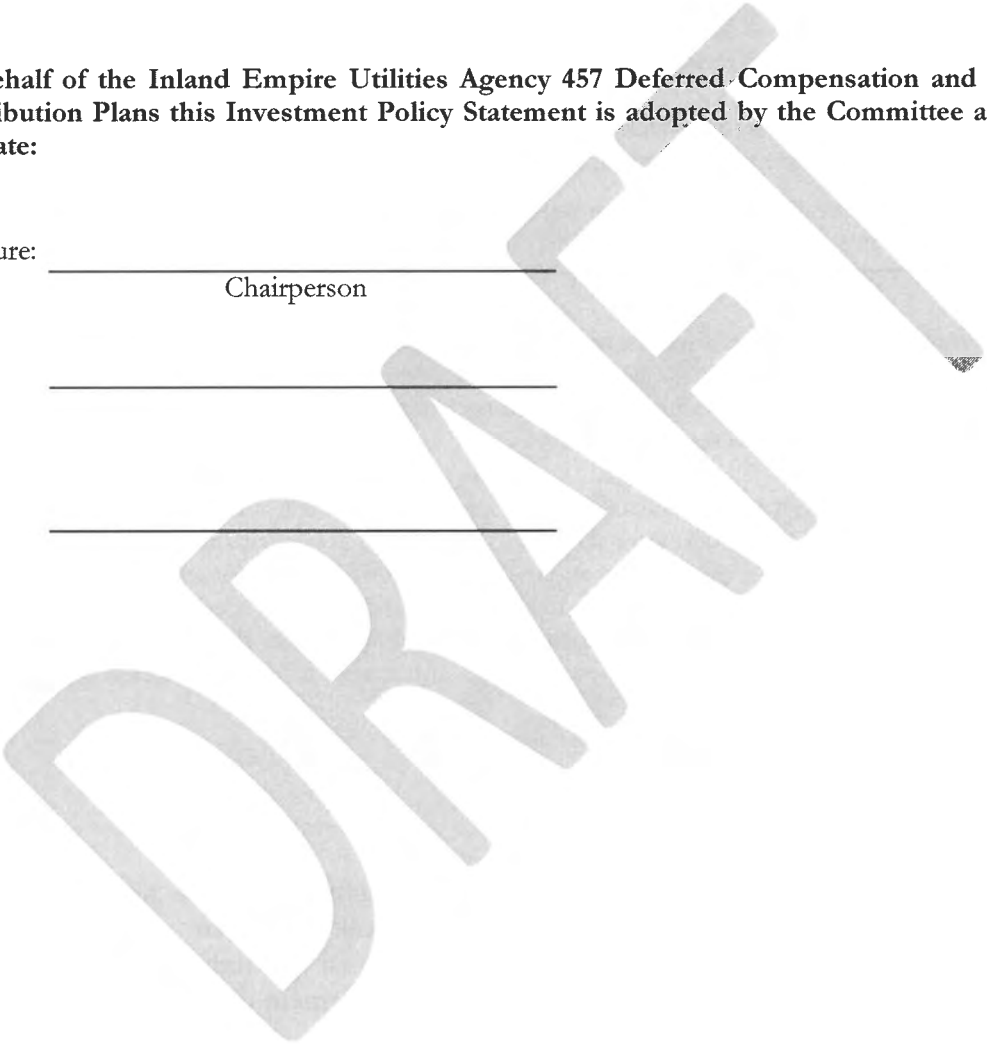
Proxy votes required by investment managers shall be cast by those parties designated by the Committee. Voting rights shall be exercised in the best interest of the participants and beneficiaries of the Plans. The Committee may insist that they exercise their voting rights themselves by communicating their intention to do so in a timely manner.

On behalf of the Inland Empire Utilities Agency 457 Deferred Compensation and 401(a) Defined Contribution Plans this Investment Policy Statement is adopted by the Committee and effective on this date:

Signature: _____
Chairperson

Name: _____

Date: _____



GLOSSARY

Alpha

An investment's excess return relative to one that is viewed as commensurate with its degree of risk.

Annualized Return

Rate of return of the account smoothed as though the return occurred equally over twelve-month periods. When the specified time frame is for less than a year, the rate of return is projected as though the same performance continues to occur for a twelve-month period.

Benchmarks

A standard against which the performance of the portfolio can be measured, typically against a standard index, although a client manager may also set the benchmark.

Beta

A statistical measure of an investment's volatility and degree of co-movement relative to its benchmark. A beta of 1.0 implies that an investment has, or has exhibited the same degree of volatility as its benchmark and has tended to closely track the performance of its benchmark. A beta that is above (below) 1 implies that an investment has exhibited higher (lower) overall volatility than its benchmark. Beta is often viewed as indicative of an investment's sensitivity to "systematic" or market risk.

Downside Risk

The risk that an investment's returns may be negative. Downside risk, which is more pronounced in investments with higher volatility and expected returns, is often considered in terms of frequency of loss as well as magnitude thereof.

Duration

The weighted maturity of a fixed-income investment's cash flows, used in the estimation of the price sensitivity of fixed-income securities for a given change in interest rates. Time periods are weighted by multiplying by the present value of its cash flow divided by the bond's price (a bond's cash flows consist of coupon payments and repayment of capital). A bond's duration will almost always be shorter than its maturity, with the exception of zero-coupon bonds, where maturity and duration are equal.

Growth Style Investing

Growth investors purchase companies that have above-average earnings growth and/or above-average sales growth rates.

Investment Objectives

The overall financial objectives of an investor. For example, whether the investor requires income or capital appreciation. The investor's objectives govern the investment strategy.

Large Cap

Large Capitalization – refers to those companies with a market capitalization of greater than \$10 billion.

GLOSSARY (continued)

Liquidity

The ability to buy or sell an asset quickly and in large volume without substantially affecting the asset's price.

Market Capitalization

The dollar value of a public company based on the total number of shares of stock available multiplied by the price per share.

Mid Cap

Mid Capitalization – refers to those companies with a market capitalization between \$2 and \$10 billion.

Net of Fees

After subtraction of management fees.

Peer Group

Contemporaries of the same asset class that can be compared against one another to achieve a larger sense of how the particular portfolio is performing.

Portfolio

Refers to the complete list of securities held in an investment vehicle.

Sharpe Ratio

A measure of risk-adjusted returns. The Sharpe Ratio is the ratio of an investment's excess return (typically versus its index or a "risk-free" investment such as Treasury Bills) relative to its standard deviation for the corresponding period. A high (low) Sharpe Ratio indicates that an investment has provided a high (low) amount of excess return relative to the amount of risk it has incurred in doing so.

Small Cap

Small Capitalization – refers to those companies with a market capitalization between \$500 million and \$1.99 billion.

Semi-Variance

The variance of returns below a certain number, typically 0 or the average return within a data sample. Semi-variance is viewed as a measure of volatility of returns that fall below expectation.

Standard Deviation

Measures the range of returns and is based on a Normal Curve. Managers with lower standard deviations than the index have historically had returns that tended to fall closer to their mean return compared to the index. Managers with higher standard deviations than the index have historically had returns that tended to be further dispersed around the mean than the index. This is another measure of volatility, but it doesn't distinguish downside performance from upside performance.

GLOSSARY (continued)

Treynor Ratio

A measure of risk-adjusted returns. The Treynor Ratio is the ratio of an investment's excess return (typically versus its index or a "risk-free" investment such as Treasury Bills) relative to its Beta for the corresponding period. A high (low) Treynor Ratio indicates that an investment has provided a high (low) amount of excess return relative to the degree of risk it has incurred versus its applicable market in doing so.

Value Style Investing

Value investors rely on an examination of the underlying or unrealized value of a company as the primary criterion for deciding whether or not to buy a company's stock. Value stocks are often priced lower than growth stocks due to slower growth expectations, recent financial difficulty, or a host of other reasons.

Variance

The square root of Standard Deviation.

DRAFT

**DEFINED CONTRIBUTION PLANS
ADMINISTRATIVE COMMITTEE**

FEE AND EXPENSE POLICY STATEMENT

**INLAND EMPIRE
UTILITIES AGENCY**

December 2019

DRAFT

Introduction and Purpose

The purpose of this Fee and Expense Policy Statement is to detail procedures for payment of Plan related expenses for Inland Empire Utilities Agency (“IEUA”) 457 Deferred Compensation Plan and 401(a) Defined Contribution Plan (collectively “Plans”). This document is reviewed at least annually by the IEUA Defined Contribution Plans Administrative Committee (“Committee”) which serves as a Fiduciary to the Plans.

Payment of Expenses

Inland Empire Utility Agency is the Plans’ Sponsor. All expenses incidental to the administration or protection of the Plans, and the management of the assets of the Plans, shall be paid from the assets of the Plans or by the Plan participants; unless the Plan Sponsor chooses to pay such expenses directly.

To the extent permitted by law, the Plans’ Administrative Allowance Account (“Account”) may be reimbursed from the Plans for any direct expenses properly and actually incurred in connection with the performance of services for the Plans.

Expenses may be paid or reimbursed from the Account only upon the review and approval of the Committee, or by such other appropriate fiduciary of the Plan.

Qualified Expenses

The expenses that may be paid from, or which may be reimbursed to the Plan Sponsor for its payment of, include, but are not limited to, the following:

- (a) Ongoing Plan administrative expenses, such as record keeping, legal, auditing, annual reporting, claims processing and similar administrative expenses;
- (b) Investment advisory, investment management, administrative investment or service fees and expenses;
- (c) Costs incurred in preparing, printing and distributing plan-related documents and other participant communication materials;
- (d) Costs associated with benefit distributions and transactions;
- (e) Expenses to provide investment assistance and education to participants; and
- (f) Costs for providing on-going education, including the costs of attending seminars and conferences, for members of the Committee, fiduciaries and staff with respect to the Plans as necessary or appropriate to assist in the discharge of their responsibilities to the Plans.

Participant Fees in Excess of Plans’ Administration Service Provider Costs

The agreements entered into between the Plan Sponsor and Plan Administration Service Provider state that participants shall pay an explicit fee which shall be used to pay for various aspects of Plan Administration. This fee may exceed the amount retained by the Plan Administration Service Provider to pay for its services.

Fees collected in excess of those retained by the Plan Administration Service Provider and received by the Plan shall be held in an unallocated trust assets account maintained under the Plan, to be called the Administrative Allowance Account.

HEALTH REIMBURSEMENT ARRANGEMENT TRUST COMMITTEE

COMMITTEE CHARTER

INLAND EMPIRE UTILITIES AGENCY

December 2019

DRAFT

INLAND EMPIRE UTILITIES AGENCY

HEALTH REIMBURSEMENT ACCOUNT TRUST COMMITTEE CHARTER

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Inland Empire Utilities Agency Health Reimbursement Arrangement Trust Committee

Committee Charter

I. INTRODUCTION

This Charter sets forth the rights, powers, responsibilities, and obligations of the Health Reimbursement Arrangement Trust Committee (the "Committee") for Inland Empire Utilities Agency ("Agency") to provide tax deferred retirement benefits to eligible employees and their beneficiaries. The Plans are pension benefit plans established pursuant to Sections 457(b) and 401(a), respectively, of the Internal Revenue Code of 1986, as amended (the "Code").

As the Plan Sponsor of the Plans, the Agency established the Committee as the Plan Administrator, and appointed the Executive Manager of Finance and Administration/Assistant General Manager as the chairperson of the Committee. The Committee is charged under this Charter with carrying out its duties and responsibilities as Plan Administrator. It is the intent of the Committee to fulfill its fiduciary responsibilities with respect to the Plans solely in the interest of the participants and beneficiaries.

II. MEMBERSHIP OF THE COMMITTEE

The Committee members, as fiduciaries, are to perform their duties with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.

The five (5) member Committee shall consist of the following identified positions:

A. Appointed Members:

1. Executive Manager of Finance & Administration/Assistance General Manager, as Chairperson
2. Manager of Human Resources, as co-Chairperson
3. Manager of Finance and Accounting

Each Appointed Member shall serve by virtue of and only so long as that member holds the identified position.

B. Elected Members:

1. Two (2) Participating Employee Representatives

Employee representatives will be elected by active plan participants to serve a two-year term beginning the first day of the month following the election. Employee representatives must be an active participant in the 457 and/or 401(a) plans. Employee representatives may resign at any time by giving thirty (30) day notice to the Committee Chairperson and/or co-Chairperson.

Committee members shall serve without compensation. All reasonable out-of-pocket expenses of the Committee shall be paid by the Agency in accordance with its standard reimbursement policies unless paid from Plan assets.

C. Vacancies on the Committee

Vacancies on the Committee will occur upon the occurrence of any of the following events:

Inland Empire Utilities Agency Health Reimbursement Arrangement Trust Committee

Committee Charter

1. Resignation/termination of Agency employment by an appointed member.
2. A member fails to complete the requisite education and ethics training after being notified by the Plan Administrator.
3. A member is convicted of a felony or any other offense involving a violation of member's official duties.
4. The decision of a competent court declaring void the member's appointment.
5. The death of the member.

D. Alternates

The Committee may have up to three alternates. In the absence of the primary member, alternate members will have all rights, powers, privileges, liabilities and duties established by this Charter when attending a meeting or conducting business as directed by that matter. The Deputy Manager of Human Resources will serve as the alternate for the appointed members. Alternatives for the elected members will be the two participating employees with the most votes after the two primary elected members.

Upon resignation of an elected primary member, the Committee will appoint an alternate member to assume the primary role unless they choose not to do so.

III. COMMITTEE ACTION

The Committee shall endeavor to meet at least quarterly to review Plan performance and to review the Plan investment options offered in accordance with the Plan Investment Policy Statement. The Committee will also make changes to the investment offerings as provided under the Investment Policy Statement and as warranted. The Executive Manager of Finance and Administration/Assistant General Manager shall function as the Committee Chairperson. The Manager of Human Resources shall function as the Committee co-Chairperson. The Chairperson, co-Chairperson or their designee is authorized to execute any document or documents on behalf of the Committee and will retain signatory authority for all Plans-related functions. The Consultant shall provide the agenda and follow up correspondence regarding Committee's proceedings and forward these to the Agency.

The Committee Chairperson is responsible for the preparation of the meeting agenda and the agenda material. The agenda will be distributed to Committee members a minimum of one week before the scheduled meeting date. All Committee members will have the responsibility for submission of appropriate agenda items to the Committee Chairperson. Minutes will be promptly prepared following each Committee meeting and distributed to the Committee members and any other person deemed appropriate by the Committee Chairperson. Minutes of the previous meeting shall be acted upon at the following meeting.

The Agency, through its Committee, shall be responsible for the data, records, and documents pertaining to the Committee's duties associated with the Plans. With respect to any matter brought to the Committee for a vote, each voting member shall be entitled to one vote. The Committee shall act by a majority of its members and such action may be taken either by a vote at a meeting with at least three members present physically or through teleconferencing.

IV. RIGHTS AND DUTIES

A. The Committee and any representatives that it chooses to assist it to carry out its responsibilities under the Plan shall have the maximum discretionary authority permitted by law to interpret, construe and administer the Plan, to make determinations regarding participation, enrollment and eligibility for benefits under the Plan, to evaluate and determine the validity of benefit claims, and to resolve any and all claims and disputes regarding the rights and entitlements of individuals to participate in the Plan and to receive benefits and payments pursuant to the Plan. The decisions of the Committee and its representatives shall be given the maximum deference permitted by law. Pursuant to such authority, the Committee shall, in a fiduciary capacity, take the actions described below:

1. Make rules, regulations, interpretations, and computations, and take such other action as is necessary or appropriate to administer the Plans in a uniform and nondiscriminatory manner consistent with the terms of the Plan documents and applicable law for the exclusive benefit of the participants and beneficiaries in the Plans;
2. Determine who is eligible under the terms of the Plans;
3. Determine benefit levels in accordance with the terms of the Plans;
4. Obtain necessary compliance testing and Plan audits;
5. Receive, review and, where appropriate, take action concerning accounts and reports furnished by the Plans' trustee or others;
6. Prepare and file or distribute all reports and notices which are required by law or necessary to administer the Plans;
7. Collect, classify and deposit with the Plans' trustee the Plan contributions;
8. Safeguard the Plan assets;
9. Modify, amend or alter the provisions of the Plans in whole or in part to remain compliant with Internal Revenue Code Section 457 and 401 (a) and regulations thereunder;
10. Determine the investment options offered to participants of the Plans and approve changes as appropriate;
11. Determine disbursement options such as loans from the Plans as defined by the appropriate Plan Documents;
12. Establish Ad-Hoc Subcommittees as appropriate to facilitate the operation of the Plans;
13. Maintain or have maintained all necessary records for the administration of the Plans;
14. Authorize, subject to current Agency contracting authority, all service providers necessary or desirable for the administration of the Plans including a consultant, record keeper(s), third-party administrator(s), trustee(s), custodian(s), insurance company(ies), regulated investment

company(ies), legal or special counsel and/or other such service provider(s) as shall be necessary or appropriate for the administration and operation of the Plans; and

15. Ensure all Committee members complete fiduciary and ethics training as identified by the Plan Administrator or their designee.

V. ALLOCATION AND DELEGATION OF RESPONSIBILITY

The Committee may, by written rule or by its minutes, allocate responsibilities among Committee members and may delegate to persons or firms other than Committee members the authority to carry out responsibilities under the Plan. The Committee's delegation of responsibilities will be conducted in accordance with its fiduciary duties as set forth herein. In the event a responsibility is allocated to a Committee member, no other Committee member shall be liable for any act or omission of that person except as may otherwise be required by law. If a responsibility is delegated to a person or firm other than a Committee member or their alternate, the Committee shall not be responsible or liable for any act or omission of such person or entity in carrying out such responsibility except as may otherwise be required by law.

VI. INDEMNIFICATION

The Agency shall defend, indemnify and hold harmless the members of the Committee and other employees and former employees acting for and on behalf of the Plan ("Indemnitees") to the fullest extent permitted by law, from and against any and all liabilities, demands, claims, losses, costs and expenses, including reasonable attorneys' fees, arising out of, relating to, or resulting from any action, inaction or conduct relating to membership on the committee for the management and administration of the Plans unless the Indemnitee's action, inaction or conduct arise out of, relate to, or result from Indemnitee's gross negligence, bad faith, willful misconduct, or a willful violation of law including, but not limited to, a breach of fiduciary duty.

The Agency may purchase insurance to cover potential liability of one or more Committee members. The Plans may purchase insurance for the Committee members or for itself to cover liability or losses occurring by reason of the act or omission of a Committee member, if such insurance permits recourse by the insurer against the Committee member in the case of a breach of a fiduciary obligation by such Committee member. Furthermore, a Committee member may purchase insurance to cover fiduciary liability from and for his or her own account.

VII. RESPONSIBILITIES

The Committee shall have the following responsibilities related to Plans' investments:

- A. Selecting the investment design features of the Plan. This includes establishing:
 - 1. The investment policy and objectives,
 - 2. The number and types of investment alternatives available to Plan participants, and
 - 3. Participant investment procedures;
- B. Appointing, monitoring and evaluating all investment fund options in accordance with guidelines and benchmarks established within this document and consistent with applicable laws;
- C. Invest the assets of the Plan with the care, skill, prudence, and diligence under the circumstances then prevailing of a prudent man acting in a like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims. Investments must be diversified so as to minimize the risk of large losses, unless under the circumstances it is clearly prudent not to do so. In addition, investments must be sufficiently liquid to pay benefits as they become due under the Plan. All Plan investments must be appropriate for the type of retirement plan involved and consistent with governing law;
- D. Identify appropriate investment strategies and appropriate investment funds with respect to the Plans, or properly delegate such matters to one or more investment managers and/or the participants in the Plan. In the event such matters are delegated, the Committee shall regularly monitor the investments of the Plans and, if necessary, take corrective actions;
- E. Formulate policies, practices and procedures to carry out the funding of the Plan which shall be consistent with the objectives of the Plan and the provisions of applicable law. Without limiting the generality of the foregoing, the Committee shall, from time to time, accomplish the following with respect to the Plan:
 - 1. Review short-term, intermediate and long-range investment goals;
 - 2. Determine and project benefit liabilities;
 - 3. Make plans to satisfy the liquidity needs of the Plans;
- F. Consult with the trustee or such other advisors as may be necessary to maintain adequate funding and assure the payment of benefits due under the Plan; Monitoring Plan costs which are charged to Plan assets and/or paid by Plan participants, including, but not limited to, investment management fees, administrative fees, trustee fees and fees paid to other Plan service providers;
- G. Ensuring general investment information is available to Plan participants regarding the procedures for making investment choices under the Plan and general investment information regarding each of the investment options offered under the Plan;
- H. Ensuring the service provider(s) to the Plan are providing education to plan participants, and all other services included in their service agreements; and
- I. Providing at least annual reports of the Plan status to the Board of Directors.

Inland Empire Utilities Agency Health Reimbursement Arrangement Trust Committee

Committee Charter

Additional information regarding Plan investments may be found in the Inland Empire Utilities Agency Health Reimbursement Arrangement Trust Administrative Committee Statement of Investment Policies and Guidelines (“IPS”).

VIII. STANDARD OF CARE

The Committee shall discharge its duties with respect to the Plan in such a manner as to comply with applicable law and regulations including Code Section 457 and 401(a) and regulations thereunder. Generally, all assets and income of the Plan shall be held in trust for the exclusive benefit of participants and their beneficiaries. The Committee members, as trustee and fiduciaries, are to perform their duties with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person acting in like capacity and familiar with such matters would use.

IX. PLAN DOCUMENTS

The Plan shall be governed by and administered under one or more plan documents and trust agreements. These Plan documents and trust agreements, together with any other formal documents maintained for the operation and administration of the Plans, shall be available for inspection by Plan participants during business hours.

X. PRIOR VERSIONS

This Charter shall supersede and/or repeal all previous versions or actions.

XI. AMENDMENT

This Charter may be amended by a majority vote of the Inland Empire Utilities Agency Health Reimbursement Arrangement Trust Committee at a meeting called for that purpose.

DATED this _____ day of _____, 2019.

INLAND EMPIRE UTILITIES AGENCY

By _____

Committee Chairperson

Inland Empire Utilities Agency Health Reimbursement Arrangement Trust Committee

Committee Charter

GLOSSARY

Ad-Hoc Sub Committees: Established as needed by the Health Reimbursement Account Trust Committee to address specific needs.

Committee Chair/Plan Administrator: Executive Manager of Finance and Administration/Assistant General Manager, or as appointed by the Plan Sponsor.

Health Reimbursement Arrangement Trust Committee (“Committee”): Established by the Plan Sponsor to serve as the investment fiduciary for the Plans.

Fees: Fees or charges paid to third party providers for Plan administration and investment management.

Fiduciary: Anyone with discretionary authority or control over the administration of a retirement plan or its assets have the responsibility to act with care, prudence and solely in the best interest and for the exclusive benefit of Plan participants and beneficiaries. Fiduciaries include Plan Sponsor, Trustee, Plan Administrator, a Committee appointed by the Board, and Service Providers.

Investment Consultant (“Consultant”): An entity that offers investment option products and manages assets for the Plans. Examples of investment option products offered by an investment provider may include mutual funds, commingled trust funds, separate accounts and/or variable annuity contracts.

Investment Manager: The person(s) at the Investment Provider responsible for implementing an investment option’s investing strategy and managing its portfolio trading activities.

Investment Objectives: The overall financial objectives of an investor. For example, whether the investor requires income or capital appreciation. The investor’s objectives govern the investment strategy.

Investment Provider: An entity that offers investment option products and manages assets for the Plans. Examples of investment option products offered by an investment provider may include mutual funds, commingled trust funds, separate accounts and/or variable annuity contracts.

Legal Counsel: The general counsel to the Plans’ Sponsor.

Plans: Code Sections 457 and 401(a) deferred compensation plans established by Inland Empire Utilities Agency to provide eligible employees an opportunity to accumulate retirement savings on a tax-favored basis.

Plan Documents: The governing documents of the Plans.

Inland Empire Utilities Agency Health Reimbursement Arrangement Trust Committee

Committee Charter

Plan Sponsor: Inland Empire Utilities Agency

Proxy Vote: A form of voting whereby some members of a decision-making body may delegate their voting power to other members of the same body to vote in their absence, and/or to select additional representatives. A person so designated is called a "proxy" and the person designating him or her is called a "principal".

Record-Keepers or Record Keepers: The Service Providers engaged by the Plan to keep and maintain the Plans' participant data.

Special Counsel: Legal counsel to the Committee.

Services Provider: An entity engaged to assist the Plan Sponsor, Plan Administrator and the Committee in regard to the administration of the Plans. Service Providers may include but are not limited to: the Plans' Record-Keepers, investment consultants, accountants, and/or any other providers. This assistance may include Plan enrollment, communication, education, including providing general investment information to Plan participants regarding the procedures for making investment choices under the Plans and general investment information regarding each of the investment options offered under the Plan, distribution processing, record keeping and other administrative functions as prescribed in an agreement entered into between the Plan Sponsor and the Services Providers. Where appropriate, agreements with services providers must specifically note their acceptance of fiduciary responsibility.

**HEALTH REIMBURSEMENT
ARRANGMENT TRUST COMMITTEE**

**STATEMENT OF INVESTMENT POLICIES
AND GUIDELINES**

**INLAND EMPIRE
UTILITIES AGENCY**

December 2019

Inland Empire Utilities Agency
HEALTH REIMBURSEMENT ARRANGEMENT TRUST PLAN
STATEMENT OF INVESTMENT POLICIES AND GUIDELINES

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I. INTRODUCTION AND PURPOSE

This statement is set forth to provide a clear understanding of the investment policies, guidelines and objectives related to the administration of the Inland Empire Utilities Agency Health Reimbursement Arrangement Trust Plan (collectively the “Plans” and each individually a “Plan”). The Plans are pension benefit plans governed by Sections 457(b) and 401(a), respectively, of the Internal Revenue Code of 1986, as amended (the “Code”).

The Inland Empire Utilities Agency Health Reimbursement Arrangement Trust Committee (the “Committee”) was established by Inland Empire Utilities Agency (the “Agency”) as the Plan Sponsor to administer and provide oversight for the Plans, and operates pursuant to, and in compliance with the Committee Charter dated December 18, 2019. The Plans are salary deferral retirement vehicles available to eligible employees who are interested in saving for retirement on a tax-favored basis. The purpose of the Plans’ is to provide a vehicle for and to encourage additional retirement savings to supplement the retirement benefits provided to employees.

This Investment Policy Statement (IPS) is further intended to assist the fiduciaries of the Plans in making investment-related decisions in a prudent manner. It outlines the underlying philosophies and processes for the selection, monitoring and evaluation of the investment options and investment providers utilized by the Plans. This IPS will be reviewed at least annually and it can be revised at any time to reflect changes in the capital markets, plan participant objectives, or other factors relevant to the Plans.

II. SUMMARY OF RESPONSIBILITIES

A. Committee – The Committee serves as the investment fiduciary for the Plans. The Committee conducts reviews of the Health Reimbursement Arrangement trusts and investments and makes recommendations as necessary. The Committee’s responsibilities include the following:

1. Establishment and maintenance of the IPS;
2. Appointment of an investment consultant to assist the Committee in selecting, monitoring, and modifying investment options;
3. Selection of investment options (including a default investment option) for the Plans, with the assistance of the investment consultant, as set forth below;
4. Providing access to investment asset classes deemed appropriate for use by participants;
5. Research, selection, and offering of specific funds appropriate for identified asset classes;
6. Monitoring the performance of the investment advisor, and removing or replacing the investment advisor to the extent determined appropriate by the Committee;
7. Monitoring the performance and suitability of investment options, with the assistance of the investment consultant;
8. Ceasing new investments to, or withdraw assets from, an investment option when determined appropriate by the Committee, with the assistance of the investment consultant;
9. Advising each participant of the participant’s responsibility for investing his or her Plan accounts in the available investment options, and assure that each participant receives adequate fund information, investment education and performance reports;
10. Managing and controlling investment related costs of the Plans.

The five (5) Committee members collectively shall serve as Trustees. The Committee is composed of the Executive Manager of Finance and Administration/Assistant General Manager as Chairperson; the Manager of Human Resources as the co-Chairperson; the Manager of Finance and Accounting; and two (2) Participating Employee Representatives.

It is the intent of the Committee to fulfill their fiduciary responsibilities with respect to the Plans solely in the interest of the participants and beneficiaries. The Committee members, as fiduciaries, are to perform their duties with the care, skill, prudence, and diligence under the circumstances then prevailing that a prudent person

acting in like capacity and familiar with such matters would use in the conduct of an enterprise of a like character and with like aims.

- B. Investment Consultant** - The Committee may recommend engaging an independent investment consultant (hereinafter “Consultant”) to assist in carrying out the duties and responsibilities of this Investment Policy Statement. Such Consultant must be registered with either State or Federal securities regulators pursuant to the Investment Advisors Act of 1940. The Consultant’s role is to provide information and advice to the Committee on various investment related issues. The Consultant has no discretionary control or authority over Plan assets. In its role as an advisor to the Committee the Consultant acknowledges a fiduciary role with respect to the investment advice provided to the Committee. The services of the Consultant will be set forth in a separate agreement between the Plans’ Sponsor and Investment Consultant.
- C. Investment Provider** – An entity that offers investment option products and manages assets for the Plans. Examples of investment option products offered by an investment provider may include mutual funds, commingled trust funds, separate accounts and/or variable annuity contracts.
- D. Investment Manager** – The person(s) at the Investment Provider responsible for implementing an investment option’s investing strategy and managing its portfolio trading activities.
- E. Services Provider** – An entity engaged to assist the Plans’ Sponsor, Plan Administrator and the Committee in regard to the administration of the Plans. Services Providers may include but are not limited to; the Plans’ record-keepers, investment consultants, accountants, and/or any other providers. This assistance may include Plan enrollment, communication, education, including providing general investment information to Plan participants regarding the procedures for making investment choices under the Plans and general investment information regarding each of the investment options offered under the Plans, distribution processing, record keeping and other administrative functions as prescribed in an agreement entered into between the Plans Sponsor and the Services Providers. Where appropriate, agreements with services providers must specifically note their acceptance of fiduciary responsibility.
- F. Legal Counsel** – The general counsel to the Plans’ Sponsor, or special counsel will serve as legal counsel to the Committee.

III. GENERAL COMPLIANCE

The Committee will act accordingly as Fiduciaries following best practices as established Article XVI, section 53609 of the California Constitution. These practices include but may not be limited to the Duty of Loyalty, Duty of Prudence, and Duty to Follow Plan Document.

The Plans’ investment policies and guidelines shall be reviewed on an annual basis for modifications, as needed, but may be modified at any time as deemed necessary by the Committee. The Committee may make and modify decisions regarding the investment options at least quarterly or as appropriate.

These are participant-directed Plans. Both employee and employer contributions may be directed to certain investment options specified by the Committee and/or to a broader range of investment options made available through a participant’s optional individual directed account.

The Plan fiduciaries intend to provide a range of investment options that will enable participants to invest according to varying risk tolerance, savings time horizon, and financial goals. Participants choose the investment options for their contributions from among those available under the Plans and may change their investment choices as often as permitted by the respective Summary Plan Descriptions and investment fund managers.

As applicable, at minimum, it is intended that Plan participants shall be provided with the following opportunities:

- A.** Choose from a minimum of three diverse investment categories, each with materially different risk and return characteristics. At least one of the categories will provide for a high degree of safety and capital preservation.
- B.** Receive or have access to the following information, as updated:
 - 1. A description of the investment alternatives available under the Plans including a general description of the investment objectives, risk and return characteristics, and type and diversification of assets comprising each alternative;
 - 2. Identification of the designated Investment Providers, Managers and investment products;
 - 3. A description of any transaction fees or expenses charged to the Plan participant's account, and information on costs and fees for an investment product that reduces the rate of return to Plan participants (expense ratios); and
 - 4. Prospectuses, annual reports, and semi-annual reports on investment products, if available.

IV. GENERAL INVESTMENT POLICY, OBJECTIVES AND STANDARDS

It is the policy of the Plans to foster an investment environment that encourages and facilitates participant efforts to supplement other sources of retirement income. The Plans will be structured in an attempt to provide Plan participants with an array of investment options that offer competitive rates of return and reasonable overall cost. Participants in the Plans are solely responsible for their own investment decisions and bear the risks and assume responsibility for the results of the investment options that they select. The Plan Sponsor and Committee make no representations, promises, or warranties regarding the suitability of Plan participation for any participant's individual investment or retirement needs. Additionally, the Plans' Sponsor and Committee(s) make no representations, promises or warranties about the performance of the Plans or the Plan's investments.

The Plans exist in a very dynamic marketplace in which new investment alternatives may become available over time. At present, the market offers a broad array of investment products. These products may include:

- Fixed annuity options
- Variable annuity options
- Co-mingled trust funds
- Mutual funds
- Shares of any company, association or corporation

The primary investment objective of the Plans is to present participants with a range of investment options, which give participants an opportunity to increase the value of their investment assets in a manner consistent with varying levels of participant risk/reward tolerances and investment decision making skills. While the Plans cannot meet all Plan participant investment preferences and attitudes, the Plans attempt to provide investment vehicles for participants at various levels of investment sophistication and with varying requirements for risk and return.

Information that may be used to select which investment products to offer includes, but is not limited to, the following:

- Age, income and other demographic data on the Plans participants
- Liquidity and administrative constraints imposed on the Plans by service providers

- Development of new investment products in the marketplace
- Level of participant usage of investment products

To enable participants to establish different investment strategies, the Plans will offer investment categories that have varying return and volatility characteristics. It is the responsibility of each participant to evaluate the investment options and to select an appropriate mix.

A risk/reward structure is basic to investments. Generally, those vehicles offering the greatest return over time also carry the highest risk or volatility of return. The inherent conflict between volatility and long-range asset accumulation can be lessened through diversification among asset classes. To provide participants the opportunity to select risk/reward strategies and to diversify the Plans' assets, the Plans will offer a number of investment alternatives.

In addition to providing a range of investment options, the Plans seek to provide investment options that are competitive in terms of performance relative to appropriate investment performance and risk benchmarks. The performance and risk relationships of the Plans' investment options will be reviewed periodically. Investment options should generally be given a full market cycle to achieve stated objectives (market cycles normally occur over 3-5 years period). Investment options are expected to meet or exceed their pre-determined benchmark index(es) net of fees. Where peer groups are definable, investment options are also expected to perform within the upper half of a sample of same style peers net of fees. In addition to net investment performance, the options' risk characteristics will also be reviewed. The risk associated with an investment option generally should be similar to that of the same-style peer group.

V. INVESTMENT OPTIONS

Investment options offered by the Plans will be categorized or grouped by similarities in investment objectives, style and risk. The Plans' Service Provider(s) and/or Consultant may be asked to assist in determining the categories of investment options. The Plans will be structured to assist participants in meeting their long-term investment objectives by providing investment options within the following permitted investment categories (these categories are further explained in the following pages of this document):

A. Tier 1: Target Retirement Date Pre-Mixed Portfolios

B. Tier 2: Asset Class Investment Options

1. Money Market
2. Fixed/Stable Value
3. US Government Bond
4. Total Return Bond
5. U.S. Large-Size Company Equity
6. U.S. Small/Mid-Size Company Equity
7. Global Equity
8. International Equity

C. Tier 3: Self-Directed Brokerage

Investment options and categories may be added or deleted as deemed necessary. At least one investment option shall be available within each investment category. However, under no circumstances shall it be permissible for the Committee or the investment advisor to select any investment options that are sold by the investment advisor or its affiliates.

The following table outlines the objectives and performance benchmarks for each of the Plans' investment

options. The risk associated with an investment option will be compared to appropriate risk benchmarks or measures for a same-style group of peer investment options, where definable.

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TIER 1: TARGET RETIREMENT DATE PRE-MIXED PORTFOLIOS

Lifecycle Premixed Portfolio – Retirement Income	
Provide different levels of income and capital growth dependent upon an individual participant’s specific target retirement or withdrawal date. Portfolios provide different allocations to stocks and bonds dependent upon the target retirement or withdrawal date that is selected. The portfolio will be well diversified including U.S. and international fixed income securities and U.S. and international equities. Stocks generally will comprise 30% of the total portfolio. The percentage of international equities generally will not exceed 50% of the equity portion of the portfolio.	
Benchmark Index:	Custom Blended Index
Peer Groups:	US Target Date Retirement Income
Lifecycle Premixed Portfolio – 2020, 2030, 2040	
Provide different levels of income and capital growth dependent upon an individual participant’s specific target retirement or withdrawal date. Portfolios provide different allocations to stocks and bonds dependent upon the target retirement or withdrawal date that is selected. The portfolio will be well diversified including U.S. and international fixed income securities, and U.S. and international equities. Stocks generally will comprise 50%-85% of the total portfolio. The percentage of international equities generally will not exceed 50% of the equity portion of the portfolio.	
Benchmark Index:	Custom Blended Index
Peer Groups:	US Target Date 2020, US Target Date 2030, US Target Date 2040
Lifecycle Premixed Portfolio –2050, 2060	
Provide different levels of income and capital growth dependent upon an individual participant’s specific target retirement or withdrawal date. Portfolios provide different allocations to stocks and bonds dependent upon the target retirement or withdrawal date that is selected. The portfolio will be well diversified including U.S. and international fixed income securities, and U.S. and international equities. Stocks generally will comprise 85%-90% of the total portfolio. The percentage of international equities generally will not exceed 65% of the equity portion of the portfolio.	
Benchmark Index:	Custom Blended Index
Peer Groups:	US Target Date 2050, US Target Date 2060+

TIER 2: ASSET CATEGORY INVESTMENT OPTIONS

Money Market	
Provide current income and a high degree of investment safety with little or no fluctuation of principal.	
Benchmark Index:	90-Day Treasury Bills Index
Peer Group:	Money Market

Fixed / Stable Value	
Provide high current income relative to cash investments and a high degree of investment safety without fluctuation of principal. Investment returns are derived primarily from interest income. A Fixed or General Account option, which is a fixed rate contract that is backed by an insurance company's balance sheet, is to be of mid-investment-grade rating or higher, and backed by a diversified pool of underlying investments. A stable value option will be invested in guaranteed investment contracts (GICs), "synthetic" portfolios, money market instruments, and others, each mainly comprised of investments of short- to intermediate maturity, and which provide for an adequate degree of liquidity. The weighted-average maturity is expected to remain between two and five years at most times. The overall weighted credit-quality rating of the option shall be the equivalent of mid-investment-grade rating or higher. The rating must be obtained from at least one credit rating agency such as Moody, S&P or Duff & Phelps. If the option's weighted rating declines below this level, the option will be evaluated for corrective action.	
Benchmark Index:	1. 5 Year CMT Index 2. 90-Day Treasury Bills Index
Peer Group:	NA

US Government Bond	
Provide current income consistent with preservation of capital and prudent investment management. Primarily US Government and US Government Agency debt securities. A minority portion of the fund may be invested in non-government Mortgage-Backed Securities such as privately issued adjustable rate and fixed rate mortgage loans or other mortgages related securities, asset-backed securities and corporate securities.	
Benchmark Index:	Bloomberg Barclays US Treasury 5- 10 Year Index
Peer Group:	US Intermediate Government

Total Return Bond	
Provide capital appreciation and income through a diversified, actively managed fixed income portfolio. The portfolio's duration is expected to be comparable to that of its Benchmark Index, with some bandwidth allowed for the manager to exercise strategic deviation from the Benchmark. Average credit quality is expected to be investment grade. The fixed income portfolio will normally be primarily comprised of investments including money market instruments, U.S. Government and Agency bonds, mortgage-backed securities, corporate bonds, and others. The manager will be given discretion to hold securities that are not contained within the Benchmark Index, which may include Foreign Bonds, High Yield Bonds, Convertibles, Treasury Inflation Protected Securities, derivatives, and others. The portfolio's aggregated composition and risk and return characteristics however are expected to be reflective of its asset class.	
Benchmark Index:	Barclays Capital US Aggregate Bond Index
Peer Group:	US Intermediate-Term Bond

TIER 2: ASSET CATEGORY INVESTMENT OPTIONS (continued)

U.S. Large Company Equity	
Provide long-term capital appreciation through a diversified common stock portfolio with an average market capitalization greater than \$10 billion. Stocks of foreign companies that are traded in the U.S. may also be included in the portfolio, but generally should not exceed more than 20% of the total portfolio.	
Benchmark Indexes:	Blend: S&P 500 Index Growth: Russell 1000 Growth Index Value: Russell 1000 Value Index
Peer Groups:	Blend: US Large Cap Blend Growth: US Large Cap Growth Value: US Large Cap Value

U.S. Small/Mid-Size Company Equity	
Provide long-term capital appreciation through a diversified common stock portfolio with an average market capitalization between \$500 million and \$10 billion. Stocks of foreign companies that are traded in the U.S. may also be included in the portfolio, but generally should not exceed more than 20% of the total portfolio.	
Benchmark Indexes:	Blend (active): Blended US Mid-Cap Index Blend (passive): S&P Completion Index
Peer Groups:	Blend: US Mid-Cap Blend

Global Equity	
Provide long-term capital appreciation through a diversified actively managed portfolio of global equities. The option normally invests in issuers from at least five different countries, including the United States.	
Benchmark Indexes:	MSCI All Country World Index (net)
Peer Groups:	World Stock

International Equity	
Provide long-term capital appreciation through a diversified, actively managed portfolio of international equities. Stocks of emerging countries may be used at the discretion of the manager, but generally should not exceed more than 30% of the total portfolio.	
Benchmark Indexes:	Blend (active): MSCI All Country World Index ex-U.S. (net) Blend (passive): Custom Blended Index
Peer Groups:	Blend: US Foreign Blend

TIER 3: SELF-DIRECTED BROKERAGE

Self-Directed Brokerage	
<p>For Plan participants who consider themselves to be knowledgeable about investment principles, the Plan may offer a brokerage account option. Such option may be provided through one or more broker-dealers, as defined and regulated by the National Association of Securities Dealers, Securities Exchange Committee or State Securities Departments. Assets held in a Self-Directed Brokerage account will be maintained in the name of the Plan Trustee or custodian for the benefit of the participant who established the account. Permissible investments for a Self-Directed Brokerage account may include registered mutual funds and other securities permitted under State law. It is the account holder's responsibility to adhere to these and any other restrictions placed on him or her by the Committee, broker-dealer or regulatory body. It is also the account holder's responsibility not to engage in transactions prohibited by statute or any regulatory entity. Investment options available through the account may contain additional risks and are not monitored by the Committee. The Committee may further restrict permissible investments available in the Self-Directed Brokerage. Each Self-Directed Brokerage account holder will be responsible for his or her own commissions, fees or loads applicable to individual securities or mutual fund transactions for the account:</p>	
Benchmark Index:	Not Applicable
Peer Group:	Not Applicable

VI. INVESTMENT OPTION SELECTION GUIDELINES

Investment options offered to participants will be provided through investment provider(s) accessible on the Services Provider's platform. Before introducing a new investment option, the Committee, in consultation with the Consultant and Services Provider will define the niche to be filled and assess any prospective investment option's performance, quality, and risk characteristics. At a minimum, investment options under consideration should satisfy performance and risk considerations under actual, not modeled, conditions and over an appropriate time period. Investment option selection considerations may include, but are not limited to the following:

- A. The investment option should generally, but not necessarily, have a history that spans a full market cycle, normally three to five (3-5) years.
- B. The investment option should generally meet or exceed its predetermined benchmark index, net of fees.
- C. The investment option should generally perform at median or within the upper half of a recognized and defined sample of same-style peer options.
- D. The investment option should be able to demonstrate a consistent performance track record attributable to a specific investment manager or team of managers.
- E. In selecting Target Retirement Date funds, the Committee shall consider the current and prospective composition of the Target Date funds (based on their glide path), and the corresponding risk and return implications relative to the benchmark and peer group constituents.

VII. INVESTMENT OPTION REVIEW GUIDELINES AND MONITORING

Investment providers and investment managers are required to comply with all applicable laws, rules, and regulations. However, the Committee takes no responsibility for the failure of such option and/or investment manager to comply with any and all applicable laws, rules or regulations.

It is recognized that certain Stable Value and Fixed options often have liquidity restrictions. Investment options with sales loads, redemption fees, or other non-investment management related expenses will be avoided to the extent possible.

The Committee shall meet at least semi-annually. However, investment option performance, risk and style consistency is intended to be evaluated on a quarterly basis. Performance and risk results will be evaluated using comparisons with this policy, pertinent market indices and against other same-style peers, where definable. When necessary, investment option performance and risk may be reviewed more frequently.

The Committee will periodically review the investment options' progress in meeting the Plans' investment objectives. Investment options will be expected to comply with all stated investment objectives, guidelines and applicable rules contained in the prospectus or fund fact sheet. The Committee may review the performance of investment options quarterly to determine if they are achieving the established objectives.

A. Investment Performance reviews

Performance reviews may include, but are not limited to, a review of:

1. Investment portfolios;
2. Fees and expenses;
3. Investment style, process and philosophy;
4. Investment management personnel; and
5. Index tracking error.

The performance review will also include measuring the options' investment performance relative to stated benchmarks or respective indexes and peer groups; as well as the monitoring risk measures. The following will be evaluated:

B. Quantitative Measures

1. **Active Investment Strategies.** Options employing active management are expected to outperform their stated asset class or style benchmark net of all management fees over a trailing five-year time period; and to rank above the 50th percentile of the appropriate peer group for the same trailing five year time period. It is also expected that the risk of each option, as defined by standard deviation of returns, be commensurate with the prescribed strategy relative to the appropriate market index and/or peer group.
2. **Passive Investment Strategies.** Passive Options are expected to track the performance of the index strategy that the option is designed to replicate, less management fees, with marginal tracking error. It is also expected that the risk of each passive option, as defined by standard deviation of returns, be commensurate with the appropriate market index.

C. Qualitative Measures

The options will also be monitored on an ongoing basis for other material changes which the Committee may determine are of importance to the decision of whether or not to retain an investment option, such as personnel departures; organizational changes; or alterations in investment style, philosophy, or strategy; and adherence to stated guidelines.

D. Time Periods. The Committee acknowledges that fluctuating rates of return characterize the securities markets, particularly during short-term time-periods. Recognizing that short-term fluctuations may cause variations in an option's performance, the Committee intends to employ investment options with long-term investment strategies and will evaluate option performance from a long-term perspective. Performance over market cycles of three to five years will be weighted more heavily than performance over shorter time periods, such as one year or less.

E. Risk Measurement. In addition to the qualitative and quantitative measures referenced above, the Committee will also review the investment options' risk characteristics in relation to that performance. Risk will be measured in various ways including, but not limited to:

1. Standard deviation
2. Downside risk or semi-variance
3. Risk/return ratios such as Sharp or Treynor Ratios
4. Other statistical measures such as Beta, Alpha and Variance

F. Minimum Standards

Notwithstanding anything to the contrary set forth above in this Section VII, the Committee will consider the following minimum standards in selecting investment options of the Plans:

1. Manager Tenure with the Fund: At least 5 years (waived for passive index funds or if Committee values previous experience.)
2. Fund Net Assets: At least \$100,000,000 for Large Cap, \$75,000,000 for Mid Cap & \$50,000,000 for Small Cap. (Assumes aggregation of all share classes and waived for index funds).
3. 5-Year Performance in Category: Better than 50%.
4. Overall Expense Ratio in Category: Lower than 50%.
5. Minimum Fund Performance History: At least 5 years (waived for new share class, passive index funds or fund of funds).
6. Investment Options: Funds with front-end (unless accessed no-load) or back-end sales charges/loads will not be offered in the core lineup.

The Committee may select investment options that do not meet these minimum standards after due consideration and analysis in accordance with this IPS and documentation of the considerations in the selection thereof.

VIII. INVESTMENT OPTION TERMINATION AND WATCH GUIDELINES

Generally, all investment options are expected to remain true to their stated investment objectives and to perform as well as or better than their prescribed performance benchmarks, net of fees. The Committee recognizes the long-term nature of retirement plan investing and the variability of market returns. Periodic underperformance in any of the criteria outlined in this Investment Policy will not necessitate the termination of an option; however, any underperformance will result in consideration by the Committee of the factors causing underperformance and possible courses of action that the Committee may take.

The Committee may, at any time, place any investment option that it views as having a pattern of underperformance on a watch-status. Reasons the Committee might place an option on a watch-status, include but

are not limited to, the following:

A. Quantitative Measures

1. Actively Managed Options
 - a) Performance below the prescribed benchmark index over a trailing five-year period, combined with
 - b) Performance below the median of its peer group over a trailing five-year period
2. Passively Managed Options. Net of fee performance tracking error relative to the respective index that is greater than 15 basis points over a trailing five-year period.
3. Fund Net Assets. Less than \$100,000,000 for Large Cap, \$75,000,000 for Mid Cap & \$50,000,000 for Small Cap (assumes aggregation of all share classes and waived for index funds).
4. Sharpe Ratio: If the ratio is consistently lower than the benchmark (showing risk adjusted return below the benchmark).
5. Alpha: If fund management is consistently subtracting value (persistent negative alpha).
6. Upside/Downside Capture Ratios: If the percentage of gains the fund experiences in up markets versus the losses incurred in down markets becomes unfavorable.

The Committee may select investment options that do not meet these quantitative measures after due consideration and analysis in accordance with this IPS and documentation of the considerations in the selection thereof.

Certain passive investment options operate in a marketplace that includes foreign markets whose exchanges close prior to that of the United States. In these instances, some fund managers may engage in a method of "Fair Value Pricing," whereby the managers adjust the pricing of securities in the Fund to reflect any information that has become available after the close of the applicable foreign market. Discrepancies in performance between the applicable investment option and its performance benchmark that are due to "Fair Value Pricing" will be taken into consideration in evaluating performance of the affected investment options.

C. Qualitative Measures

1. Management team or other significant personnel turnover;
2. Changes or inconsistency in the product's investment philosophy, process, style or risk profile;
3. Excessive or rapid asset growth or decline;
4. Pending regulatory investigations or material legal proceedings;
5. Changes to firm ownership;
6. Significant increase in management fees or expense ratio.
7. In the case of monitoring Target Retirement Date funds, the Committee shall consider the current and prospective composition of the Target Date funds (based on their glide path) and the corresponding risk and return implications relative to the benchmark and peer group constituents.

The Committee may select investment options that do not meet these qualitative measures after due consideration and analysis in accordance with this IPS and documentation of the considerations in the selection thereof.

The Committee's evaluation of a fund that is put "on watch" may include one or more of the following steps:

1. A communication to the fund company asking for an explanation of its underperformance.
2. An analysis of recent transactions, holdings, and portfolio characteristics to determine the cause for underperformance or to verify a change in style.
3. A meeting with the fund company, which may be conducted telephonically or on-site, to gain insight into organizational changes and any changes in strategy or discipline.
4. A formal review of gathered information leading to a decision to: (a) retain the fund in a normal capacity; (b) retain subject to watch status; or (c) terminate.

An investment option may remain on watch status until the Committee decides to take further action. Committee actions include, but are not limited to, the following:

- Removing the investment option from watch status; and
- Terminating the investment option and reallocating the assets to an alternate or replacement investment option by Committee direction.

To be removed from quantitative, performance related watch status, generally, performance for the preceding five-year trailing periods should be above the benchmark index or median for at least two consecutive quarters. However, barring any breakdown in process, the Committee may decide to leave an option on watch for as long as they believe it is prudent to do so.

The Committee reserves the right to terminate investment option relationships at any time, for any reason when it determines such termination is in the best interests of the Plans and their participants and beneficiaries. Upon termination, further contributions or transfers to an investment option may be frozen, or the option may be replaced with or without transferring existing assets from the replaced option. Once the decision to terminate an option and remove it from the Plans is made, asset transfer and liquidation should be handled to the best advantage of the Plans, with due consideration given to the anticipated effect on affected participants and beneficiaries.

IX. PARTICIPANT COMMUNICATIONS

Participant communication materials, including fund prospectuses, will be available from the recordkeeper/trustee and/or Plan Sponsor. Participants will be given updated investment performance information regarding each investment option at least quarterly. Ongoing educational materials intended to assist participants in making informed investment decisions will also be made available periodically.

X. INVESTMENT OVERSIGHT RESPONSIBILITY AND PROXY VOTING

The Committee shall have overall responsibility for the selection, monitoring and termination of all investment managers. Additionally, the Committee shall be responsible for reviewing and maintaining these investment policies and guidelines.

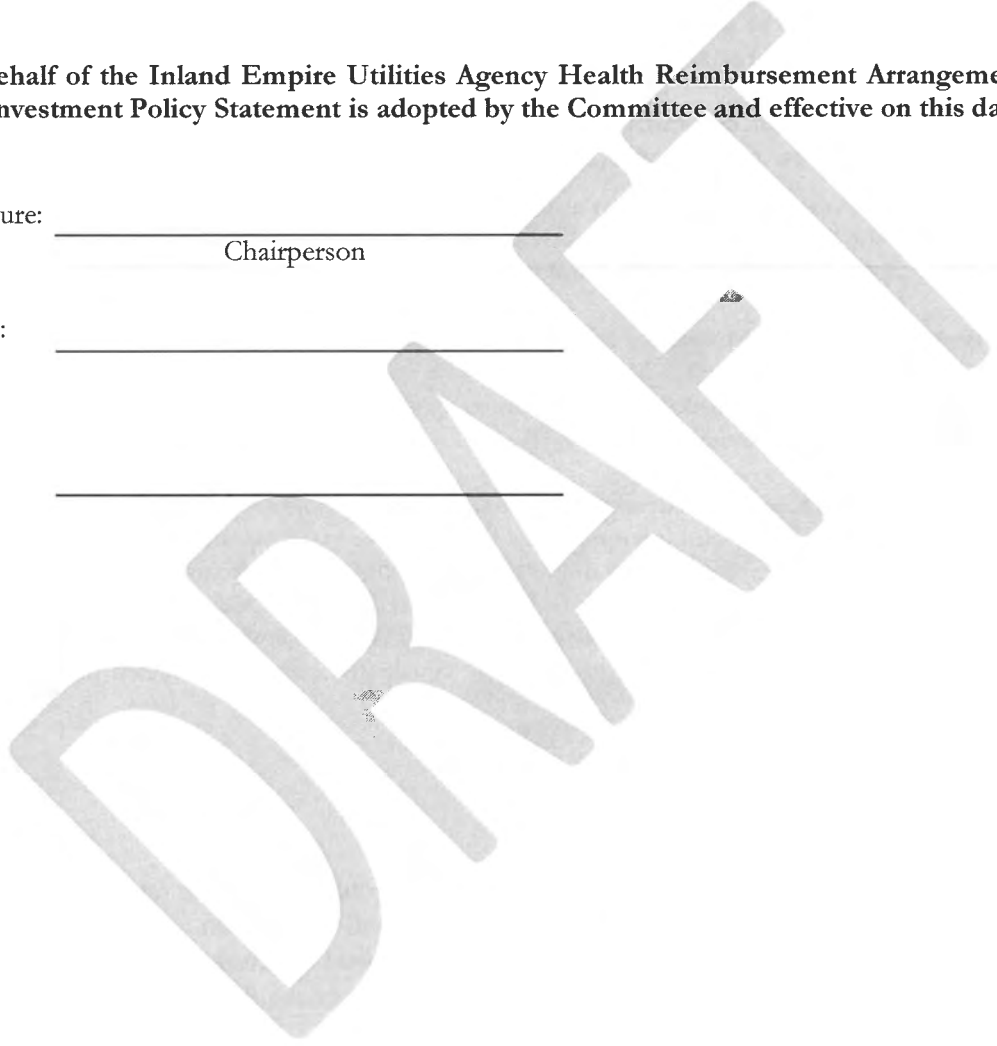
Proxy votes required by investment managers shall be cast by those parties designated by the Committee. Voting rights shall be exercised in the best interest of the participants and beneficiaries of the Plans. The Committee may insist that they exercise their voting rights themselves by communicating their intention to do so in a timely manner.

On behalf of the Inland Empire Utilities Agency Health Reimbursement Arrangement Trust Plan, this Investment Policy Statement is adopted by the Committee and effective on this date:

Signature: _____
Chairperson

Name: _____

Date: _____



GLOSSARY

Alpha

An investment's excess return relative to one that is viewed as commensurate with its degree of risk.

Annualized Return

Rate of return of the account smoothed as though the return occurred equally over twelve-month periods. When the specified time frame is for less than a year, the rate of return is projected as though the same performance continues to occur for a twelve-month period.

Benchmarks

A standard against which the performance of the portfolio can be measured, typically against a standard index, although a client manager may also set the benchmark.

Beta

A statistical measure of an investment's volatility and degree of co-movement relative to its benchmark. A beta of 1.0 implies that an investment has, or has exhibited the same degree of volatility as its benchmark and has tended to closely track the performance of its benchmark. A beta that is above (below) 1 implies that an investment has exhibited higher (lower) overall volatility than its benchmark. Beta is often viewed as indicative of an investment's sensitivity to "systematic" or market risk.

Downside Risk

The risk that an investment's returns may be negative. Downside risk, which is more pronounced in investments with higher volatility and expected returns, is often considered in terms of frequency of loss as well as magnitude thereof.

Duration

The weighted maturity of a fixed-income investment's cash flows, used in the estimation of the price sensitivity of fixed-income securities for a given change in interest rates. Time periods are weighted by multiplying by the present value of its cash flow divided by the bond's price (a bond's cash flows consist of coupon payments and repayment of capital). A bond's duration will almost always be shorter than its maturity, with the exception of zero-coupon bonds, where maturity and duration are equal.

Growth Style Investing

Growth investors purchase companies that have above-average earnings growth and/or above-average sales growth rates.

Investment Objectives

The overall financial objectives of an investor. For example, whether the investor requires income or capital appreciation. The investor's objectives govern the investment strategy.

Large Cap

Large Capitalization – refers to those companies with a market capitalization of greater than \$10 billion.

GLOSSARY (continued)

Liquidity

The ability to buy or sell an asset quickly and in large volume without substantially affecting the asset's price.

Market Capitalization

The dollar value of a public company based on the total number of shares of stock available multiplied by the price per share.

Mid Cap

Mid Capitalization – refers to those companies with a market capitalization between \$2 and \$10 billion.

Net of Fees

After subtraction of management fees.

Peer Group

Contemporaries of the same asset class that can be compared against one another to achieve a larger sense of how the particular portfolio is performing.

Portfolio

Refers to the complete list of securities held in an investment vehicle.

Sharpe Ratio

A measure of risk-adjusted returns. The Sharpe Ratio is the ratio of an investment's excess return (typically versus its index or a "risk-free" investment such as Treasury Bills) relative to its standard deviation for the corresponding period. A high (low) Sharpe Ratio indicates that an investment has provided a high (low) amount of excess return relative to the amount of risk it has incurred in doing so.

Small Cap

Small Capitalization – refers to those companies with a market capitalization between \$500 million and \$1.99 billion.

Semi-Variance

The variance of returns below a certain number, typically 0 or the average return within a data sample. Semi-variance is viewed as a measure of volatility of returns that fall below expectation.

Standard Deviation

Measures the range of returns and is based on a Normal Curve. Managers with lower standard deviations than the index have historically had returns that tended to fall closer to their mean return compared to the index. Managers with higher standard deviations than the index have historically had returns that tended to be further dispersed around the mean than the index. This is another measure of volatility, but it doesn't distinguish downside performance from upside performance.

GLOSSARY (continued)

Treynor Ratio

A measure of risk-adjusted returns. The Treynor Ratio is the ratio of an investment's excess return (typically versus its index or a "risk-free" investment such as Treasury Bills) relative to its Beta for the corresponding period. A high (low) Treynor Ratio indicates that an investment has provided a high (low) amount of excess return relative to the degree of risk it has incurred versus its applicable market in doing so.

Value Style Investing

Value investors rely on an examination of the underlying or unrealized value of a company as the primary criterion for deciding whether or not to buy a company's stock. Value stocks are often priced lower than growth stocks due to slower growth expectations, recent financial difficulty, or a host of other reasons.

Variance

The square root of Standard Deviation.

DRAFT

**HEALTH REIMBURSEMENT
ARRANGEMENT TRUST COMMITTEE**

FEE AND EXPENSE POLICY STATEMENT

**INLAND EMPIRE
UTILITIES AGENCY**

December 2019

DRAFT

Introduction and Purpose

The purpose of this Fee and Expense Policy Statement is to detail procedures for payment of Plan related expenses for Inland Empire Utilities Agency ("IEUA") Health Reimbursement Arrangement Trust Plan ("Plans"). This document is reviewed at least annually by the IEUA Health Reimbursement Arrangement Trust Committee ("Committee") which serves as a Fiduciary to the Plans.

Payment of Expenses

Inland Empire Utility Agency is the Plans' Sponsor. All expenses incidental to the administration or protection of the Plans, and the management of the assets of the Plans, shall be paid from the assets of the Plans or by the Plan participants; unless the Plan Sponsor chooses to pay such expenses directly.

To the extent permitted by law, the Plans' Administrative Allowance Account ("Account") may be reimbursed from the Plans for any direct expenses properly and actually incurred in connection with the performance of services for the Plans.

Expenses may be paid or reimbursed from the Account only upon the review and approval of the Committee, or by such other appropriate fiduciary of the Plan.

Qualified Expenses

The expenses that may be paid from, or which may be reimbursed to the Plan Sponsor for its payment of, include, but are not limited to, the following:

- (a) Ongoing Plan administrative expenses, such as record keeping, legal, auditing, annual reporting, claims processing and similar administrative expenses;
- (b) Investment advisory, investment management, administrative investment or service fees and expenses;
- (c) Costs incurred in preparing, printing and distributing plan-related documents and other participant communication materials;
- (d) Costs associated with benefit distributions and transactions;
- (e) Expenses to provide investment assistance and education to participants; and
- (f) Costs for providing on-going education, including the costs of attending seminars and conferences, for members of the Committee, fiduciaries and staff with respect to the Plans as necessary or appropriate to assist in the discharge of their responsibilities to the Plans.

Participant Fees in Excess of Plans' Administration Service Provider Costs

The agreements entered into between the Plan Sponsor and Plan Administration Service Provider state that participants shall pay an explicit fee which shall be used to pay for various aspects of Plan Administration. This fee may exceed the amount retained by the Plan Administration Service Provider to pay for its services.

Fees collected in excess of those retained by the Plan Administration Service Provider and received by the Plan shall be held in an unallocated trust assets account maintained under the Plan, to be called the Administrative Allowance Account.

Thereafter funds accrued in this account shall be used exclusively for the benefit of Participants and their Beneficiaries, or to defray the reasonable expenses of administering and managing the Plan.

Allocation of Excess Plan Administration Fees

Excess revenue remaining in the Administrative Allowance Account may be allocated to Plan participants at the Committee’s discretion. Such amounts shall be allocated to Plan participants based on their pro-rata share of Plan assets.

Amendment

This Fee Policy may be amended by a majority vote of the Committee at a properly noticed meeting called for that purpose.

On behalf of the Inland Empire Utility Agency Health Reimbursement Arrangement Trust this Fee and Expense Policy Statement is adopted by the Committee and effective on this date:

Signature: _____
Committee Chairperson

Name: _____

Date: _____

RESOLUTION NO. 2019-12-2

RESOLUTION OF THE BOARD OF DIRECTORS OF THE INLAND EMPIRE UTILITIES AGENCY*, SAN BERNARDINO COUNTY, CALIFORNIA, AUTHORIZING THE CREATION OF THE DEFINED CONTRIBUTION PLANS ADMINISTRATIVE COMMITTEE

WHEREAS, the Inland Empire Utilities Agency (the “Agency”) sponsors the 457 Deferred Compensation Plan and 401(a) Defined Contribution Plan (collectively the “Plans” and each individually a “Plan”), which are pension benefit plans established pursuant to Sections 457(b) and 401(a), respectively, of the Internal Revenue Code of 1986, as amended (the “Code”);

WHEREAS, pursuant to the documents governing each Plan, the Agency is the administrator of each Plan and has the power and authority to delegate its administrative functions to such persons or committees it so selects;

WHEREAS, the Agency desires to create an administrative committee and delegate its administrative and fiduciary authority with respect to the Plans to such committee.

BE IT RESOLVED, that the Agency establishes the Defined Contribution Plans Administrative Committee (the “Committee”) and adopts the Defined Contribution Plans Administrative Committee Charter (the “Charter”) attached hereto as Exhibit A;

BE IT RESOLVED, the members of the Committee shall be selected and appointed pursuant to the Charter;

BE IT RESOLVED, the Committee shall be vested with the specific powers, authority, and responsibilities set forth in the Charter; and

BE IT RESOLVED, that the Committee Chairperson, co-Chairperson, or designee is individually authorized, empowered and directed, in the name and on behalf of the Agency, to execute and deliver any and all documents, agreements and instruments, and to do or cause to be done any and all such acts that he deems necessary or advisable in order to carry out the purpose and intent of the foregoing resolutions (the execution and delivery thereof and the taking of any and all such action by such an individual to constitute conclusive evidence of such determination); and

BE IT FURTHER RESOLVED, that for purposes of facilitating the execution of this written consent, this document may be executed in one or more counterparts, each of which counterparts shall be deemed to be an original, and all of which counterparts shall constitute but one and the same instrument. This resolution was adopted by vote of the Board of Directors (“Board”) of Inland Empire Utilities Agency (the “Agency”), at a duly constituted meeting, in accordance with the Bylaws.

ADOPTED this 18th day of December, 2019.

Paul Hofer
President of the Inland Empire
Utilities Agency* and the Board
of Directors thereof

ATTEST:

Kati Parker
Secretary/Treasurer of the Inland Empire
Utilities Agency* and the Board
of Directors thereof

RESOLUTION NO. 2019-12-3

RESOLUTION OF THE BOARD OF DIRECTORS OF THE INLAND EMPIRE UTILITIES AGENCY*, SAN BERNARDINO COUNTY, CALIFORNIA, AUTHORIZING THE CREATION OF THE HEALTH REIMBURSEMENT ACCOUNT TRUST COMMITTEE

WHEREAS, the Inland Empire Utilities Agency (the “Agency”) sponsors the Health Reimbursement Account Trust Plan (the “Plan”), which is a welfare benefit plan established to provide reimbursement for certain health care costs incurred by employees, former employees and their dependents pursuant to the terms of the Plan;

WHEREAS, the Agency is the administrator of the Plan and has the power and authority to delegate its administrative functions to such persons or committees it so selects;

WHEREAS, the Agency desires to create an administrative committee and delegate its administrative and fiduciary authority to such committee;

BE IT RESOLVED, that the Agency establishes the Health Reimbursement Account Trust Committee (the “Committee”) and adopts the Health Reimbursement Account Trust Committee Charter (the “Charter”);

BE IT RESOLVED, the members of the Committee shall be selected and appointed pursuant to the Charter;

BE IT RESOLVED, the Committee shall be vested with the specific powers, authority, and responsibilities set forth in the Charter; and

BE IT RESOLVED, that the Committee Chairperson, co-Chairperson or designee is individually authorized, empowered and directed, in the name and on behalf of the Agency, to execute and deliver any and all documents, agreements and instruments, and to do or cause to be done any and all such acts that he deems necessary or advisable in order to carry out the purpose and intent of the foregoing resolutions (the execution and delivery thereof and the taking of any and all such action by such an individual to constitute conclusive evidence of such determination); and

BE IT FURTHER RESOLVED, that for purposes of facilitating the execution of this written consent, this document may be executed in one or more counterparts, each of which counterparts shall be deemed to be an original, and all of which counterparts shall constitute but one and the same instrument. This resolution was adopted by vote of the Board of Directors (“Board”) of the Agency, at a duly constituted meeting, in accordance with the Bylaws.

ADOPTED this 18th day of December, 2019.

Paul Hofer
President of the Inland Empire
Utilities Agency* and the Board
of Directors thereof

ATTEST:

Kati Parker
Secretary/Treasurer of the Inland Empire
Utilities Agency* and the Board
of Directors thereof

**ACTION
ITEM
2C**



Date: December 18, 2019

To: The Honorable Board of Directors

From: Shivaji Deshmukh, General Manager JAD

Committee: Engineering, Operations & Water Resources

12/11/19

Finance & Administration

12/11/19

Executive Contact: Christiana Daisy, Executive Manager of Engineering/AGM

Subject: Motor Control Center, Electrical Drive, and Control System Hardware Sole Source

Executive Summary:

On July 18, 2012, the Board of Directors adopted the Facilities Supervisory Control and Data Acquisition (SCADA) Master Plan, which prepared a road map for the migration from the existing Foxboro Invensys Distributed Control System (DCS) to a Rockwell Automation Enterprise SCADA system (PlantPax). To date, Carbon Canyon Water Reclamation Facility (CCWRF), Regional Water Recycling Plant No. 1 and No. 5 (RP-1/RP-5) have migrated to PlantPax. The Regional Water Recycling Plant No. 1 (RP-1) is currently in the design phase. During the migration of CCWRF, RP-4, and RP-5 it was determined the Rockwell PlantPax SCADA system had improved compatibility with Rockwell Allen Bradley motor control centers, electrical drives, and control system hardware resulting in more efficient operations and maintenance of the facilities and simplified designs. To ensure costs for the components are competitive, a standardization agreement between Inland Empire Utilities Agency (IEUA), Rockwell, and Royal Industrial Solutions (Rockwell's local distributor) has been drafted to provide IEUA discounts from Rockwell's list price of each component.

As such, staff is requesting a finding per Public Contract Code 3400(c; 2 & 3), which allows public agencies to specify a specific product for use if the awarding authority makes a finding that one or more conditions exist.

Staff's Recommendation:

1. Adopt a finding pursuant to Public Contract Code 3400(c) that the use of Rockwell Allen Bradley motor control centers, electric drives, and control system hardware: 1) match existing components in use at IEUA facilities; 2) the components are only available from Rockwell;
2. Authorize their procurement as a sole source for future O&M and capital projects for a duration of five years; and
3. Authorize the General Manager to execute the standardization contract with Rockwell and Royal Industrial Solutions, subject to non-substantive changes.

Budget Impact Budgeted (Y/N): Y Amendment (Y/N): N Amount for Requested Approval:

Account/Project Name:

None.

Fiscal Impact (explain if not budgeted):

None.

Prior Board Action:

On July 18, 2012, the Board of Directors adopted the Facilities SCADA Master Plan.

Environmental Determination:

Not Applicable

Business Goal:

The use of Rockwell Allen Bradley motor control centers, electrical drives, and control system hardware is consistent with IEUA's business goal of Business Practices, specifically the efficiency and effectiveness objective that IEUA will apply best industry practices in all processes to maintain or improve the quality and value of the services we provide to our member agencies and the public.

Attachments:

Attachment 1 - Background

Attachment 2 - PowerPoint

Attachment 3 - Rockwell Standardization Agreement

Attachment 1

Background

Subject: Motor Control Center, Electrical Drives, and Control System Hardware Sole Source

The Facilities Supervisory Control and Data Acquisition (SCADA) Master Plan is based on the concept of an Enterprise SCADA system with seamless and transparent access from any of Inland Empire Utilities Agency (IEUA's) SCADA systems, including the wastewater treatment facilities, recycled water, and groundwater recharge systems. The master plan prepared a road map for the migration from the existing Foxboro Invensys Distributed Control System (DCS) to a Rockwell Automation Enterprise SCADA system (PlantPax). Additionally, the master plan identified a need for the following:

- Enterprise SCADA System
- Programming standards for cost effective implementation
- Long-term SCADA maintenance and technical support agreement
- Cost-effective solutions for end-of-life assets
- Integration with IEUA's Business System

On July 18, 2012, the Board of Directors adopted the Facilities SCADA Master Plan. The primary goal of the Facilities SCADA Master Plan is to define and document a road map for the implementation of the technology, practices, and organization required to meet IEUA's long-term vision for SCADA. IEUA's long-term vision of its SCADA system is to build a fully integrated and uniform system that provides enterprise-wide control and the tools necessary to maintain compliance and optimize operations. To date, Carbon Canyon Water Reclamation Facility (CCWRF), Regional Water Recycling Plant No. 1 (RP-1) and the Regional Water Recycling Plant No. 5 (RP-5) have migrated to PlantPax. The Regional Water Recycling Plant No. 1 (RP-1) migration is currently in the design phase.

During the migration of CCWRF, RP-4, and RP-5 it was determined the Rockwell PlantPax SCADA system had improved compatibility with Rockwell Allen Bradley motor control centers, electrical drives, and control system hardware resulting in improved operations and maintenance of the facilities and simplified designs. The success of these components can be attributed to their unique design as noted below:

1. Built-in single ethernet network.
2. Preconfigured device connections, IP addresses and subnet masks.
3. IntelliCENTER Software provides real time diagnostics and electronic documentation (i.e. schematics)
4. Studio 5000 software add-on profiles, predefined Logix tags and pre-engineered FactoryTalk View Human Machine Interface (HMI) Diagnostic faceplates.
5. Integration Assistant uses existing add-on profiles to automatically create controller tags eliminating errors and reducing integration time of intelligent devices in Studio 5000 software

These unique features result in overall efficiencies for Operations, Maintenance, and Engineering and reduces overall cost for these systems. Some of the benefits of the Rockwell Allen Bradley motor control centers, electrical drives, and control system hardware include:

1. Standardization of electrical components:
 - Reduction in design time including submittal review and factory acceptance testing.
 - Reduction and minimization of rework during or after initial startup due to overlooked requirements during design.
 - Reduction and minimization change orders.
2. Standardization of spare parts and local availability.
3. Improved reliability through the reduction of 3rd party add-on devices. (3rd party gateways, power monitoring, etc.).
4. Reduced programming time - availability of device drivers, power data from each device.
 - Non-Rockwell Allen-Bradley components result in extra programming for each electrical drive, digital overload, and power monitor.
5. Reduced Configuration Time - The use of Rockwell Allen Bradley motor control centers makes the installation of equipment simpler and reduces the need for much of the discreet wiring for status reporting to SCADA. The motor control centers can be configured and allow changes to be made faster and more efficiently.
6. Ease of expandability.
7. Improved maintenance troubleshooting due to additional availability of data.

To ensure costs for the Rockwell Allen Bradley motor control centers, electrical drives, and control system hardware are competitive, a standardization agreement between IEUA, Rockwell, and Royal Industrial Solutions (Rockwell's local distributor) has been drafted to provide IEUA discounts from Rockwell's list price of each component. Details of the discount pricing is provided in the table below:

Table 1: Rockwell Automation Discount Pricing

Product Categories	Description	% Discount	Warranty
MCCs	Low Voltage— Assembled	68% off List	3 Yr. Parts Warranty Included at No Cost (5 year parts warranty pending)
MCCs	Low Voltage— Unassembled Units/ Buckets	40% off List	3 Yr. Parts Warranty Included at No Cost (5 year parts warranty pending)
Drives	Engineered/ Configured to order, SSB	10% off Market Level	3 Yr. Parts Warranty Included at No Cost (5 year parts warranty pending)
Software	FactoryTalk	15 % off List	N/A
Software	ViewPoint	15 % off List	N/A
Software	VantagePoint	15 % off List	N/A
Software	FT Historian (Server Only)	20 % off List	N/A
PLC	Hardware	20 % off List	1 year Warranty
HMI	Hardware	20 % off List	1 year Warranty
Services	RA callout services	15 % off Rate	N/A

The duration of the agreement will be three years with the option of two one-year extensions for a total of five years.

Pursuant to the Public Contract Code 3400(b) provided below, Public Agencies are allowed to make a finding to sole source a product if any one of the findings below are valid. This board action is a request for the Board to make such a finding on two accounts and authorize the sole source of Rockwell Allen Bradley motor control centers, electrical drives, and control system hardware O&M and capital projects for a duration of five years.

PUBLIC CONTRACT CODE - PCC

DIVISION 2. GENERAL PROVISIONS [1100 - 22355]

(Division 2 enacted by Stats. 1981, Ch. 306.)

PART 1. ADMINISTRATIVE PROVISIONS [1100 - 9203]

(Heading of Part 1 added by Stats. 1982, Ch. 1120, Sec. 2.)

CHAPTER 3. Formation [3000 - 3505]

(Chapter 3 added by Stats. 1983, Ch. 256, Sec. 81.)

ARTICLE 4. Preference for Materials [3400 - 3410]

(Heading of Article 4 renumbered from Article 5 by Stats. 2017, Ch. 816, Sec. 2.)

3400.

(a) The Legislature finds and declares that it is the intent of this section to encourage contractors and manufacturers to develop and implement new and ingenious materials,

products, and services that function as well, in all essential respects, as materials, products, and services that are required by a contract, but at a lower cost to taxpayers.

(b) No agency of the state, nor any political subdivision, municipal corporation, or district, nor any public officer or person charged with the letting of contracts for the construction, alteration, or repair of public works, shall draft or cause to be drafted specifications for bids, in connection with the construction, alteration, or repair of public works, (1) in a manner that limits the bidding, directly or indirectly, to any one specific concern, or (2) calling for a designated material, product, thing, or service by specific brand or trade name unless the specification is followed by the words "or equal" so that bidders may furnish any equal material, product, thing, or service. In applying this section, the specifying agency shall, if aware of an equal product manufactured in this state, name that product in the specification. Specifications shall provide a period of time prior to or after, or prior to and after, the award of the contract for submission of data substantiating a request for a substitution of "an equal" item. If no time period is specified, data may be submitted any time within 35 days after the award of the contract.

(c) Subdivision (b) is not applicable if the awarding authority, or its designee, makes a finding that is described in the invitation for bids or request for proposals that a particular material, product, thing, or service is designated by specific brand or trade name for any of the following purposes:

(1) In order that a field test or experiment may be made to determine the product's suitability for future use.

(2) In order to match other products in use on a particular public improvement either completed or in the course of completion.

(3) In order to obtain a necessary item that is only available from one source.

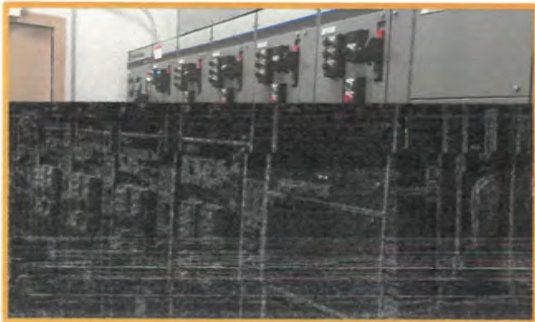
(4) (A) In order to respond to an emergency declared by a local agency, but only if the declaration is approved by a four-fifths vote of the governing board of the local agency issuing the invitation for bid or request for proposals.

(B) In order to respond to an emergency declared by the state, a state agency, or political subdivision of the state, but only if the facts setting forth the reasons for the finding of the emergency are contained in the public records of the authority issuing the invitation for bid or request for proposals.

Staff recommends the Board of Directors adopt a finding pursuant to Public Contract Code 3400(c) that the use of Rockwell Allen Bradley motor control centers, electric drives, and control system hardware: 1) match existing components in use at IEUA facilities; 2) the components with improved compatibility are only available from Rockwell Automation.

Attachment 2

Motor Control Center, Electrical Drives, and Control System Hardware Sole Source



Purpose and History



Rockwell Motor Control Center

- Motor Control Center (MCC)'s, Electrical Drives, and Control System Hardware are located in all IEUA facilities
- Board of Directors adopted Facilities SCADA Master Plan to Transition to Rockwell Automation PlantPax SCADA System
- CCWRF, RP-4, and RP-5 have migrated to PlantPax. RP-1 is in design
- Rockwell MCC's, Electrical Drives, and Control System Hardware have improved compatibility with PlantPax
- This action will result in improved efficiency and lower costs

Rockwell Allen Bradley Devices



PlantPAx



- Built-in single ethernet network reduces complex cabling
- Preconfigured device connections, IP addresses and subnet masks to reduce commissioning time and network troubleshooting
- IntelliCENTER Software provides real time diagnostics and electronic documentation
- Studio 5000 software add-on profiles, predefined Logix tags and pre-engineered FactoryTalk View HMI Diagnostic faceplates reduces programming time
- Integration Assistant uses existing add-on profiles to automatically create controller tags eliminating errors and reducing integration time of intelligent devices in Studio 5000 software
- Standardization of electrical components, spare parts, and training

Standardization Agreement

- Standardization Agreement between IEUA, Rockwell Automation, and Royal Industrial Solutions
- Provides IEUA a discount from list price for parts and service
- Three-year term with two one-year extensions for a total duration of five years

Product Categories	Description	% Discount	Warranty
MCCs	Low Voltage—Assembled	68% off List	3 Yr. Parts Warranty Included at No Cost (5 year parts warranty pending)
MCCs	Low Voltage—Unassembled Units/Buckets	40% off List	3 Yr. Parts Warranty Included at No Cost (5 year parts warranty pending)
Drives	Engineered/Configured to order, SSB	10% off Market Level	3 Yr. Parts Warranty Included at No Cost (5 year parts warranty pending)
Software	FactoryTalk	15 % off List	N/A
Software	ViewPoint	15 % off List	N/A
Software	VantagePoint	15 % off List	N/A
Software	FT Historian (Server Only)	20 % off List	N/A
PLC	Hardware	20 % off List	1 year Warranty
HMI	Hardware	20 % off List	1 year Warranty
Services	RA callout services	15 % off Rate	N/A

IEUA Discount for Parts and Service

Recommendation

- Adopt a finding pursuant to Public Contract Code 3400(c) that the use of Rockwell Allen Bradley motor control centers, electric drives, and control system hardware: 1) match existing components in use at IEUA facilities; 2) the components are only available from Rockwell;
- Authorize their procurement as a sole source for future O&M and capital projects for a duration of five years; and
- Authorize the General Manager to execute the standardization contract with Rockwell and Royal Industrial Solutions.

The use of Rockwell Allen Bradley motor control centers, electrical drives, and control system hardware is consistent with ***Inland Empire Utilities Agency (IEUA's) Business Goal of Business Practices***, specifically the efficiency objective that IEUA will apply best industry practices in all processes to maintain or improve the quality and value of the services we provide to our member agencies and the public.

Attachment 3

Standardization Agreement

between

IEUA_RA_Royal



Inland Empire Utilities Agency

A MUNICIPAL WATER DISTRICT



**Rockwell
Automation**



INLAND EMPIRE

October 10, 2019

Version 3.0

CONFIDENTIAL

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1.0 PURPOSE:

The purpose of this document is to capture and communicate the understanding of a collaborative relationship between IEUA, Royal and Rockwell Automation (RA) referred to as, the Standardization Agreement, hereafter known as the Agreement.

IEUA intends to standardize on the use of RA products and services within its facilities. RA and Royal have committed to an enhanced discount structure and package of values to support that.

IEUA is renewing and expanding their relationship with RA to continue to pursue its their agency goals and cost-reduction programs. Through this relationship, RA and Royal will proactively help IEUA to continue to reduce the total life cycle costs for factory products as defined in Section 3 of this Agreement and enhance service support capability to IEUA

2.0 GOALS

The focus of this Agreement is to ensure that IEUA, Royal and RA continue to work together in a spirit of cooperation to meet mutually defined and agreed upon goals that:

- 1) Reduce IEUA total life cycle costs (including purchase price, engineering, installation, commissioning, training, maintenance, parts, and services) for factory solutions, and help IEUA to quantify these elements of cost reduction.
- 2) Increase RA's position as the standard for factory automation products and services to IEUA by increasing the percentage of RA products purchased in relation to total purchases of the product groups identified in Section 3.
- 3) Continuously improve the quality of the relationship by developing more opportunities for both companies to meet their business goals.

3.0 PRODUCT SCOPE

IEUA identifies RA as standard for the products specified in the product scope in Appendix A for all of their facilities.

IEUA will use these products on all appropriate applications unless in the opinion of IEUA they are not economically or technologically justified. In the event IEUA determines that the products are not economically or technologically justified, RA will be given a reasonable opportunity to comment or respond.

RA and Royal will continue to provide the resources described in this Agreement to support its products at all IEUA sites.

In the spirit of this relationship, instances of RA products not meeting IEUA's requirements will be brought to RA's attention. At that point, RA will be given an opportunity to make modifications or additions to their product line in order to meet IEUA's requirements.

4.0 DURATION OF AGREEMENT AND SITES INVOLVED

This Agreement will commence on October 10, 2019 and continue until December 30, 2022. At that point, we will review with the intention to renew the agreement.

This Agreement will be subject to review a minimum of once per quarter by management representatives from IEUA, Royal and RA.

Either party may terminate this agreement, or at its option suspend performance of its obligations hereunder, after a default by the other party upon written notice to the defaulting party (hereafter the "Default Notice") specifying the Default, unless the other party cures the Default within 30 days after receipt of the Default notice. Either party may terminate this Agreement at any time by providing thirty (30) days' prior written notice to the other party. RA and Royal will not impose a cancellation fee.

5.0 DISCOUNT PRICING

In consideration for IEUA's increased purchases of RA products, RA and Royal have developed a discount structure to assist IEUA in meeting IEUA's project time line and cost-reduction goals, and to encourage the transition to RA products. The product discount structure for this Agreement will be both time and volume based. See Appendix A for discount structure.

This volume-based product pricing applies to all IEUA sites for the duration of the agreement. It is based upon the total site purchases for all supplied RA products. These products shall include hardware and software. Discounts have been selected to encourage the use of all RA products.

Royal will measure purchases on an annual basis and presents the savings to IEUA.

6.0 CONTRACTOR STANDARDIZATION PROGRAM

An important element in helping IEUA reach their cost-reduction goals is to minimize the life cycle costs of IEUA's investments made with contractor installed equipment.

The list of current and potential contractors and systems integrators will be reviewed by IEUA, RA, and Royal for this Agreement. IEUA agrees to specify at the time of request to its contractors that RA is the IEUA standard for products within the scope of this Agreement and buys the equipment through Royal Industrial Solutions (IEUA's local distributor). All RA hardware & software products, as stated in Appendix A, will be included in IEUA's total available savings dollars. Savings dollars will be calculated based upon Bill of Material (BOM) received by Royal.

RA and Royal agree to work with IEUA's contractors to provide the best solution using RA products in a way that is transparent to IEUA. RA will use its contractor standardization program that enables the parties to focus their collective resources on standardizing process and applications around specific contractors.

Pre-approved 3rd party contractors and systems integrators will purchase Rockwell hardware & software from an authorized Rockwell distributor in San Bernardino County- the same county as IEUA's headquarters located at 6075 Kimball Ave., Chino, CA in order to ensure local technical support and inventory.

RA and Royal will work to establish a separate commercial and technical relationship with each of IEUA's key 3rd party contractors. If IEUA evaluates the opportunity and decides to purchase equipment and ship that to the 3rd party contractor, Royal will accept orders from IEUA for shipment to IEUA contractor suppliers.

7.0 REQUIRED CONTRACTS AND CONDITIONS

RA, Royal and IEUA agree to negotiate in good faith the purchase order terms and conditions to either adopt or revise these terms and conditions. Under no circumstances will either party insist upon terms and conditions that are in conflict with this agreement.

Signatures

IEUA APPROVED BY:

Shivaji Deshmukh
General Manager, IEUA

RA APPROVED BY:

Polo Paredes
Industry Manager, Rockwell Automation

MyAn Bourdon
Account Manager, Rockwell Automation

ROYAL APPROVED BY:

Tom Thuerbach
General Manager, Royal Industrial Solutions

Tyler Brubaker
Sales Manager, Royal Industrial Solutions

APPENDIX A: Rockwell Automation Pricing

Effective October 10, 2019 - December 30, 2022

Product Categories	Description	% Discount	Warranty
MCCs	Low Voltage— Assembled	68% off List	3 Yr. Parts Warranty Included at No Cost (5 year parts warranty pending)
MCCs	Low Voltage— Unassembled Units/ Buckets	40% off List	3 Yr. Parts Warranty Included at No Cost (5 year parts warranty pending)
Drives	Engineered/ Configured to order, SSB	10% off Market Level	3 Yr. Parts Warranty Included at No Cost (5 year parts warranty pending)
Software	FactoryTalk	15 % off List	N/A
Software	ViewPoint	15 % off List	N/A
Software	VantagePoint	15 % off List	N/A
Software	FT Historian (Server Only)	20 % off List	N/A
PLC	Hardware	20 % off List	1 year Warranty
HMI	Hardware	20 % off List	1 year Warranty
Services	RA callout services	15 % off Rate	N/A

Appendix B: Authorized Distributor Letter

September 16, 2019

Mr. Shaun Stone
Inland Empire Utilities Agency 6075 Kimball Ave.
Chino, CA 91708

Re: Rockwell Automation Authorized Distributors/Royal Industrial Solutions

Dear Mr. Stone:

Rockwell Automation extends and enhances its own significant automation capabilities by partnering with a network of authorized distributors in order to ensure we are able to meet our customers technical and logistics requirements. Just a few of the many benefits that customers enjoy working with their local authorized Allen-Bradley distributor include:

- Access to local distributor inventory (including for spares and replacement parts)
- Technical assistance from local distributor product specialists
- A knowledgeable staff that has access to factory training
- Rockwell Automation provided factory support of local distributor activities

This is to confirm that Royal Industrial Solutions currently is the only distributor appointed and authorized to sell Allen-Bradley Standard Controls, Drives, PLC/MMI, Rockwell Software products and all services offerings in the geographic area in which your facilities in the Inland Empire are located. As a matter of Company policy, full factory product and sales support is made available only to the local authorized distributor, and it is Rockwell Automation's practice and policy to always promote and recommend the use of that distributor to customers in that geographic area.

Should you have any questions, please do not hesitate to contact Royal Industrial Solutions at (951) 683- 6625 or our local Rockwell Automation sales office at (714) 938-9000.

Respectfully, Keith Hornberger
Rockwell Automation Channel Account Manager
714-306-2372 cell
kahornberger@ra.rockwell.com

cc: Tyler Brubaker – Royal Industrial Solutions



APPENDIX C: Agreement Terms

Effective OCT. 10, 2019 - December 30, 2022

Terms of Agreement:

- This agreement is based on the commitment that IEUA will standardize on Rockwell Automation Hardware & Software where applicable.
- IEUA will be required to provide Rockwell Automation all Contractor Bills of Materials where applicable.
- Savings are calculated on total IEUA spend using IEUA project agreement pricing, for Hardware, Software, and Field Labor/Support. This includes purchases made through contractors.
- Should contractors supplying IEUA with equipment have agreements with RA that allow contractors to get lower prices than the IEUA prices, then the lower prices will apply where applicable and all other provisions of this contract will be unaffected.
- This agreement can be extended for an additional 1 or 2 years beyond the expiration date should IEUA request it.

**ACTION
ITEM
2D**



Date: December 18, 2019

To: The Honorable Board of Directors
Committee: Finance & Administration

From: Shivaji Deshmukh, General Manager

SDM

12/11/19

Executive Contact: Shivaji Deshmukh, General Manager

Subject: Adoption of Resolution No. 2019-12-1, Approving the Reporting of Uniform Allowance as Special Compensation for CALPERS Classic Members

Executive Summary:

Pursuant to Government Code section 20636 and 20636.1, and section 571 of the California Code of Regulations (CCR) (Uniform Allowance), uniform allowance is reportable to the California Public Employees' Retirement System as special compensation. The regulations require that the value of the cost of purchasing, renting and/or maintaining uniforms be included in a written labor policy or agreement. Failure to meet this requirement would exclude the reporting of this compensation to the California Public Employees' Retirement System.

The Agency has traditionally reported the monetary value of the cost of purchasing, renting and/or maintaining uniforms to the California Public Employees' Retirement System as special compensation for employees required to wear a uniform under Agency policy. However, the Agency does not include the value of the uniform allowance in a written labor policy or agreement and is not in compliance with Government Code section 20636 and 20636.1, and section 571 of the California Code of Regulations (CCR) (Uniform Allowance). Staff have been working with CalPERS on the issue to ensure compliance. The attached resolution will bring the Agency into compliance with Government Code section 20636 and 20636.1, and section 571 of the California Code of Regulations (CCR) (Uniform Allowance).

Staff's Recommendation:

Adoption of Resolution No. 2019-12-1, approving the reporting of uniform allowance as special compensation for CalPERS Classic Members.

Budget Impact *Budgeted (Y/N):* N *Amendment (Y/N):* N *Amount for Requested Approval:*

Account/Project Name:

Fiscal Impact (explain if not budgeted):

Funding is appropriated in the FY 2019/20 Budget.

Full account coding (internal AP purposes only):

- - -
- - -

Project No.:

Prior Board Action:

Not Applicable

Environmental Determination:

Not Applicable

Business Goal:

Workplace Environment: IEUA is committed to providing a dynamic work environment with a highly skilled and dedicated workforce.

Attachments:

Attachment 1 - Resolution No. 2019-12-1

RESOLUTION NO. 2019-12-1

RESOLUTION OF THE BOARD OF DIRECTORS OF THE INLAND EMPIRE UTILITIES AGENCY*, SAN BERNARDINO COUNTY, CALIFORNIA, APPROVING THE REPORTING OF UNIFORM ALLOWANCE AS SPECIAL COMPENSATION FOR CALPERS CLASSIC MEMBERS

WHEREAS, the Agency has traditionally reported the monetary value of the cost of purchasing, renting and/or maintaining uniforms to the California Public Employees' Retirement System as special compensation for employees required to wear a uniform under Agency policy and,

WHEREAS, uniform allowance is only reportable to the California Public Employees' Retirement System as special compensation for classic members and,

WHEREAS, the Agency desires to comply with Government Code sections 20636 and 20636.1 and section 571 of the California Code of Regulations to continue reporting uniform allowance to the California Public Employees' Retirement System as special compensation,

NOW, THEREFORE, the Board of Directors of the Inland Empire Utilities Agency* does hereby **RESOLVE, DETERMINE AND ORDER** as follows:

SECTION 1: The Agency will report a uniform allowance of \$7.97 per pay period for classic member as special compensation to California Public Employees' Retirement System retroactive to 1/1/2004.

ADOPTED the 18th day of December 2019.

Paul Hofer
President of the Inland Empire
Utilities Agency* and of the
Board of Directors thereof

ATTEST:

Kati Parker
Secretary/Treasurer of the Inland Empire
Utilities Agency* and of the
Board of Directors thereof

*A Municipal Water District

STATE OF CALIFORNIA)
COUNTY OF) SS
SAN BERNARDINO)

I, Kati Parker, Secretary/Treasurer of the Inland Empire Utilities Agency*, DO
HEREBY CERTIFY that the foregoing Resolution being No. 2019-12-1, was adopted at a regular
Board Meeting on December 18, 2019, of said Agency by the following vote:

AYES:

NOES:

ABSTAIN:

ABSENT:

Kati Parker
Secretary/Treasurer of the Inland Empire
Utilities Agency* and of the
Board of Directors thereof

(SEAL)

*A Municipal Water District

**INFORMATION
ITEM
3A**



Date: December 18, 2019

To: The Honorable Board of Directors

Committee: Finance & Administration

From: Shivaji Deshmukh, General Manager

12/11/19

Executive Contact: Christina Valencia, Executive Manager of Finance & Administration/AGM

Subject: Fiscal Year 2019/20 First Quarter Budget Variance, Performance Goal Updates, and Budget Transfers

Executive Summary:

The budget variance report presents the Agency's financial performance through the first quarter ended September 30, 2019 and various related analyses are provided in the attachments.

The Agency's total revenues and other funding sources were \$55 million, or 76 percent of the fiscal year to date budget of \$72 million. The unfavorable variance is primarily due to the timing of property tax receipts which commence in November of each fiscal year. Additionally grant and loan receipts which are primarily reimbursable in nature were lower than budgeted for the first quarter due to lower capital project expenditures .

The Agency's total expenses and other uses of funds were \$57 million, or 75 percent of the fiscal year to date budget of \$76 million. The timing of capital project execution and lower than budgeted administrative and operating expenses account for the favorable variance.

The net change of the unaudited total revenues and other funding sources over the total expenses and other uses of funds for this quarter is a decrease of \$2 million.

Staff's Recommendation:

The Fiscal Year (FY) 2019/20 first quarter budget variance, performance goals updates, and budget transfers is an informational item for the Board of Directors to receive and file.

Budget Impact Budgeted (Y/N): N Amendment (Y/N): N Amount for Requested Approval:

Account/Project Name:

Fiscal Impact (explain if not budgeted):

The net change of the total revenues and other funding sources over the total expenses and other uses of funds is a decrease of \$2 million for the quarter ended September 30, 2019.

Full account coding (internal AP purposes only): - - - Project No.:

Prior Board Action:

None.

Environmental Determination:

Not Applicable

Business Goal:

The quarterly budget variance report is consistent with the Agency's business goal of fiscal responsibility to demonstrate the Agency has appropriately funded operational, maintenance, and capital costs.

Attachments:

Attachment 1 - Background

Exhibit A - Q1 Budget Variance Summary and Detail Report

Exhibit B - Business Goals and Objectives Report by Initiatives

Exhibit C-1 - Summary of Annual Budget Transfers in the First Quarter

Exhibit C-2 - Summary of the GM Contingency Account Activity

Exhibit D - Project Budget Transfers for Capital & Non-Capital Projects

Attachment 2 - PowerPoint

Background

Subject: Fiscal Year 2019/20 First Quarter Budget Variance, Performance Goals Updates, and Budget Transfers

The Budget Variance report presents the Agency's financial performance through the first quarter ending September 30, 2019, and includes the following highlights:

TOTAL REVENUES AND OTHER FUNDING SOURCES

Overall, the Agency's total revenue and other funding sources were \$54.5 million, or 75.5 percent of the year to date budget of \$72.1 million for the quarter ended September 30, 2019 (Exhibit A detail). The following section highlights key variances:

- ***MWD Water Sales*** – Total Metropolitan Water District of Southern California (MWD) pass-through imported water revenue was \$15.4 million or 137.9 percent of the year to date budget. Imported water deliveries were 23,537 AF compared to the annual budgeted quantity of 60,000 AF. Higher demand through the first quarter can be attributed to increased usage due to warm weather and member agencies continuing shift to imported water away from local supplies due to water quality issues.
- ***Connection Fees*** – Total connection fees receipts were \$7.5 million or 84.7 percent of the year to date budget. Receipts include \$5.6 million for new regional wastewater system connections (EDU), and \$1.9 million for new water connections (MEU). The number of new EDU connections reported through the first quarter were 810 EDUs compared to the annual budget of 4,000 EDUs and member agency projections of 6,294. New water connections were 1,230 MEUs compared to the 4,700 budgeted MEUs.
- ***Property Taxes*** – General ad-valorem property tax receipts from the San Bernardino County Tax Assessor will commence in November 2019 as collection of the first installment from property owners will be due to the County on November 1st. Payment of incremental Redevelopment Agencies (RDA) “pass through” taxes are due from County in January and June. Property tax receipts are budgeted based on valuation projected by the county, increased home sales, and anticipated adjustments in property tax distribution due to the dissolution of the redevelopment agencies.
- ***Grants & Loans*** – Total receipts were \$0.8 million, or 9.4 percent of the year to date budget. First quarter grant receipts were for water use efficiency programs. Grant and loan receipts are primarily reimbursable in nature and as such are dependent upon related capital project expenditures. The annual grants budget of \$13.1 million includes \$7.0 million for various recycled water optimization, drought relief, and lateral projects in the Recycled Water fund, \$4.8 million for Recharge Master Plan Update (RMPU) projects in the Recharge Water fund, and \$1.3 million for groundwater supply wells and the Chino Creek park in the Regional Wastewater Operations fund. Grant receipts consist of reimbursements from federal, state, and local programs and may contain pass-through funding for other agencies. Annual State Revolving Fund (SRF) loan proceeds budget of

\$19.2 million includes \$9.8 million for the RP-5 Expansion projects in the Regional Wastewater Capital Improvement fund, and \$8.1 million for various recycled water optimization and lateral projects in the Recycled Water Fund, and \$1.3 million for RMPU projects in the Recharge Water fund.

- **Cost Reimbursements** – Total cost reimbursements were \$1.7 million, or 103.4 percent of the year to date budget. Reimbursements include \$1.1 million from the Inland Empire Regional Composting Authority (IERCA) and \$0.3 million from Chino Basin Desalter Authority (CDA) for the Agency's operation & maintenance of the IERCA Composter and CDA Desalter facilities. Also included is \$0.3 million for the operations & maintenance costs related to the groundwater recharge basins, net of the Agency's pro-rata share for the recycled water recharge costs. The annual total cost reimbursement budget of \$6.7 million includes: \$4.1 million from IERCA, \$1.4 million from CDA, and \$1.2 million from CBWM.

TOTAL EXPENSES AND USES OF FUNDS

The Agency's total first quarter expenses and uses of funds were \$56.8 million, or 75.1 percent of the \$75.7 million year to date budget, including the budget amendment for open encumbrances carried forward from the prior fiscal year and approved by the Board on September 18, 2019. Key expense highlights for the first quarter ending September 30, 2019 include:

Administrative Expenses

- **Office and Administration** – Office and administrative expense for the first quarter was \$0.3 million or 47.1 percent of the year to date budget. The favorable variance was in part due to lower advertising, departmental training and related travel expense.
- **Professional Fees & Services** – Total expenses were \$2.0 million, or 55.2 percent of the year to date budget. The positive variance can be attributed to the timing of contract services deferred such as: cleaning and restoration of groundwater recharge basins; repairs and calibration of critical compliance equipment and treatment process; and contractor and consultant support for project management and administrative services.
- **O&M (Non-capital) and Reimbursable Projects** – O&M and reimbursable project costs were \$1.2 million or 18.0 percent of their year to date budget. The favorable balance is mainly due to lower spending on conservation, planning, and emergency projects. Other O&M projects such as the TCE Plume Cleanup project, and the agency wide roofing projects currently in design and planning phases with the majority of expense expected in the following quarters.

Operating Expenses

- **Operating Fees** – Spending in this category was \$2.7 million, or 89.1 percent of the year to date budget. The majority of category expense is the non-reclaimable wastewater system (NRWS) “pass-through” fees from Sanitation District of Los Angeles County (SDLAC)

and Santa Ana Watershed Project Authority (SAWPA). The category also includes \$0.7 million of MWD readiness-to-serve (RTS) pass-through charges with an annual budget of \$3.1 million.

- ***MWD Water Purchases*** – Total Metropolitan Water District of Southern California (MWD) pass-through imported water purchases were \$15.4 million or 137.9 percent of the year to date budget. Imported water deliveries were 23,537 AF compared to the annual budgeted quantity of 60,000 AF. Higher demand through the first quarter can be attributed to increased usage due to warm weather and member agencies continuing shift to imported water away from local supplies due to water quality issues.

Non-Operating Expenses

- ***Capital Projects*** – Total capital project expenditures through the end of the first quarter were \$9.0 million or 35.9 percent of the year to date budget of \$25.2 million. Favorable variance for capital spending is largely due to approximately 50 percent of budgeted projects currently in the pre-construction phases. Approximately 16 percent of projects were in the construction phase where the majority of costs are incurred. Capital projects related to the Regional Wastewater program through the first quarter were \$4.2 million, or 32 percent of the \$12.9 million year to date program budget. Recycled Water capital projects accounted for \$4.1 million, or 69 percent of the programs \$5.9 million year to date budget.
- ***Financial Expenses*** - First quarter expense totaled \$7.4 million or 123.9 percent of the year to date budget. Actual expenses include \$5.9 million paid towards principal for the 2010A Revenue bonds and various State Revolving Fund loans. Interest and financial administration fees were \$1.4 million.

A detailed explanation of significant revenue and expenses are included in the attached Exhibit A.

FUND BALANCES AND RESERVES

The net change of the total revenues and other funding sources over the total expenses and other uses of funds for the first quarter to date is a decrease of \$2.3 million compared to the year-to-date budget decrease of \$3.6 million due to lower than budgeted non-operating revenues.

Table 1 provides an overview of the fiscal year to date budget variance for revenues, expenses, and fund balance.

**Table 1: Fiscal Year and Year to Date (YTD)
Revenues, Expenses, and Fund Balance (\$Millions)
Quarter Ending September 30, 2019**

Operating	FY 2019/20 Amended Budget	Budget YTD	Actual YTD	% Budget Used YTD
Operating Revenue	\$161.5	\$40.4	\$45.1	111.7%
Operating Expense	(\$176.9)	(\$44.2)	(\$40.1)	90.7%
Net Operating Increase/(Decrease)	(\$15.4)	(\$3.8)	\$5.0	
Non- Operating				
Non-Operating Revenue	\$127.0	\$31.7	\$9.4	29.8%
Non-Operating Expense	(\$125.0)	(\$31.5)	(\$16.7)	53.0%
Net Non-Operating Incr./ (Decrease)	\$2.0	\$0.2	(\$7.3)	
Total Sources of Funds	\$288.5	\$72.1	\$54.5	75.5%
Total Uses of Funds	(\$301.9)	(\$75.7)	(\$56.8)	75.1%
Total Net Increase/(Decrease)	(\$13.4)	(\$3.6)	(\$2.3)	

GOALS AND OBJECTIVES

Exhibit B provides information on division and related department goals and objectives and the status of each through the end of the first quarter. The goals and objective indicators are used to track the volume and complexity of work by type and to track the effort invested to accomplish that work. Staff use the performance indicators to track productivity and to justify current resource allocations, re-allocation and requests for additional staff.

BUDGET TRANSFERS AND AMENDMENTS

Intra-fund O&M budget transfers and project transfers affecting annual budget only for the first quarter accounted for \$1.7 million and \$1.9 million, respectively as detailed in Exhibit C-1.

The *General Manager (GM) Contingency Account* adopted budget of \$300,000 in the Administrative Services Fund, utilized \$0 through the first quarter as listed in Exhibit C-2.

Intra-fund Capital and O&M projects budget transfers accounted for approximately \$0.7 million as listed in Exhibit D.

The budget variance analysis report is consistent with the Agency's business goal of fiscal responsibility: to demonstrate the Agency appropriately funded operational, maintenance, and capital costs.

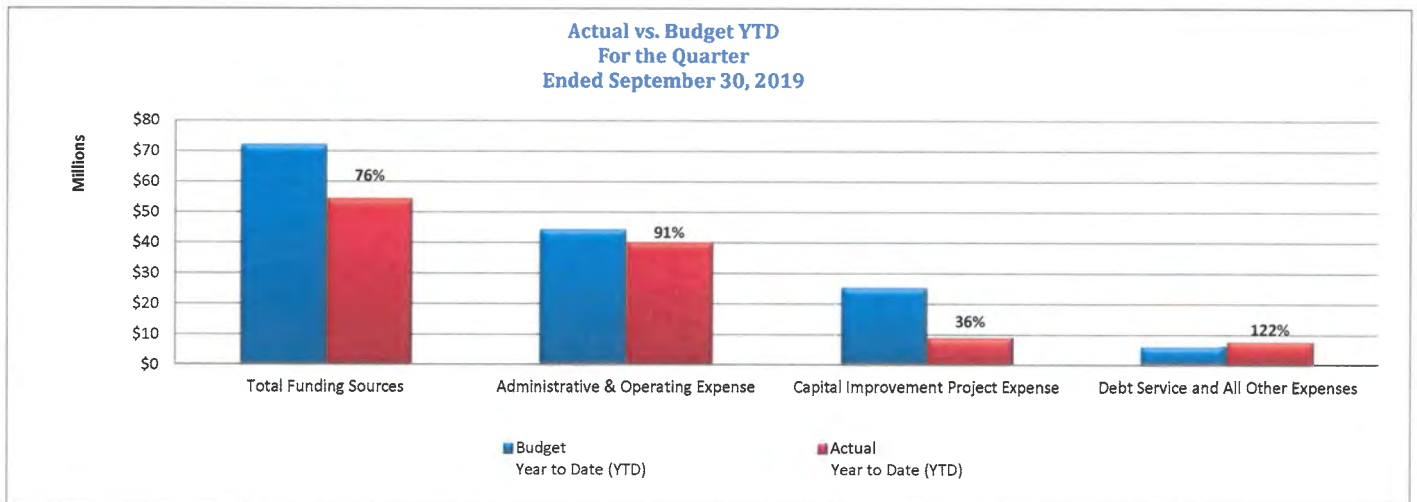
IMPACT ON BUDGET

The net change of the total revenues and other funding sources over the total expenses and other uses of funds for this quarter is a decrease of \$2.3 million, lower than the year-to-date budget decrease of \$3.6 million.

I. Actual vs. Budget Summary:

Quarter Ended September 30, 2019

	Amended Budget	Budget Year to Date (YTD)	Actual Year to Date (YTD)	Budget YTD vs. Actual	% of Budget Used YTD
Operating Revenues	\$161,505,455	\$40,376,364	\$45,091,895	\$4,715,531	111.7%
Non-Operating (Other Sources of Fund)	127,032,819	31,758,205	9,450,949	(22,307,256)	29.8%
TOTAL FUNDING SOURCES	288,538,274	72,134,569	54,542,844	(17,591,725)	75.5%
Administrative & Operating Expense	(176,865,643)	(44,216,412)	(40,094,647)	4,121,765	90.7%
Capital Improvement Project Expense	(100,854,066)	(25,213,517)	(9,042,349)	16,171,168	35.9%
Debt Service and All Other Expenses	(24,175,025)	(6,298,696)	(7,705,260)	(1,406,564)	122.3%
TOTAL USES OF FUNDS	(301,894,734)	(75,728,625)	(56,842,256)	18,886,369	75.1%
Surplus/(Deficit)	(\$13,356,460)	(\$3,594,056)	(\$2,299,412)	\$1,294,644	



2. Actual Revenue vs. Budget:

Quarter Ended September 30, 2019

	Amended Budget	Budget Year to Date (YTD)	Actual Year to Date (YTD)	Budget YTD vs. Actual	% of Budget Used YTD
Operating Revenues:					
User Charges	\$87,284,597	\$21,821,149	\$22,725,479	\$904,330	104.1%
Recycled Water Sales	18,120,000	4,530,000	4,949,966	\$419,966	109.3%
MWD Water Sales	44,724,000	11,181,000	15,413,135	\$4,232,135	137.9%
Cost Reimbursement	6,683,675	1,670,919	1,728,418	\$57,499	103.4%
Interest	4,693,183	1,173,296	274,897	(\$898,399)	23.4%
OPERATING REVENUES	161,505,455	40,376,364	45,091,895	4,715,531	111.7%
Non-Operating Revenues:					
Property Tax - Debt, Capital, Reserves	\$52,364,000	\$13,091,000	\$0	(\$13,091,000)	0.0%
Connection Fees	35,734,799	8,933,700	7,568,149	(\$1,365,551)	84.7%
Grants & Loans	34,314,005	8,578,501	808,553	(\$7,769,948)	9.4%
Other Revenue	4,620,015	1,155,004	1,074,247	(\$80,757)	93.0%
NON-OPERATING REVENUES	127,032,819	31,758,205	9,450,949	(22,307,256)	29.8%
Total Revenues	\$288,538,274	\$72,134,569	\$54,542,844	(\$17,591,725)	75.6%

User Charges, 104.1% User charges were \$22.7 million, or 104.1 percent of the year to date budget. The category includes \$18.3 million monthly sewer charges based on equivalent dwelling units (EDU), \$2.7 million non-reclaimable wastewater fees paid by industrial and commercial users connected to the brine line system, and \$1.7 million of monthly meter equivalent unit charges (MEU) imposed on all potable water connections and Readiness-to-Serve Ten Year Rolling Average (RTS TYRA) charges to meet our Readiness-to-Serve obligation to Metropolitan Water District (MWD).

Property Tax/ AdValorem, 0% General ad-valorem property tax receipts from the San Bernardino County Tax Assessor will begin in November 2019 as the collection of the first installment from property owners will be due to the county November 1st. Payment and "pass through" of incremental Redevelopment Agencies (RDA) taxes are due from the county in January and June. Property tax receipts are budgeted based on valuation projected by the county, increased home sales, and anticipated adjustments in property tax distribution due to the dissolution of the redevelopment agencies.

Recycled Water Sales, 109.3% Recycled water direct sales were \$3.3 million for 7,108 acre feet (AF) and groundwater recharge sales were \$1.6 million for 3,028 acre feet (AF), for a combined total of \$4.9 million or 10,136 AF. Total deliveries of 35,800 AF (22,000 AF Direct and 13,800 AF Recharge) are budgeted for the fiscal year. Demand for direct use and groundwater recharge varies depending on weather patterns, water use conservation efforts, reuse supply, and basin availability.

Interest Income, 23.4% Interest Income is \$0.3 million or 23.4 percent of the year to date budget. The Agency earns interest income by investing funds not immediately required for daily operations. The Agency's average portfolio yield as of September 2019 was 2.47%. The budgeted interest rate assumption for FY 2019/20 is 2.5%.

MWD Water Sales, 137.9% Total Metropolitan Water District of Southern California (MWD) pass-through imported water revenue was \$15.4 million or 137.9 percent of the year to date budget. Imported water deliveries were 23,537 AF compared to the annual budgeted quantity of 60,000 AF. Higher demand through the first quarter can be attributed to increased usage due to warm weather and member agencies continued shift to imported water use away from local supplies due to water quality issues.

Connection Fees, 84.7% Total connection fee receipts of \$7.5 million or 84.7 percent of the year to date budget. Receipts include \$5.6 million for new regional system connections (EDU), and \$1.9 million for new water connections (MEU). The number of new EDU connections reported through the first quarter were 810 EDUs compared to the annual budget of 4,000 EDUs and the member agency projection of 6,294. The total new water connections were 1,230 MEUs compared to the 4,700 budgeted MEU.

Grants and Loans, 9.4% Grant and loan receipts were \$0.8 million, or 9.4 percent of the fiscal year to date budget. The majority of receipts were for water use efficiency programs. Grants and loan receipts are primarily reimbursable in nature and as such are dependent upon related capital project expenditures. The annual grants budget of \$13.1 million includes \$7.0 million for various recycled water optimization, drought relief, and lateral projects in the Recycled Water fund, \$4.8 million for Recharge Master Plan Update (RMPU) projects in the Recharge Water fund, and \$1.3 million for groundwater supply wells and the Chino Creek park in the Regional Wastewater Operations fund. Grant receipts consist of reimbursements from federal, state, and local programs and may contain pass-through funding for other agencies. State Revolving Fund (SRF) loan proceeds annual budget of \$19.2 million include \$9.8 million for the RP-5 Solids Treatment and Liquid Expansion projects in the Regional Wastewater Capital fund, \$8.1 million for various recycled water optimization and lateral projects in the Recycled Water Fund, and \$1.3 million for Recharge Master Plan Update projects in the Recharge Water Fund.

Cost Reimbursements JPA, 103.4% Total cost reimbursements were \$1.7 million, or 103.4 percent of the year to date budget. Actuals include reimbursements of \$1.1 million from the Inland Empire Regional Composting Authority (IERCA) and \$0.3 million from Chino Basin Desalter Authority (CDA) for the Agency's operation & maintenance of the IERCA Composter and CDA Desalter facilities. Also included is \$0.3 million for the operations & maintenance costs related to the groundwater recharge basins, net of the Agency's pro-rata share for the recycled water recharge costs. Annual total cost reimbursement budget of \$6.7 million includes: \$4.1 million from IERCA, \$1.4 million from CDA, and \$1.2 million from CBWM.

Other Revenues, 93% Total other revenues and project reimbursements were \$1.1 million, or 93.0 percent of the year to date budget. Actual receipts include \$0.6 million from Chino Basin Waster Master(CBWM) for their share of the 2008B Rate Variable bond debt service and fixed project costs, \$0.3 million in lease revenues, and \$0.2 million of project reimbursement and other miscellaneous revenues.

3. Actual Operating and Capital Expense vs. Budget:

Quarter Ended September 30, 2019

	Amended Budget	Budget Year to Date (YTD)	Actual Year to Date (YTD)	Budget YTD vs. Actual	% of Budget Used YTD
Operating Expenses:					
Employment	\$51,365,894	\$12,841,475	\$12,684,927	\$156,548	98.8%
Admin & Operating	80,775,749	20,193,937	11,996,585	\$8,197,352	59.4%
MWD Water Purchases	44,724,000	11,181,000	15,413,135	(\$4,232,135)	137.9%
OPERATING EXPENSES	\$176,865,643	\$44,216,412	\$40,094,647	\$4,121,765	90.7%
Non-Operating Expenses:					
Capital	100,854,066	25,213,517	9,042,349	\$16,171,168	35.9%
Debt Service and All Other Expenses	24,175,025	6,298,696	7,705,260	(\$1,406,564)	122.3%
NON-OPERATING EXPENSES	\$125,029,091	\$31,512,213	\$16,747,609	\$14,764,604	53.1%
Total Expenses	\$301,894,734	\$75,728,625	\$56,842,256	\$18,886,369	75.1%

Employment Expenses net of allocation to projects **Employment, 98.8%**
 Employment expenses were \$12.7 million or 98.8 percent of the year to date budget. At the end of the first quarter, 267 regular positions (FTEs) were filled compared to the 286 budgeted (290 authorized) and 12 limited term positions were filled compared to the 18 budgeted positions. Recruitment of key positions as part of the Agency's succession planning effort is expected to lower the vacancy factor going forward.

Administrative & Operating Expenses **Office and Administrative, 47.1%**
 Office and administrative expense for the first quarter was \$0.3 million. The favorable variance was in part due to lower advertising, travel, and department training expense.

Professional Fees & Services, 55.2%
 Total expenses were \$2.0 million, or 55.2 percent of the year to date budget. The positive variance can be attributed to the timing of contract services scheduled in the following quarters such as: cleaning and restoration of the Ely, Jurupa, and Declez basins; repairs and calibration of critical compliance equipment and treatment process; and contractor and consultant support for project management and administrative services.

Materials & Supplies/Leases/Contribution, 99.1%
 Expenses through the end of first quarter were \$0.9 million or 99.1 percent of year to date budget. Category budget includes costs for operations and maintenance supplies, equipment leases, and small tools and equipment.

Biosolids Recycling, 96.4%
 Biosolids expenses were \$1.1 million or 96.4 percent of the year to date budget. Biosolids budget includes costs for hauling and disposal of biosolids and non-biological materials. Year to date tonnage of the agency's biosolids generated from all its water recycling facilities shipped to IERCA was 17,337 tons with a hauling rate of \$56.00 per ton.

Chemicals, 91%
 Chemical expenses were \$1.2 million, or 91 percent of the year to date budget. Chemicals are essential in meeting regulatory requirements, treatment process performance goals, and sustainment of high quality recycled water.

Administrative & Operating Expenses continued

Operating Fees, 89.1%

Spending in this category was \$2.7 million, or 89.1 percent of the year to date budget. The majority of the expense is Non-reclaimable wastewater system (NRWS) "pass-through" fees from Sanitation District of Los Angeles County (SDLAC) and Santa Ana Watershed Project Authority (SAWPA). The category also includes \$0.7 million of readiness-to-serve (RTS) obligation pass-through to MWD, budgeted at \$3.1 million.

MWD Water Purchases, 137.9%

Total Metropolitan Water District of Southern California (MWD) pass-through imported water revenue was \$15.4 million or 137.9 percent of the year to date budget. Imported water deliveries were 23,537 AF compared to the annual budgeted quantity of 60,000 AF. Higher demand through the first quarter can be attributed to increased usage due to warm weather and member agencies continued shift to imported water use away from local supplies due to water quality issues.

Utilities, 92.2%

Total utilities expenses were \$2.3 million of the year to date budget. This category includes the purchase of electricity from Southern California Edison (SCE) or the grid, natural gas, and purchase of renewable energy generated on site from solar and wind. The favorable variance is mainly attributed to a slightly lower utility rates. The average rate for imported electricity was \$0.11/kWh compared to the budgeted rate of \$0.12/kWh.

O&M and Reimbursable Projects, 18.3% and 10.7%

The combined O&M and reimbursable project costs were \$1.2 million or 18.0 percent of their combined year to date budget. The favorable balance is mainly due to lower spending for conservation, planning, and emergency projects. Other O&M projects such as Agency Wide Roofing and the TCE Plume Cleanup are currently in planning and design phases with the majority of expense expected to occur in the following quarters.

Financial Expenses

Financial Expense, 123.9%

Total financial expenses were \$7.4 million through the end of the first quarter. Actual costs included \$5.9 million paid towards principal for the 2010A Revenue Bond, and State Revolving Fund (SRF) notes. Total interest and financial administration fees were \$1.4 million.

Other Expenses

Other Expenses, 94.8%

Total other expenses were \$0.3 million or 94.8 percent of the year to date budget. The category expense includes the annual contribution-in-aid to the Santa Ana Watershed Project Authority.

Capital Expenses

Capital Costs, 35.9%

Total capital project expenditures year to date were \$9.0 million or 35.9 percent of the year to date budget of \$25.2 million. The favorable variance is primarily due to approximately 50% of budgeted projects being in the pre-construction phases. The bulk of project expenditures happen during construction and through the first quarter 16 percent of projects were in the construction phase. Capital project costs related to the regional wastewater program through the first quarter were \$4.2 million, or 32 percent of the \$12.9 million year to date program budget. Recycled water capital projects accounted for \$4.1 million, or 69 percent of the \$5.9 million year to date program budget.

Summary of major capital and non-capital project expenses and status as of September 30, 2019

Capital Projects		Annual Budget	Actual YTD	% of Budget Used YTD
EN17049	Baseline Recycled Water Pipeline Extension The project is to construct 8,200 linear feet of recycled water pipeline. Recycled water will be available for landscape irrigation by CVWD in the Village of Heritage and allow for future expansion of the City of Fontana's recycled water system to the northern area of the city. Project is currently in the construction phase, project completion is estimated to be in March 2020.	6,030,000	1,272,340	21.1%
EN19001	RP-5 Liquids Treatment Expansion The project will expand RP-5 liquid treatment from 15 mgd to 30 mgd. 100% design completion is scheduled for October 2019 with construction award scheduled for March 2020.	3,000,000	548,892	18.3%
EN19006	RP-5 Solids Handling Facility The project will relocate RP-2 solids treatment to RP-5 and expand existing solids treatment capacity. 100% design completion is scheduled for October 2019 with construction award scheduled for March 2020.	8,000,000	534,812	6.7%
WR15021	Napa Lateral Project is the design and construction of approximately 10,000 linear feet of recycled water pipeline to convey water from the existing pipeline near RP-4 to California Steel Industries and Auto Club Speedway. Project funding is a combination of grants, state loans and reimbursements. The project is currently in the construction phase, project completion is estimated in December 2019.	5,205,496	1,304,553	25.1%

O&M & Reimbursable Projects		Annual Budget	Actual YTD	% of Budget Used YTD
RW19002	<p>CBWM Pomona Extensometer</p> <p>The goal of this project is to construct new Chino Basin Watermaster (CBWM) wells to record subsidence and groundwater levels. This is a 100% reimbursable project from CBWM for which State Revolving Fund loans and grants are also anticipated. Construction is at 95% and the project is scheduled to be completed in October 2019.</p>	309,249	33,934	11.0%
WR18028	<p>Water Bank</p> <p>The project will conduct an evaluation to understand institutional agreements and models to be developed in order to implement and coordinate storage recovery programs within the Chino Groundwater Basin for local, regional, and statewide benefits. The region's supplemental water providers and members of the regions groundwater recharge, surface water treatment, and groundwater production facilities will work together to accomplish project goals. The project is funded equally between the Agency, Monte Vista Water District, City of Ontario, and Cucamonga Valley Water District.</p>	1,062,943	931	0.1%
FM20002	<p>Agency-Wide Roofing</p> <p>Project is to ensure that Regional Plants and Headquarters buildings roofs are maintained in good condition or replaced if needed. An assessment for Regional Plants are currently be evaluated for repair and replacement needs. The project is currently in the design phase, this phase is estimated to be complete by February 2020.</p>	1,481,064	15,809	1.1%

INLAND EMPIRE UTILITIES AGENCY
Fiscal Year 2019/20
CONSOLIDATED BUDGET VARIANCE ANALYSIS REPORT
Quarter Ended September 30, 2019

	Amended Budget	Budget YTD	Actual YTD	Variance YTD	% Budget Used YTD	Annual % Budget Used
<u>OPERATING REVENUES</u>						
User Charges	\$87,284,597	\$21,821,149	\$22,725,479	\$904,330	104.1%	26.0%
Recycled Water	18,120,000	4,530,000	4,949,966	419,966	109.3%	27.3%
MWD Water Sales	44,724,000	11,181,000	15,413,135	4,232,135	137.9%	34.5%
Cost Reimbursement from JPA	6,683,675	1,670,919	1,728,418	57,499	103.4%	25.9%
Interest Revenue	4,693,183	1,173,296	274,897	(898,399)	23.4%	5.9%
TOTAL OPERATING REVENUES	\$161,505,455	\$40,376,364	\$45,091,895	\$4,715,531	111.7%	27.9%
<u>NON-OPERATING REVENUES</u>						
Property Tax	\$52,364,000	\$13,091,000	\$0	(\$13,091,000)	0.0%	0.0%
Connection Fees	35,734,799	8,933,700	7,568,149	(1,365,551)	84.7%	21.2%
Grants	13,137,244	3,284,311	808,553	(2,475,758)	24.6%	6.2%
SRF Loan Proceeds	21,176,761	5,294,190	0	(5,294,190)	0.0%	0.0%
Project Reimbursements	3,421,915	855,479	742,141	(113,338)	86.8%	21.7%
Other Revenue	1,198,100	299,525	332,106	32,581	110.9%	27.7%
TOTAL NON OPERATING REVENUES	\$127,032,819	\$31,758,205	\$9,450,949	(\$22,307,256)	29.8%	7.4%
TOTAL REVENUES	\$288,538,274	\$72,134,569	\$54,542,844	(\$17,591,725)	75.5%	18.9%
<u>ADMINISTRATIVE and OPERATING EXPENSES</u>						
EMPLOYMENT EXPENSES						
Wages	\$28,124,131	\$7,031,033	\$7,776,290	(\$745,257)	110.6%	27.6%
Benefits	23,241,763	5,810,441	4,908,637	901,805	84.5%	21.1%
TOTAL EMPLOYMENT EXPENSES	\$51,365,894	\$12,841,475	\$12,684,927	\$156,548	98.8%	24.7%
ADMINISTRATIVE EXPENSES						
Office & Administrative	\$2,612,246	\$653,061	\$307,441	\$345,622	47.1%	11.8%
Insurance Expenses	1,019,801	254,950	269,789	(14,839)	105.8%	26.5%
Professional Fees & Services	14,404,720	3,601,180	1,988,794	1,612,386	55.2%	13.8%
O&M Projects	25,550,811	6,387,703	1,171,770	5,215,933	18.3%	4.6%
Reimbursable Projects	1,522,007	380,502	40,790	339,712	10.7%	2.7%
TOTAL ADMINISTRATIVE EXPENSES	\$45,109,585	\$11,277,396	\$3,778,584	\$7,498,814	33.5%	8.4%

INLAND EMPIRE UTILITIES AGENCY
Fiscal Year 2019/20
CONSOLIDATED BUDGET VARIANCE ANALYSIS REPORT
Quarter Ended September 30, 2019

	Amended Budget	Budget YTD	Actual YTD	Variance YTD	% Budget Used YTD	Annual % Budget Used
OPERATING EXPENSES						
Material & Supplies/Leases	\$3,624,830	\$906,208	\$897,679	\$8,529	99.1%	24.8%
Biosolids Recycling	4,423,537	1,105,884	1,066,104	39,780	96.4%	24.1%
Chemicals	5,365,241	1,341,310	1,220,413	120,897	91.0%	22.7%
MWD Water Purchases	44,724,000	11,181,000	15,413,135	(4,232,135)	137.9%	34.5%
Operating Fees/RTS Fees/Exp. Alloc.	12,215,033	3,053,758	2,720,622	333,136	89.1%	22.3%
Utilities	10,037,523	2,509,381	2,313,183	196,198	92.2%	23.0%
TOTAL OPERATING EXPENSES	\$80,390,164	\$20,097,541	\$23,631,136	(\$3,533,595)	117.6%	29.4%
TOTAL ADMINISTRATIVE and OPERATING EXPENSES	\$176,865,643	\$44,216,412	\$40,094,647	\$4,121,767	90.7%	22.7%
<u>NON-OPERATING EXPENSES</u>						
CAPITAL OUTLAY	\$100,854,066	\$25,213,517	\$9,042,349	\$16,171,168	35.9%	9.0%
FINANCIAL EXPENSES						
Principal, Interest and Financial Expenditures	23,835,105	5,958,776	7,383,071	(1,424,295)	123.9%	31.0%
OTHER NON-OPERATING EXPENSES	339,920	339,920	322,189	17,731	94.8%	94.8%
TOTAL NON-OPERATING EXPENSES	\$125,029,091	\$31,512,213	\$16,747,609	\$14,764,604	53.1%	13.4%
TOTAL EXPENSES	\$301,894,734	\$75,728,625	\$56,842,256	\$18,886,371	75.1%	18.8%
REVENUES IN EXCESS/ (UNDER) EXPENSES	(\$13,356,460)	(\$3,594,056)	(\$2,299,412)	\$1,294,644		

Totals may not add up due to rounding

Business Goals Status Updates – FY 2019/20 1st Quarter

Exhibit B

INITIATIVES	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
DIVISION AGENCY MANAGEMENT			One staff member completed a leadership, team-building, and coaching course; one staff member participated in an Inland Empire Administrative Leadership Forum; and staff continues to complete courses offered by Skill Soft, attend seminars and cross train within the dept.		

Business Goals Status Updates – FY 2019/20 1st Quarter

Exhibit B

INITIATIVES	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
<p>DIVISION</p> <p>EXTERNAL AFFAIRS AND POLICY DEVELOPMENT</p>		<p>The Grants Department continues to provide support for the RP-5 Expansion (\$365M) Project by strategically pursuing state and/or federal funding.</p> <p>Grants management staff visited the USBR Denver office to discuss upcoming opportunities for the WaterSMART, Title XVI, and the Water Infrastructure Improvements for the Nation (WIIN) Act.</p> <p>Grants and Engineering staff also had productive meetings with the SWRCB in Sacramento to discuss IEUA’s current and upcoming SRF loan and grant applications.</p>	<p>External Affairs staff attended a CPR/First Aid Adult and Infant training and received certification.</p> <p>External Affairs staff attended a PRSA/CAPIO Social Media seminar.</p> <p>The Grants Department attended a labor compliance workshop with the Engineering, Facilities Management, and Contracts and Procurement Departments.</p> <p>Initial planning meetings were held to kick off the second round of the Career Management Program.</p> <p>Records Management Supervisor attended two Liebert Cassidy Whitmore supervisory/management classes on Workplace Bullying and Legal Issues.</p> <p>Records Management Supervisor attended Feith Records</p>		<p>The Grants Department conducted an internal training workshop to review the first of two training manuals produced by the Business Information Services Department that cover the SAP Grants Module.</p>

Business Goals Status Updates – FY 2019/20 1st Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
			<p>Management University Online course on Electronic Records Lifecycle for Records Managers in September. Records Management staff trained Agency employees on new Agency business process for budget transfers, trained ten new hire employees and several individualized Laserfiche trainings.</p>		

Business Goals Status Updates – FY 2019/20 1st Quarter

Exhibit B

INITIATIVES	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
DIVISION					
ENGINEERING, PLANNING & SCIENCE	<p>The Engineering and Construction Management Department continues to provide ongoing support to operations and maintenance to coordinate and schedule needed condition assessment of critical processes. These reports are used by engineering and operation staff to track asset condition and plan budget and project for asset repairs, replacement or rehabilitation. In parallel to this, a comprehensive study of the Agency’s asset management program is in progress and nearing completion. The study will identify needs and gaps within the Agency’s program, recommend strategies to effectively implement the program, and organize an asset governance structure to support the program. Lastly, an RFP is plan for release on mid-November. This will provide a complete system wide</p>	<p>Chino Basin Program: The Agency has continued to work on the technical feasibility study for the planning alternatives with the member agencies. Executive Management has initiated individual member agency conversations to better understand the synergies and needs of the Agencies, and how/if it fits within the framework of the CBP. Feasibility study is expected to be completed by Spring 2020.</p> <p>Optimum Basin Management Program Update: IEUA has been coordinating with Chino Basin Watermaster on the efforts for the 2020 Update, as well as the associated Programmatic Environmental Impact Report for Storage.</p> <p>As part of the Engineering and Construction Management</p>	<p>The Engineering and Construction Management Department had 1 monthly mentoring session during the 1st Quarter. In August, the management staff meet with the department interns and discussed ways to help them progress in their careers and what would be helpful for them going forward within the department. Interns discussed topics such as what they have learned in the past month, things that they like about IEUA, things that they would improve. Additionally, each of the managers shared memorable career events.</p>		

Business Goals Status Updates – FY 2019/20 1st Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
	assessment of the Agency’s sewer system and prepare an optimize maintenance and capital plan to support the ongoing life cycle of the sewer system.	<p>Department’s goal of providing high quality project management for the completion of Capital Improvement Projects the Department’s KPIs are as follows:</p> <p>1st Quarter Capital Spending was 76%.</p> <p>1st Quarter Actual Expenditures as a percentage of or forecasted expenditures was 73%.</p> <p>1st Quarter Design Scope Amendment ratio was 19.75%.</p> <p>4th Quarter Change Order ratio was 5.52%.</p> <p>4th Quarter Project Costs within 110% of Total Project Budget Established in the Project Charter was 66.67%. two out of three projects met the goal.</p>			

Business Goals Status Updates – FY 2019/20 1st Quarter

Exhibit B

INITIATIVES	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
<p>DIVISION</p> <p>FINANCE & ADMINISTRATION</p>	<p>BIS provided technical recommendations and support to the RP-5 Expansion project team with respect to document management (e.g., operation manuals, manufacture manuals) and possible processes for managing spare parts in inventory and SAP accounting.</p>	<p>CAP staff continues to work with Engineering staff in support of the RP-5 Expansion project.</p> <p>BIS completed the RFP for the Technology Master Plan consulting services. The TMP will provide the Agency with a roadmap for key technology projects that are aligned with Agency’s initiatives.</p>	<p>Finance Department staff participated in a California Asset Management Training on investing in a falling rate environment. Training covered topics such as the Federal Reserve interest rate normalization policy, and new the accommodative direction it has recently taken and what it means for investment options.</p> <p>CAP had several staff participate in various training programs, including the sessions with NCMA, CAPPO and webinars with ISM.</p>	<p>ISS and BIS collaborated to encrypt all of SAP servers to strengthen our cyber-security effort. The encryption guarantees that the data is secured from unauthorized access and renders it useless without the encryption keys.</p> <p>Staff worked with security consultants to add two cameras to RP-1 fence lines and gates.</p>	<p>Finance Department worked with BIS to implement budget transfer requests via Laserfiche to help streamline the process and provide users with a platform to view the status of their requests from start to finish.</p> <p>BIS successfully completed the semi-annual SAP payroll updates. These updates allow the Agency’s SAP system to be current on all law and regulation changes impacting payroll.</p> <p>Planning, Accounting and Pretreatment & Source Control teams worked with BIS to migrate the home-grown application for collecting the water connection fees to SAP. This transition streamlined the process by eliminated manual data entries and</p>

Business Goals Status Updates – FY 2019/20 1st Quarter

Exhibit B

INITIATIVES DIVISION	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
					<p>associated errors as well as making the data immediately available to all parties.</p> <p>CAP and BIS collaborated to implement a new contract series (44-series) in SAP, specifically for the BPA contracts. Separating BPAs from general contracts (46-series) allow CAP staff and users to easily manage the BPAs. In the past, managing BPAs relied too much on institutional knowledge. Transferring this knowledge into the system ensures consistency and assists with succession planning.</p>

Business Goals Status Updates – FY 2019/20 1st Quarter

Exhibit B

INITIATIVES	ASSET MANAGEMENT – CMMS	CAPITAL PROJECTS	CAREER DEVELOPMENT	AGENCY SECURITY	SAP TRAINING AND ENHANCEMENTS
DIVISION					
OPERATIONS	RFP process beginning for the Planning/Scheduling software.			Windows Patching Progress (goal of 85%) Business servers: 91% Bus. workstations:92% ICS servers: 91% ICS workstations: 61% All Agency staff have had Cybersecurity training and phishing campaign continues.	

**Inland Empire Utilities Agency
Inter-Departmental / Division Transfers FY 2019/20
Budget Transfer**

Fund	Fund Name	Date	O&M Transfer From	Category	Amt Transfer Out	O&M Transfer To	Category	Amount Transfer In	Description	QTR
10200	Administrative Services	8/21/2019	521080	Prof Svcs - Other	\$15,000.00	521080	Prof Svcs - Other	\$15,000.00	Transfer between cost centers to cover investment management services this fiscal year.	1
10500	Non-Reclaimable Wastewater	9/16/2019	545110	Electricity	\$500.00	545320	Telephone	\$500.00	Trf to correct budget, original budget based on incorrect data.	1
		9/16/2019	545110	Electricity	\$2,000.00	545340	Network Comm Svcs	\$2,000.00	Trf to correct budget, original budget based on incorrect data.	1
10600	Recycled Water	7/1/2019	512110	Supplies - General	\$3,500.00	512110	Supplies - General	\$3,500.00	Transfer required to move budget to correct cost center.	1
		7/1/2019	512170	O&M Supplies	\$20,000.00	512170	O&M Supplies	\$20,000.00	Transfer required to move budget to correct cost center.	1
		7/1/2019	521010	Contract Labor	\$25,000.00	521010	Contract Labor	\$25,000.00	Transfer required to move budget to correct cost center.	1
		7/1/2019	521050	Contract Materials	\$7,500.00	521050	Contract Materials	\$7,500.00	Transfer required to move budget to correct cost center.	1
10800	Regional Wastewater Operations & Maintenance	7/1/2019	512170	O&M Supplies	\$650,000.00	512170	O&M Supplies	\$650,000.00	Transfer required to move budget to correct cost center.	1
		7/1/2019	512410	Diesel Fuel	\$20,000.00	512410	Diesel Fuel	\$20,000.00	Transfer required to move budget to correct cost center.	1
		7/1/2019	521010	Contract Labor	\$615,000.00	521010	Contract Labor	\$615,000.00	Transfer required to move budget to correct cost center.	1
		8/19/2019	521010	Contract Labor	\$57,834.44	521010	Contract Labor	\$57,834.44	Request to carry forward budget for non-encumbered items had the incorrect cost center on it for one line item.	1
		7/1/2019	521050	Contract Materials	\$305,000.00	521050	Contract Materials	\$305,000.00	Transfer required to move budget to correct cost center.	1
		7/1/2019	530000	Chemicals	\$3,250.00	530000	Chemicals	\$3,250.00	Transfer required to move budget to correct cost center.	1
				Total O&M Transfers Out	\$1,724,584		Total O&M Transfers In	\$1,724,584		

Fund	Fund Name	Date	O&M Project	Project Description	Amt Transfer Out	O&M Project	Project Description	Amt Transfer In	Justification	QTR
10200	Administrative Services	8/13/2019	EN20021	Agency SCADA Int w/SAP	\$50,000	EN19038	HR Exit Door	\$50,000	Transfer needed to complete project in in FY 2019/20.	1
		9/10/2019	EN20021	SCADA Interface	\$113,000	CP18001	Security Camera Purchase & Install	\$113,000	The security camera purchase & install project will begin this FY, however, the project was not budgeted for during the biennial budget process.	1
10300	Recharge Water	8/14/2019	RW15003	Rech Master Plan Update	\$1,700,000	RW15004	Lower Day Basin Project	\$1,700,000	Transfer required as project will be receiving permits sooner than originally anticipated.	1
10900	Regional Wastewater Capital	8/19/2019	EN17077	SBLs Emergency Div	\$50,000	520980	Prof Svcs - Other	\$50,000	Transfer needed to fund the consultant inspection staff labor for developmnet projects throughout the year.	1
				Total Project Transfers Out	\$1,913,000		Total Project Transfers In	\$1,913,000		

				Total O&M Transfers Out	\$3,637,584		Total O&M Transfers In	\$3,637,584		
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**Inland Empire Utilities Agency
 FY 2019/20 General Manager Contingency Account Activity
 Budget Transfer**

Date	From Expense Account	Amount Transfer Out	Receiver Fund Name	Receiver Fund Center Name	Receiver Project / Expense Account	Receiver Project / Expense Account Name	Amount Transfer In	Balance	Justification
Beginning Balance, July 1, 2019								\$300,000	
No Activity									
Balance, September 30, 2019							\$0	\$300,000	

GM Contingency Transfers Summary by Fund	
Administrative Services program	-
Recharge Water program	-
Non-Reclaimable Wastewater program	-
Recycled Water program	-
Regional Wastewater O&M program	-
Regional Wastewater Capital program	-
Water Resources program	-
Total GM Contingency Transfers	-

Inland Empire Utilities Agency
Changes in Total Project Budget: Inter-Departmental/Division Capital & O&M Transfers FY 2019/20

Exhibit D

Fund	Transfer Date	Project Number	Project Title	Adopted Total Project (TP) Budget	Prior TP Changes in Current FY	Amt. of Transfer In / (Out)	New TP Budget	Annual Project Budget	Annual Proj. Budget Change	New Annual Project Budget	Project Transferred To/(From)	Justification
Capital Projects												
10200	8/20/19	IS20003	BIZ Infrastructure Replacement	\$410,000	\$0	(\$6,000)	\$404,000	\$300,000	(\$6,000)	\$294,000	IS20016	Transfer to create new project IS20016 to purchase a wireless control panel needed to allow ISS staff to control audio/video equipment in the Board Room.
		IS20016	BIZ Board Room Wireless Control	\$0	\$0	\$6,000	\$6,000	\$0	\$6,000	\$6,000	(IS20003)	
	8/21/19	IS20003	BIZ Infrastructure Replacement	\$410,000	(\$6,000)	(\$3,500)	\$400,500	\$294,000	(\$3,500)	\$290,500	IS20017	Transfer to create new project IS20017 to purchase a Verizon eFemto device to boost cell phone coverage for the Verizon network in Building B.
		IS20017	BIZ Bldg B Verizon Signal Boost	\$0	\$0	\$3,500	\$3,500	\$0	\$3,500	\$3,500	(IS20003)	
Subtotal Administration (GG):				\$820,000			\$814,000	\$594,000		\$594,000		
10600	8/22/19	WR15021	Napa Lateral	\$6,967,717	\$0	(\$20,000)	\$6,947,717	\$5,405,496	(\$20,000)	\$5,385,496	EN20061	Transfer to create new project, EN20061, requested to repair damages of a blow off caused by heavy traffic.
		EN20061	RW Area 11 Blow Off Repairs	\$0	\$0	\$20,000	\$20,000	\$0	\$20,000	\$20,000	(WR15021)	
Subtotal Recycled Water (WC):				\$6,967,717			\$6,967,717	\$5,405,496		\$5,405,496		
10800	8/22/19	EN19009	RP-1 Energy Recovery	\$4,745,000	\$0	(\$5,000)	\$4,740,000	\$300,000	(\$5,000)	\$295,000	EN18039	Transfer to cover final invoices for augmented inspection labor and close out of the project for the Agency-wide Light Pole Replacements and Upgrades.
		EN18039	Agency-Wide Light Pole Replacements and Upgrades	\$342,810	\$0	\$5,000	\$347,810	\$0	\$5,000	\$5,000	(EN19009)	
8/26/19		EN18025	RP-1 Secondary System	\$4,490,000	\$0	(\$50,000)	\$4,440,000	\$175,000	(\$50,000)	\$125,000	EN20059	Transfer from EN18025 and EN19009 to fund new project, EN20059, requested by Operations due to the discovery that when the Prado Station fails, an IEUA manhole overflows into the environment.
		EN19009	RP-1 Energy Recovery	\$4,745,000	(\$5,000)	(\$30,000)	\$4,710,000	\$295,000	(\$30,000)	\$265,000	EN20059	
		EN20059	Prado Lift Station Clean-Out	\$0	\$0	\$80,000	\$80,000	\$0	\$80,000	\$80,000	(EN18025/ EN19009)	
8/27/19		EN18025	RP-1 Secondary System	\$4,490,000	(\$50,000)	(\$125,000)	\$4,315,000	\$125,000	(\$125,000)	\$0	EN20060	Transfer to fund new project, EN20060, to repair the RP-1 Plant 2 Sludge piping that was damaged and needs a complete replacement of all piping and valves to pump sludge from the primary clarifiers to the gravity thickeners.
		EN20060	RP-1 Plant 2 Sludge Piping Repair	\$0	\$0	\$125,000	\$125,000	\$0	\$125,000	\$125,000	(EN18025)	
9/16/19		EN18042	RP-1 Civil Restoration and Upgrades	\$1,007,000	\$0	(\$41,000)	\$966,000	\$320,000	(\$41,000)	\$279,000	EN20059	Transfer to Prado Lift Station project because construction bids received were higher than originally estimated for the project.
		EN20059	Prado Lift Station Clean-Out and Overflow	\$0	\$80,000	\$41,000	\$121,000	\$80,000	\$41,000	\$121,000	(EN18042)	
9/30/19		EN19009	RP-1 Energy Recovery	\$4,745,000	(\$35,000)	(\$15,000)	\$4,695,000	\$265,000	(\$15,000)	\$250,000	EN20060	Transfer to repair sludge piping that was damaged and needs a complete replacement. The contractor's cost came in higher than the original estimate due to the higher cost to provide custom piping to fit between the existing connections.
		EN20060	RP-1 Plant 2 Sludge Piping Repair	\$0	\$125,000	\$15,000	\$140,000	\$125,000	\$15,000	\$140,000	(EN19009)	
Subtotal Regional Operations (RO):				\$24,564,810			\$24,679,810	\$1,685,000		\$1,685,000		
10900	9/30/19	EN22004	1158 East Reservoir Re Coating/Painting and Upgrade	\$2,200,000	\$0	(\$200,000)	\$2,000,000	\$1,000,000	(\$200,000)	\$800,000	EN15043	Transfer to create FY budget for EN15043 that did not have FY budget due to the San Bernardino County review progress for the purchase of the easement being unknown.
		EN15043	SBCFCD Recycled Water Easement Project	\$660,897	\$0	\$200,000	\$860,897	\$0	\$200,000	\$200,000	(EN22004)	
Subtotal Regional Capital (RC):				\$2,860,897			\$2,860,897	\$1,000,000		\$1,000,000		
							Capital Total Project Budget	\$2,860,897	\$1,000,000	\$1,000,000		
							Total Annual Capital Budget	\$1,000,000	\$1,000,000			

Inland Empire Utilities Agency

Exhibit D

Changes in Total Project Budget: Inter-Departmental/Division Capital & O&M Transfers FY 2019/20

Fund	Transfer Date	Project Number	Project Title	Adopted Total Project (TP) Budget	Prior TP Changes in Current FY	Amt. of Transfer In / (Out)	New TP Budget	Annual Project Budget	Annual Proj. Budget Change	New Annual Project Budget	Project Transferred To/(From)	Justification
				Adopted			Amended	Adopted		Amended		
				\$35,213,424			\$35,322,424	\$8,684,496		\$8,684,496		
O&M Projects												
10200	8/20/19	IS20015	SAP Roadmap & Strategy	\$400,000	\$0	(\$100,000)	\$300,000	\$200,000	(\$100,000)	\$100,000	IS20014	Transfer to fund IS20014 in FY 2019/20 to award the contract following the RFP process which is scheduled to begin in September 2019.
		IS20014	Technology Master Plan	\$0	\$0	\$100,000	\$100,000	\$0	\$100,000	\$100,000	(IS20015)	
Subtotal Administration (GG):				\$400,000			\$400,000	\$200,000		\$200,000		
10800	9/30/19	EN20035	FY 2019/20 O&M Safety Project	\$500,000	\$0	(\$100,000)	\$400,000	\$500,000	(\$100,000)	\$400,000	EN20043	Transfer to cover higher proposals from the Engineer's than originally estimated. The additional budget will cover the consultant's pre-design fee and internal staff labor to review the design.
		EN20043	RP-1 Pipe Gallery Staircase Evaluation	\$50,000	\$0	\$100,000	\$150,000	\$50,000	\$100,000	\$150,000	(EN20035)	
Subtotal Regional Operations (RO):				\$2,080,000			\$2,080,000	\$1,197,000		\$1,197,000		
				O&M Total Project Budget				Total Annual O&M Project Budget				
				Adopted			Amended	Adopted		Amended		
				\$2,480,000			\$2,480,000	\$1,397,000		\$1,397,000		

Total Capital and O&M Project Transfers:	\$735,500
Total Project Budget Change - Capital:	\$109,000
Total Project Budget Change - O&M Proj:	\$0
Total Project Budget - Net Change:	\$109,000

FY 2019/20 Budget Variance Report

1st Quarter Ended September 30, 2019



FY 2019/20 1st Quarter Ending September 30, 2019

(\$ Millions)

	Amended Budget	Budget YTD*	Actual YTD*	% Budget Used YTD
Total Sources of Funds	\$288.5	\$72.1	\$54.5	75.5%
Total Uses of Funds	301.9	75.7	56.8	75.1%
Net Increase/(Decrease)	(\$13.4)	(\$3.6)	(\$2.3)	

*YTD – Year to Date

Highlights

- Total Sources of Funds were below the year to date budget by ~25%
- Total Uses of Funds were below YTD budget by ~25%
- Net decrease of \$2.3 million to fund balance, lower than the budgeted \$3.6 million

FY 2019/20 1st Quarter Ending September 30, 2019

(\$ Millions)

Sources of Funds	Amended Budget	Budget YTD*	Actual YTD*	% Budget Used YTD
Operating Revenue				
User Charges	\$87.3	\$21.8	\$22.7	104.1%
Recycled Water Sales	18.1	4.5	5.0	109.3%
MWD Imported Water Sales	44.7	11.2	15.4	137.9%
Other Operating Revenues*	11.4	2.9	2.0	70.4%
Total Operating Revenues	\$161.5	\$40.4	\$45.1	111.7%

* Other Operating Revenue includes contract cost reimbursements and interest revenue

Highlights

- Increased demand for recycled water direct use and groundwater recharge due to warmer weather conditions
- Metropolitan Water District (MWD) imported water sales is higher due to a continued shift to potable water from local supplies by some member agencies

FY 2019/20 1st Quarter Ending September 30, 2019

(\$ Millions)

Sources of Funds	Amended Budget	Budget YTD*	Actual YTD*	% Budget Used YTD
Non-Operating Revenue				
Property Tax	\$52.4	\$13.1	\$0.0	0.0%
Connection Fees	35.7	8.9	7.5	84.7%
Grants & Loans	34.3	8.6	0.8	9.4%
Other Non-Operating Revenues*	4.6	1.1	1.1	93.0%
Total Non-Operating Revenues	\$127.0	\$31.7	\$9.4	29.8%
Total Operating Revenues	161.5	40.4	45.1	111.7%
Total Sources of Funds	\$288.5	\$72.1	\$54.5	75.5%

* Other Non-Operating revenues includes project reimbursements, lease revenue, and realized gain on investments

Highlights

- Property tax receipts begin in November
- New connections reported YTD:
 - 810 Equivalent Dwelling Units
 - 1,230 Meter Equivalent Units Budgeted at 4,000 and 4,700 units, respectively
- Grant and loan receipts are primarily reimbursable and dependent upon related capital project expenditures

FY 2019/20 1st Quarter Ending September 30, 2019

(\$ Millions)

Uses of Funds	Amended Budget	Budget YTD*	Actual YTD*	% Budget Used YTD
Operating Expense				
Employment Expense (net of allocation to projects)	\$51.4	\$12.8	\$12.7	98.8%
Professional Fees & Services	14.4	3.6	2.0	55.2%
Utilities	10.0	2.5	2.3	92.2%
MWD Water Purchases	44.7	11.2	15.4	137.9%
O&M and Reimb. Project Exp.	27.1	6.8	1.2	17.9%
Other Operating Expenses*	29.3	7.3	6.5	88.2%
Total Operating Expense	\$176.9	\$44.2	\$40.1	90.7%

Highlights

- Professional fees & services below YTD Budget due to timing of scheduled contract services
- O&M and Reimbursement Projects below budget due to timing of repair and maintenance related projects

* Other operating expense includes operating fees, chemicals, biosolids recycling, materials & supplies and office & administrative expenses

FY 2019/20 1st Quarter Ending September 30, 2019

(\$ Millions)

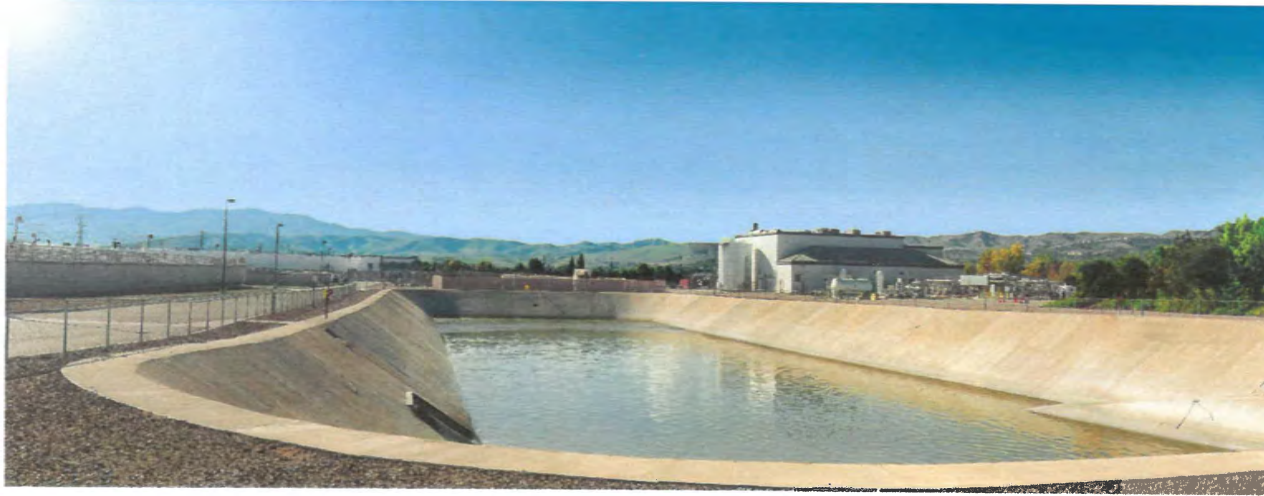
Uses of Funds	Amended Budget	Budget YTD*	Actual YTD*	% Budget Used YTD
Non-Operating Expense				
Capital Projects	\$100.9	\$25.2	\$9.0	35.9%
Financial Expense	23.8	6.0	7.4	123.9%
Other Non-Operating Expense*	0.3	0.3	0.3	94.8%
Total Non-Operating Expense	\$125.0	\$31.5	\$16.7	53.0%
Total Operating Expense	176.9	44.2	40.1	90.7%
Total Uses of Funds	\$301.9	\$75.7	\$56.8	75.1%

* Other Non-Operating Expense includes Santa Ana Watershed Project Authority (SAWPA) member contributions and other miscellaneous expense

Highlights

- Total capital project expenditures below budget as approximately 50% of projects are currently in the design phase versus 16% of projects in the construction phase
- Financial Expense exceeded budget due to principal and interest payments for the 2010A Revenue Bond and various State Revolving Fund loans

Questions



The Budget Variance Analysis report is consistent with the Agency's business goal of fiscal responsibility to ensure actual revenues and expenditures are consistent with those approved by the Board of Directors.

**INFORMATION
ITEM
3B**



Date: December 18, 2019

To: The Honorable Board of Directors

Committee: Finance & Administration

From: Shivaji Deshmukh, General Manager

12/11/19

Executive Contact: Christina Valencia, Executive Manager of Finance & Administration/AGM

Subject: Treasurer's Report of Financial Affairs

Executive Summary:

The Treasurer's Report of Financial Affairs for the month ended October 31, 2019 is submitted in a format consistent with the State Requirement.

For the month of October 2019, total cash, investments, and restricted deposits of \$250,323,923 reflects an increase of \$5,190,411 compared to the total of \$245,133,512 reported for September 2019. The increase was mainly due to an increase in Capital Capacity Reimbursement Account deposits held by member agencies and EDU receipts offset by a \$4.5 million contribution towards the Agency's CalPERS pension unfunded accrued liability (UAL). The average days cash on hand for the month ended October 31, 2019 decreased slightly from 219 days to 218 days.

The unrestricted Agency investment portfolio yield in October 2019 was 2.413 percent, a decrease of 0.053 percent compared to the September 2019 yield of 2.466 percent. The slight decrease in overall yield is mainly attributed to the lower yields in the Local Agency Investment Fund (LAIF) and in the California Asset Management Program (CAMP) funds reflective of declining interest rates in the market.

Staff's Recommendation:

The Treasurer's Report of Financial Affairs for the month ended October 31, 2019 is an information item for the Board of Director's review.

Budget Impact Budgeted (Y/N): N Amendment (Y/N): Y Amount for Requested Approval:

Account/Project Name:

Fiscal Impact (explain if not budgeted):

Interest earned on the Agency's investment portfolio increases the Agency's overall reserves.

Full account coding (internal AP purposes only):

- - -
- - -

Project No.:

Prior Board Action:

On November 20, 2019, the Board of Directors approved the Treasurer's Report of Financial Affairs for the month ended September 30, 2019.

Environmental Determination:

Not Applicable

Business Goal:

The Financial Affairs report is consistent with the Agency's Business Goal of Fiscal Responsibility in providing financial reporting that accounts for cash and investment activities to fund operating requirements and to optimize investment earnings.

Attachments:

- Attachment 1 - Background
- Attachment 2 - PowerPoint
- Attachment 3 - Treasurer's Report of Financial Affairs

Background

Subject: Treasurer's Report of Financial Affairs

The Treasurer's Report of Financial Affairs for the month ended October 31, 2019 is submitted in a format consistent with State requirements. The monthly report denotes investment transactions that have been executed in accordance with the criteria stated in the Agency's Investment Policy (Resolution No. 2019-3-1).

Agency total cash, investments, and restricted deposits for the month of October 2019 was \$250.3 million, an increase of \$5.2 million from the \$245.1 million reported for the month ended September 30, 2019. The increase was mainly due to the increase in Capital Capacity Reimbursement Account (CCRA) deposits held by member agencies and EDU receipts offset by a \$4.5 million contribution towards the Agency's CalPERS pension unfunded accrued liability (UAL).

Table 1 represents the unrestricted Agency investment portfolio, by authorized investment and duration, with total portfolio amount of \$130.1 million. The Agency portfolio excludes cash and restricted deposits in the amount of \$120.2 million held by member agencies and with fiscal agents.

Table 1: Agency Portfolio

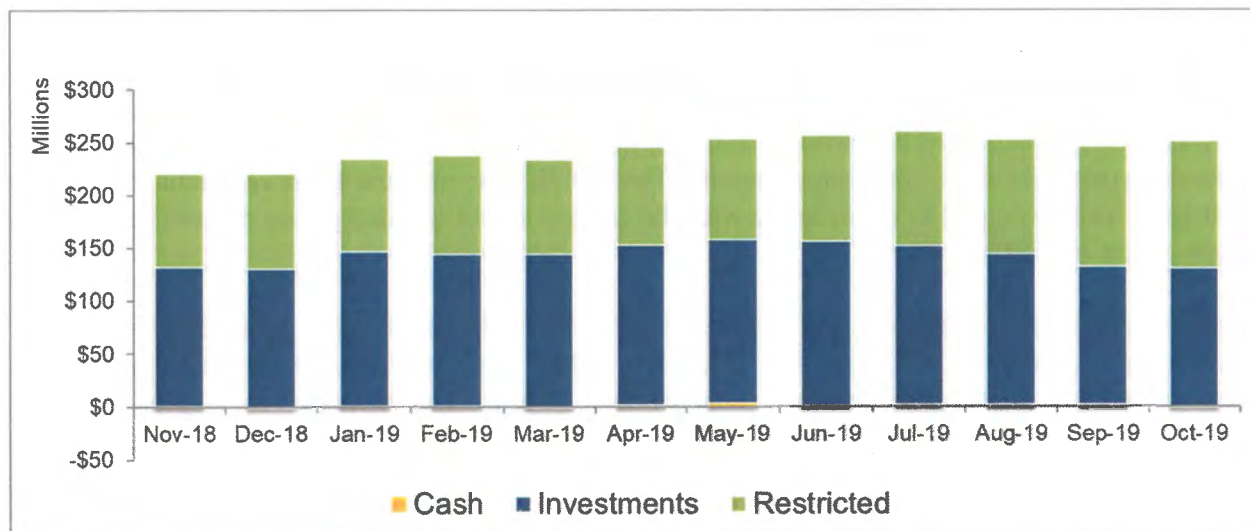
Authorized Investments	Allowable Threshold (\$ million or %)	Investment Value as of October 31, 2019 (\$ million)				Average Yield %	Portfolio% (Unrestricted)
		Under 1 Year	1-3 Years	Over 3 Years	Total		
LAIF*- Unrestricted	\$65	\$14.8	\$0	\$0	\$14.8	2.19%	11.4%
CAMP** – Unrestricted	n/a	14.9			14.9	2.05	11.4
Citizens Business Bank – Sweep	40%	8.0			8.0	1.00	6.2
Sub-Total Agency Managed		\$37.7	\$0	\$0	\$37.7	1.88%	29.0%
Brokered Certificates of Deposit	30%	\$3.0	\$0.8	\$0	\$3.8	3.12%	3.0%
Medium Term Notes	30%	0.7	7.8	9.0	17.5	2.83	13.4
US Treasury Notes	n/a	2.2	28.1	18.9	49.2	2.53	37.8
US Gov't Securities	n/a	1.8	14.3	5.8	21.9	2.60	16.8
Sub-Total PFM Managed		\$7.7	\$51.0	\$33.7	\$92.4	2.63%	71.0%
Total		\$45.4	\$51.0	\$33.7	\$130.1	2.41%	100.0%

*LAIF – Local Agency Investment Fund

**CAMP – California Asset Management Program

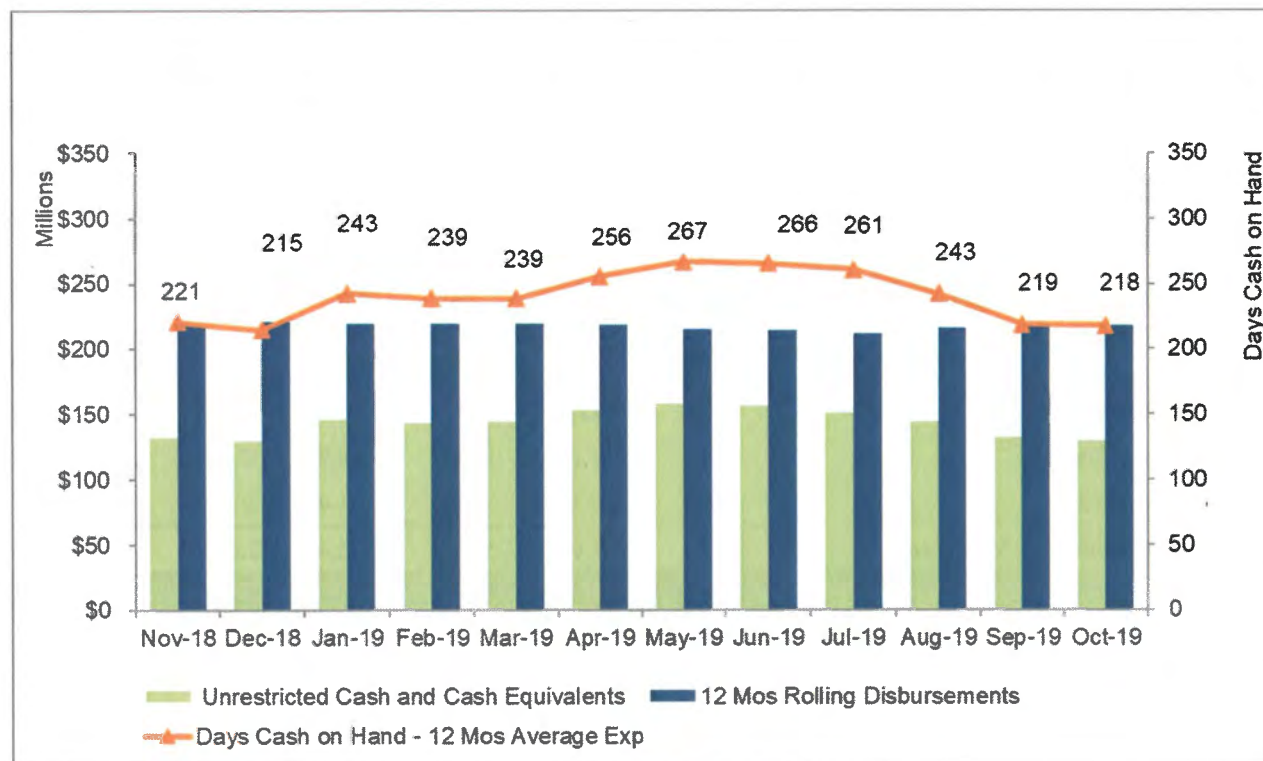
+/- due to rounding

Figure 1: Cash, Investments, and Restricted Deposits



Average days cash on hand is calculated using the monthly ending balance of unrestricted cash and cash equivalents divided by disbursements associated with operating expenses, debt service, and capital expenditures as recorded in the Agency’s cash flow. The average days cash on hand for the month ended October 31, 2019 decreased from 219 days to 218 days as shown in Figure 2.

Figure 2: Days Cash on Hand – 12 Month Rolling Average



Monthly cash and investment summaries are available on the Agency’s website at: <https://www.ieua.org/fy-2019-20-cash-and-investment-summary/>

Treasurer's Report of Financial Affairs for Month Ended October 31, 2019



Agency Liquidity

- The increase was mainly due to the increase in Capital Capacity Reimbursement Account (CCRA) deposits held by member agencies and high water and EDU receipts offset by a \$4.5M contribution towards the Agency's CalPERS unfunded pension liability.
- Slight decrease in overall yield mainly attributed to lower yields in Local Agency Investment Fund (LAIF) and in California Asset Management Program (CAMP) reflective of declining interest rates in the market.

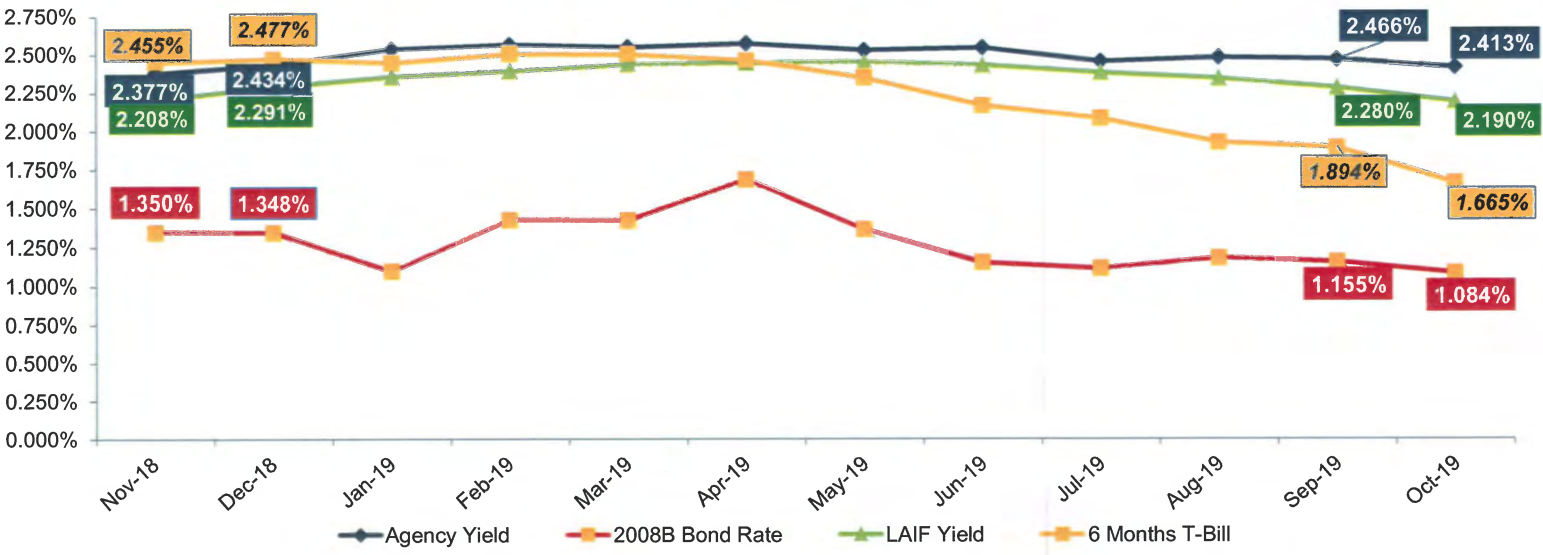
Description	October (\$ million)	September (\$ million)	Increase/ (Decrease) (\$ million)
Investment Portfolio	\$130.1	\$130.8	(\$0.7)
Cash and Restricted Deposits	\$120.2	\$114.3	\$5.9
Total Investments, Cash, and Restricted Deposits	\$250.3	\$245.1	\$5.2
Investment Portfolio Yield	2.413%	2.466%	(0.053%)
Weighted Average Duration (Years)	1.15	1.16	(0.01)
Average Cash on Hand (Days)	218	219	(1)

Monthly cash and investment summaries available at <https://www.ieua.org/fy-2019-20-cash-and-investment-summary/>

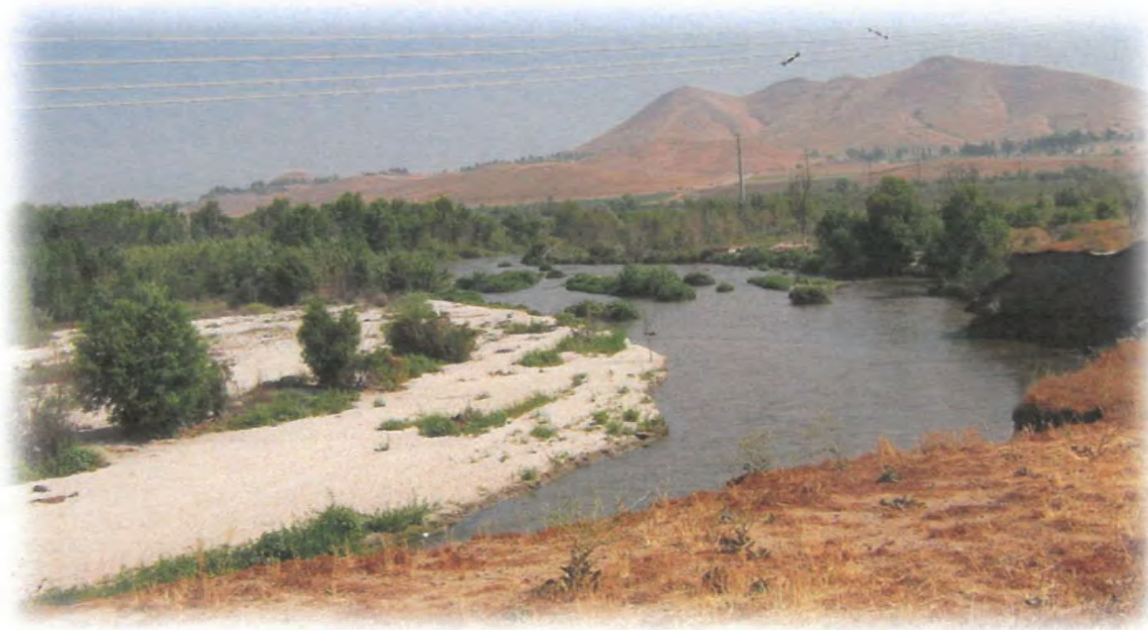
Agency Investment Position

Authorized Investments	Allowable Threshold (\$ million or %)	Under 1 Year	1 – 3 Years	Over 3 Years	Total	Average Yield %	Portfolio % (Unrestricted)
Local Agency Investment Fund	\$65	\$14.8	\$0	\$0	\$14.8	2.19%	11.4%
California Asset Management Program	n/a	14.9	-	-	14.9	2.05	11.4
Citizens Business Bank – Sweep	40%	8.0	-	-	8.0	1.00	6.2
Sub-Total Agency Managed		\$37.7	\$0	\$0	\$37.7	1.88%	29.0%
Brokered Certificates of Deposit (CD)	30%	\$3.0	\$0.8	\$0	\$3.8	3.12%	3.0%
Medium Term Notes	30%	0.7	7.8	9.0	17.5	2.83	13.4
US Treasury Notes	n/a	2.2	28.1	18.9	49.2	2.53	37.8
US Government Securities	n/a	1.8	14.3	5.8	21.9	2.60	16.8
Sub-Total PFM Managed		\$7.7	\$51.0	\$33.7	\$92.4	2.63%	71.0%
Total		\$45.4	\$51.0	\$33.7	\$130.1	2.413%	100.0%

Portfolio Yield Comparison



Questions



The Treasurer's Report of Financial Affairs is consistent with the Agency's business goal of fiscal responsibility.

TREASURER'S REPORT OF FINANCIAL AFFAIRS

For the Month Ended October 31, 2019



All investment transactions have been executed in accordance with the criteria stated in the Agency's Investment Policy (Resolution No. 2019-3-1) adopted by the Inland Empire Utilities Agency's Board of Directors during its regular meeting held on May 15, 2019.

The funds anticipated to be available during the next six-month period are expected to be sufficient to meet all foreseen expenditures during the period.

* A Municipal Water District

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
October 31, 2019

	October	September	Variance
<u>Cash, Bank Deposits, and Bank Investment Accounts</u>	(\$290,081)	\$929,191	(\$1,219,272)
<u>Investments</u>			
Agency Managed			
Citizens Business Bank (CBB) Repurchase (Sweep)	\$8,031,064	\$7,376,733	\$654,331
Local Agency Investment Fund (LAIF)	14,792,356	11,798,099	2,994,257
California Asset Management Program (CAMP)	14,895,485	19,365,480	(4,469,995)
Total Agency Managed Investments	37,718,905	38,540,312	(821,407)
PFM Managed			
Certificates of Deposit	\$3,864,836	\$3,864,814	\$22
Municipal Bonds	0	0	0
Commercial Paper	0	0	0
Medium Term Notes	17,502,580	17,499,618	2,962
U.S. Treasury Notes	49,208,609	47,836,818	1,371,791
U.S. Government Sponsored Entities	21,853,922	23,100,917	(1,246,995)
Total PFM Managed Investments	92,429,947	92,302,167	127,780
Total Investments	\$130,148,852	\$130,842,479	(\$693,627)
Total Cash and Investments Available to the Agency	\$129,858,771	\$131,771,670	(\$1,912,899)
<u>Restricted Deposits</u>			
CAMP Water Connection Reserve	\$16,671,811	\$16,993,127	(\$321,316)
LAIF Self Insurance Reserve	6,492,046	6,312,073	179,973
Debt Service Accounts	7,326,224	2,617,770	4,708,454
Capital Capacity Reimbursement Account (CCRA) Deposits Held by Member Agencies**	72,103,966	69,811,192	2,292,774
California Employers' Retirement Benefit Trust Account - CERBT (Other Post Employment Benefits - OPEB)	17,815,437	17,573,385	242,052
Escrow Deposits	55,668	54,295	1,373
Total Restricted Deposits	\$120,465,152	\$113,361,842	\$7,103,310
Total Cash, Investments, and Restricted Deposits	\$250,323,923	\$245,133,512	\$5,190,411

Deposits **Total reported as of September 2019

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
October 31, 2019

Cash, Bank Deposits, and Bank Investment Accounts

CBB Demand Account (Negative balance offset by CBB Sweep Balance)	(\$832,473)
CBB Payroll Account	-
CBB Workers' Compensation Account	61,621
Subtotal Demand Deposits	(\$770,852)

Other Cash and Bank Accounts

Petty Cash	\$2,250
Subtotal Other Cash	\$2,250

US Bank Pre-Investment Money Market Account

	\$478,521
--	-----------

Total Cash and Bank Accounts

(\$290,081)

Unrestricted Investments

CBB Repurchase (Sweep) Investments

Freddie Mac	\$8,031,064
Subtotal CBB Repurchase (Sweep)	\$8,031,064

Local Agency Investment Fund (LAIF)

LAIF Fund	\$14,792,356
Subtotal Local Agency Investment Fund	\$14,792,356

California Asset Management Program (CAMP)

Short Term	\$14,895,485
Subtotal CAMP	\$14,895,485

Subtotal Agency Managed Investment Accounts

\$37,718,905

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
October 31, 2019

Unrestricted Investments Continued

Brokered Certificates of Deposit

Brokered Certificates of Deposit	\$3,864,836
Subtotal Brokered Certificates of Deposit	<u>\$3,864,836</u>

Medium Term Notes

UPS of America Inc	\$741,770
Hershey Company	334,879
American Honda Finance	782,307
UnitedHealth Group Inc	755,558
Toyota Motor	1,187,087
Bank of NY Mellon	2,767,843
American Express	791,041
Walt Disney Company	804,940
Paccar Financial Corp	929,575
Visa Inc	805,289
Bank of America	779,214
Oracle Corporation	1,398,391
Amazon Inc	927,228
Burlington North Santa Fe Corp	793,536
Pfizer Inc	1,274,608
Walmart Inc	1,493,037
Walt Disney Company	936,277
Subtotal Medium Term Notes	<u>17,502,580</u>

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
October 31, 2019

Unrestricted Investments Continued

U.S. Treasury Notes

Treasury Note	\$49,208,609
Subtotal U.S. Treasury Notes	<u>\$49,208,609</u>

U.S. Government Sponsored Entities

Fannie Mae Bank	9,146,619
Freddie Mac Bank	7,998,780
Federal Home Loan Bank	4,708,523
Subtotal U.S. Government Sponsored Entities	<u>\$21,853,922</u>

Subtotal PFM Managed Investment Accounts **\$92,429,947**

Total Investments **\$130,148,852**

Restricted Deposits

Investment Pool Accounts

CAMP -Water Connection Reserves	\$16,671,811
LAIF - Self Insurance Fund Reserves	6,492,046
Subtotal Investment Pool Accounts	<u>\$23,163,857</u>

Debt Service

2008B Debt Service Accounts	\$2,620,572
2017A Debt Service Accounts	4,705,652
Subtotal Debt Service	<u>\$7,326,224</u>

INLAND EMPIRE UTILITIES AGENCY

Cash and Investment Summary

Month Ended
October 31, 2019

Restricted Deposits Continued

CCRA Deposits Held by Member Agencies

City of Chino	\$11,579,148
Cucamonga Valley Water District	11,514,585
City of Fontana	10,695,090
City of Montclair	3,111,788
City of Ontario	23,891,915
City of Chino Hills	6,484,292
City of Upland	4,827,148
Subtotal CCRA Deposits Held by Member Agencies**	<u>\$72,103,966</u>

**Total reported as of September 2019

CalPERS

CERBT Account (OPEB)	<u>\$17,815,437</u>
Subtotal CalPERS Accounts	\$17,815,437

Escrow Deposits

Stanek Construction	<u>\$55,668</u>
Subtotal Escrow Deposits	\$55,668

Total Restricted Deposits

\$120,465,152

Total Cash, Investments, and Restricted Deposits as of October 31, 2019

\$250,323,923

Total Cash, Investments, and Restricted Deposits as of 10/31/19

\$250,323,923

Less: Total Cash, Investments, and Restricted Deposits as of 09/30/19

245,133,512

Total Monthly Increase (Decrease)

\$5,190,411

INLAND EMPIRE UTILITIES AGENCY

Cash and Investment Summary

Month Ended
October 31, 2019

	Settlement Date	Security Length	Credit Rating @ Purchase Date		Par Amount	Cost Basis Amount	Term (Days)	October Value	% Coupon	% Yield to Maturity	Maturity Date	Market Value
			S&P	Moody's								
Cash, Bank Deposits, and Bank Investment Accounts												
Citizens Business Bank (CBB)												
Demand Account					(\$832,473)	(\$832,473)	N/A	(\$832,473)		N/A	N/A	(\$832,473)
Payroll Checking					0	0	N/A	0		N/A	N/A	0
Workers' Compensation Account					61,621	61,621	N/A	61,621		N/A	N/A	61,621
Subtotal CBB Accounts					(\$770,852)	(\$770,852)		(\$770,852)				(\$770,852)
US Bank (USB)												
Custodial Money Market (Investment Mgmt.)					\$360,581	\$360,581	N/A	\$360,581	1.36%		N/A	\$360,581
Custodial Money Market (Debt Service)					117,940	117,940	N/A	117,940	1.36%		N/A	117,940
Subtotal USB Account					\$478,521	\$478,521		\$478,521	1.36%			\$478,521
Petty Cash					\$2,250	\$2,250	N/A	\$2,250		N/A	N/A	\$2,250
Total Cash, Bank Deposits and Bank Investment Accounts					(\$290,081)	(\$290,081)		(\$290,081)				(\$290,081)
Investments												
CBB Daily Repurchase (Sweep) Accounts												
Freddie Mac					\$8,031,064	\$8,031,064	N/A	\$8,031,064	1.00%		N/A	\$8,031,064
Subtotal CBB Repurchase Accounts					\$8,031,064	\$8,031,064		\$8,031,064	1.00%			\$8,031,064
LAIF Accounts												
Non-Restricted Funds					\$14,792,356	\$14,792,356	N/A	\$14,792,356	2.190%		N/A	\$14,792,356
Subtotal LAIF Accounts					\$14,792,356	\$14,792,356		\$14,792,356	2.190%			\$14,792,356
CAMP Accounts												
Non-Restricted Funds					\$14,895,485	\$14,895,485	N/A	\$14,895,485	2.05%		N/A	\$14,895,485
Subtotal CAMP Accounts					\$14,895,485	\$14,895,485		\$14,895,485	2.05%			\$14,895,485
Subtotal Agency Managed Investment Accounts					\$37,718,905	\$37,718,905		\$37,718,905	1.88%			\$37,718,905
Brokered Certificates of Deposit (CDs)												
Bank of Nova Scotia Houston	06/07/18	718	A-1	P-1	\$1,410,000	\$1,409,464	729	\$1,409,836	3.08%	3.10%	06/05/20	\$1,422,340
Bank of Montreal Chicago	08/03/18	720	A-1	P-1	1,400,000	1,400,000	731	1,400,000	3.19%	3.23%	08/03/20	1,412,811
Synchrony Bank	10/02/15	1800	N/R	N/R	240,000	240,000	1827	240,000	2.25%	2.25%	10/02/20	241,254
Royal Bank of Canada NY	06/08/18	1079	AA-	Aa2	815,000	815,000	1095	815,000	3.24%	3.24%	06/07/21	834,270
Subtotal Brokered CDs					\$3,865,000	\$3,864,464		\$3,864,836	3.12%			\$3,910,675

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
October 31, 2019

	Settlement Date	Security Length	Credit Rating		Par	Cost Basis	Term	October	% Yield to	% Maturity	Maturity	Market							
			@ Purchase Date										Amount	Amount	(Days)	Value	Coupon	Date	Value
			S&P	Moody's															
Investments (continued)																			
US Treasury Note																			
US Treasury Note	04/18/18	807	AA+	Aaa	\$2,195,000	\$2,149,128	819	\$2,180,358	1.500%	2.46%	07/15/20	\$2,193,200							
US Treasury Note	04/18/18	1003	AA+	Aaa	2,225,000	2,201,012	1019	2,214,081	2.125%	2.53%	01/31/21	2,239,600							
US Treasury Note	01/24/19	741	AA+	Aaa	1,900,000	1,886,938	753	1,891,765	2.250%	2.59%	02/15/21	1,915,808							
US Treasury Note	03/15/19	825	AA+	Aaa	2,781,000	2,761,120	838	2,766,525	2.125%	2.45%	06/30/21	2,805,876							
US Treasury Note	04/17/18	1184	AA+	Aaa	2,615,000	2,588,543	1201	2,600,704	2.250%	2.57%	07/31/21	2,645,235							
US Treasury Note	07/17/18	1184	AA+	Aaa	580,000	567,426	1202	572,237	2.000%	2.69%	10/31/21	585,030							
US Treasury Note	04/17/18	1303	AA+	Aaa	2,555,000	2,480,346	1323	2,511,304	1.750%	2.60%	11/30/21	2,565,378							
US Treasury Note	04/25/18	1416	AA+	Aaa	2,500,000	2,418,750	1436	2,449,134	1.875%	2.75%	03/31/22	2,520,313							
US Treasury Note	08/03/18	1318	AA+	Aaa	3,070,000	2,969,266	1336	3,002,460	1.875%	2.82%	03/31/22	3,094,944							
US Treasury Note	09/06/18	1285	AA+	Aaa	3,790,000	3,678,225	1302	3,713,221	1.875%	2.75%	03/31/22	3,820,794							
US Treasury Note	04/17/18	1453	AA+	Aaa	1,605,000	1,558,480	1474	1,575,642	1.875%	2.64%	04/30/22	1,618,416							
US Treasury Note	07/05/18	1435	AA+	Aaa	1,300,000	1,252,570	1456	1,267,762	1.750%	2.72%	06/30/22	1,308,074							
US Treasury Note	06/06/18	1554	AA+	Aaa	2,065,000	1,980,706	1577	2,007,071	1.750%	2.76%	09/30/22	2,078,794							
US Treasury Note	05/04/18	1617	AA+	Aaa	1,600,000	1,546,625	1641	1,563,632	2.000%	2.80%	10/31/22	1,622,374							
US Treasury Note	10/04/18	1557	AA+	Aaa	1,040,000	990,356	1580	1,002,156	1.750%	2.93%	01/31/23	1,047,312							
US Treasury Note	04/17/18	1751	AA+	Aaa	305,000	288,535	1778	293,516	1.500%	2.69%	02/28/23	304,762							
US Treasury Note	07/05/18	1673	AA+	Aaa	1,275,000	1,206,269	1699	1,224,979	1.500%	2.74%	02/28/23	1,274,004							
US Treasury Note	02/12/19	1489	AA+	Aaa	1,235,000	1,189,508	1508	1,197,092	1.500%	2.44%	03/31/23	1,234,325							
US Treasury Note	01/24/19	1596	AA+	Aaa	2,000,000	1,899,453	1618	1,916,143	1.38%	2.58%	06/30/23	1,989,376							
US Treasury Note	02/12/19	1609	AA+	Aaa	1,260,000	1,196,951	1630	1,206,665	1.25%	2.44%	07/31/23	1,247,744							
US Treasury Note	05/03/19	1587	AA+	Aaa	650,000	667,088	1611	665,250	2.88%	2.25%	09/30/23	683,313							
US Treasury Note	03/08/19	1687	AA+	Aaa	3,450,000	3,484,904	1713	3,480,366	2.75%	2.52%	11/15/23	3,613,875							
US Treasury Note	01/09/19	1761	AA+	Aaa	2,525,000	2,479,826	1786	2,486,968	2.13%	2.52%	11/30/23	2,584,181							
US Treasury Note	01/31/19	1770	AA+	Aaa	120,000	120,356	1795	120,306	2.63%	2.56%	12/31/23	125,287							
US Treasury Note	06/04/19	1766	AA+	Aaa	2,345,000	2,355,534	1792	2,354,711	2.00%	1.90%	04/30/24	2,391,991							
US Treasury Note	07/03/19	1797	AA+	Aaa	575,000	580,930	1824	580,555	2.00%	1.78%	06/30/24	586,882							
US Treasury Note	08/09/19	1792	AA+	Aaa	1,000,000	1,016,172	1818	1,015,460	2.13%	1.78%	07/31/24	1,026,680							
US Treasury Note	10/03/19	1797	AA+	Aaa	1,310,000	1,349,146	1824	1,348,546	2.13%	1.50%	09/30/24	1,345,974							
Subtotal US Treasuries					\$49,871,000	\$48,864,163		\$49,208,609		2.53%		\$50,469,542							
U.S. Government Sponsored Entities																			
Federal Home Loan Bank	04/18/18	702	AA+	Aaa	1,750,000	1,746,920	712	1,749,337	2.38%	2.47%	03/30/20	1,755,850							
Freddie Mac Bond	04/17/18	1019	AA+	Aaa	2,500,000	2,485,350	1,036	2,493,206	2.38%	2.59%	02/16/21	2,525,733							
Freddie Mac Bond	04/25/18	1011	AA+	Aaa	2,550,000	2,527,994	1,028	2,539,701	2.38%	2.70%	02/16/21	2,576,247							
Fannie Mae Bond	04/17/18	1076	AA+	Aaa	2,510,000	2,502,671	1,092	2,506,377	2.50%	2.60%	04/13/21	2,543,506							
Federal Home Loan Bank	01/24/19	978	AA+	Aaa	1,865,000	1,882,717	992	1,877,857	3.00%	2.63%	10/12/21	1,915,771							
Fannie Mae Bond	01/11/19	1080	AA+	Aaa	795,000	794,428	1,096	794,577	2.63%	2.65%	01/11/22	813,054							
Freddie Mac Bond	08/26/19	1080	AA+	Aaa	1,520,000	1,520,000	1,096	1,520,000	2.05%	2.05%	08/26/22	1,520,620							
Fannie Mae Bond	09/06/19	1080	AA+	Aaa	890,000	886,903	1,096	887,058	1.38%	1.49%	09/06/22	885,102							
Fannie Mae Bond	04/17/18	1608	AA+	Aaa	1,655,000	1,603,678	1,632	1,620,669	2.00%	2.74%	10/05/22	1,676,917							
Freddie Mac Bond	07/05/18	1784	AA+	Aaa	1,450,000	1,444,461	1,810	1,445,873	2.75%	2.83%	06/19/23	1,511,158							
Fannie Mae Bond	12/06/18	1716	AA+	Aaa	855,000	853,273	1,741	853,600	2.88%	2.92%	09/12/23	896,757							
Fannie Mae Bond	11/05/18	1747	AA+	Aaa	1,820,000	1,803,274	1,772	1,806,518	2.88%	3.08%	09/12/23	1,908,885							
Federal Home Loan Bank	01/31/19	1748	AA+	Aaa	870,000	895,642	1,772	891,911	3.38%	2.72%	12/08/23	931,673							
Fannie Mae Bond	02/08/19	1797	AA+	Aaa	680,000	677,470	1,823	677,820	2.50%	2.58%	02/05/24	705,298							
Federal Home Loan Bank	02/15/19	1798	AA+	Aaa	190,000	189,327	1,824	189,418	2.50%	2.58%	02/13/24	197,173							
Subtotal U.S. Gov't Sponsored Entities					21,900,000	21,814,108		21,853,922		2.60%		\$22,363,744							

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
October 31, 2019

	Settlement Date	Security Length	Credit Rating @ Purchase Date		Par Amount	Cost Basis Amount	Term (Days)	October	% Coupon	% Yield to Maturity	Maturity	Market
			S&P	Moody's				Value			Date	Value
Investments (continued)												
Medium Term Notes												
UPS of America Inc	04/18/18	703	A	A2	\$725,000	\$802,046	714	\$741,770	8.38%	2.75%	04/01/20	\$744,007
Toyota Motor	01/08/19	720	AA-	Aa3	200,000	199,880	731	199,928	3.05%	3.08%	01/08/21	203,071
Hershey Company	05/10/18	1085	A	A1	335,000	334,769	1101	334,879	3.10%	3.12%	05/15/21	341,131
American Honda Finance	04/18/18	1164	A	A2	800,000	767,016	1181	782,307	1.65%	3.00%	07/12/21	797,498
Toyota Motor	04/27/18	1334	AA-	Aa3	1,000,000	978,790	1355	987,159	2.60%	3.21%	01/11/22	1,017,141
Bank of NY Mellon	04/18/18	1369	A	A1	1,400,000	1,371,048	1391	1,382,355	2.60%	3.18%	02/07/22	1,420,829
American Express	04/18/18	1395	A-	A2	800,000	785,488	1415	791,041	2.70%	3.20%	03/03/22	813,821
Walt Disney Company	04/18/18	1396	A	A2	815,000	798,692	1416	804,940	2.45%	3.00%	03/04/22	828,244
Paccar Financial Corp	05/10/19	1080	A+	A1	930,000	929,498	1096	929,575	2.65%	2.67%	05/10/22	948,531
Visa Inc	04/18/18	1587	AA-	Aa3	825,000	795,407	1611	805,289	2.15%	3.03%	09/15/22	834,538
Bank of America	04/18/18	1623	A-	A2	800,000	769,264	1647	779,214	2.50%	3.43%	10/21/22	807,448
Oracle Corp	04/19/18	1736	A+	A1	1,420,000	1,389,001	1763	1,398,391	2.63%	3.11%	02/15/23	1,448,205
Amazon Inc	04/15/19	1387	AA-	A3	935,000	925,996	1774	927,228	2.40%	2.66%	02/22/23	952,490
Burlington North Santa Fe Corp	04/18/18	1767	A+	A3	800,000	790,800	1792	793,536	3.00%	3.26%	03/15/23	825,344
Bank of NY Mellon	01/24/19	1534	A	A1	1,375,000	1,387,595	1555	1,385,488	3.50%	3.27%	04/28/23	1,444,292
UnitedHealth Group Inc	10/24/19	1311	A+	A3	720,000	755,741	1330	755,558	3.50%	2.08%	06/15/23	755,662
Pfizer Inc	04/04/19	1781	AA-	A1	1,260,000	1,276,392	1807	1,274,608	2.95%	2.67%	03/15/24	1,316,457
Walmart Inc	09/05/19	1743	AA	Aa2	1,425,000	1,495,196	1768	1,493,037	2.85%	1.78%	07/08/24	1,488,196
Walt Disney Company	09/06/19	1794	A	A2	940,000	936,165	1820	936,277	1.75%	1.84%	08/30/24	932,460
Subtotal Medium Term Notes					\$17,505,000	\$17,488,784		\$17,502,580		2.83%		\$17,919,365
Subtotal PFM Managed Investment Accounts					\$93,141,000	\$92,031,519		\$92,429,947		2.63%		\$94,663,326
Total Investments					\$130,859,905	\$129,750,424		\$130,148,852				\$132,382,231
<i>(Source of Investment Amortized Cost: PFM)</i>												
Restricted Deposits												
Investment Pool Accounts												
CAMP - Water Connection Reserves					\$16,671,811	\$16,671,811	N/A	\$16,671,811		2.05%	N/A	\$16,671,811
LALF - Self Insurance Reserves					6,492,046	6,492,046	N/A	6,492,046		2.19%	N/A	6,492,046
Total Investment Pool Accounts					23,163,857	23,163,857		23,163,857		2.09%		23,163,857
Debt Service and Arbitrage Accounts												
2008B Debt Service Accounts					\$2,620,572	\$2,620,572	N/A	\$2,620,572		1.27%		\$2,620,572
2017A Debt Service Accounts					4,705,652	4,705,652	N/A	4,705,652		0.15%		4,705,652
Total Debt Service Accounts					\$7,326,224	\$7,326,224		\$7,326,224		0.55%		\$7,326,224

INLAND EMPIRE UTILITIES AGENCY

Cash and Investment Summary

Month Ended
October 31, 2019

	Settlement Date	Security Length	Credit Rating @ Purchase Date		Par Amount	Cost Basis Amount	Term (Days)	October	% Coupon	% Yield to Maturity	Maturity	Market
			S&P	Moody's				Value			Date	Value
Restricted Deposits (continued)												
CCRA Deposits Held by Member Agencies												
City of Chino					\$11,579,148	\$11,579,148	N/A	\$11,579,148		N/A	N/A	\$11,579,148
City of Chino Hills					6,484,292	6,484,292	N/A	6,484,292		N/A	N/A	6,484,292
Cucamonga Valley Water District					11,514,585	11,514,585	N/A	11,514,585		N/A	N/A	11,514,585
City of Fontana					10,695,090	10,695,090	N/A	10,695,090		N/A	N/A	10,695,090
City of Montclair					3,111,788	3,111,788	N/A	3,111,788		N/A	N/A	3,111,788
City of Ontario					23,891,915	23,891,915	N/A	23,891,915		N/A	N/A	23,891,915
City of Upland					<u>4,827,148</u>	<u>4,827,148</u>	N/A	<u>4,827,148</u>		N/A	N/A	<u>4,827,148</u>
Subtotal CCRA Deposits Held by Member Agencies**					\$72,103,966	\$72,103,966		\$72,103,966				\$72,103,966
<i>**Total reported as of September 2019</i>												
CalPERS Deposits												
CERBT Account (OPEB)					\$15,000,000	\$15,000,000	N/A	\$17,815,437		N/A	N/A	\$17,815,437
Subtotal CalPERS Deposits					\$15,000,000	\$15,000,000		\$17,815,437				\$17,815,437
<i>CERBT Strategy 2 Performance as of September 30, 2019 based on 1 Year Net Return was 7.61%.</i>												
Escrow Deposits												
Stanek Contractors Escrow					\$55,668	\$55,668	N/A	\$55,668		N/A	N/A	\$55,668
Subtotal Escrow Deposits					\$55,668	\$55,668		\$55,668				\$55,668
Total Restricted Deposits					<u>\$117,649,715</u>	<u>\$117,649,715</u>		<u>\$120,465,152</u>				<u>\$120,465,152</u>
Total Cash, Investments, and Restricted Deposits as of October 31, 2019					<u>\$248,219,539</u>	<u>\$247,110,058</u>		<u>\$250,323,923</u>				<u>\$252,557,302</u>

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
October 31, 2019

October Purchases

No.	Date	Transaction	Investment Security	Type	Par Amount Purchased	Investment Yield to Maturity
1	10/03/19	Purchase	United States Treasury Notes	Treasury Bond	\$1,310,000	2.13%
2	10/24/19	Purchase	United Health Group Inc Corp Notes	Corporate Note	720,000	3.50%
					\$ 2,030,000	

October Investment Maturities, Calls & Sales

No.	Date	Transaction	Investment Security	Type	Par Amount Matured/Sold	Investment Yield to Maturity
1	10/03/19	Sale	Federal Home Loan Banks Notes	Bond	\$500,000	2.13%
2	10/03/19	Sale	Federal Home Loan Banks Notes	Bond	750,000	2.38%
3	10/24/19	Sale	Boeing Co. Corp Note	Corporate note	680,000	8.75%
Total Maturities, Calls & Sales					\$ 1,930,000	

INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
October 31, 2019

Directed Investment Category

CBB Repurchase (Sweep)
LAIF - Unrestricted
CAMP - Unrestricted
Brokered Certificates of Deposit
Medium Term Notes
US Treasury Notes
U.S. Government Sponsored Entities

Total Investment Portfolio

Investment Portfolio Rate of Return

Amount Invested	Yield
\$8,031,064	1.000%
14,792,356	2.190%
14,895,485	2.050%
3,864,836	3.124%
17,502,580	2.833%
49,208,609	2.532%
21,853,922	2.603%
\$130,148,852	
	2.413%

Restricted/Transitory/Other Accounts

CCRA Deposits Held by Member Agencies
CalPERS OPEB (CERBT) Account
CAMP Restricted Water Connection Reserve
LAIF Restricted Insurance Reserve
US Bank - 2008B Debt Service Accounts
US Bank - 2017A Debt Service Accounts
US Bank - Pre-Investment Money Market Account
Citizens Business Bank - Demand Account
Citizens Business Bank - Workers' Compensation Account
Other Accounts*
Escrow Account

Total Restricted/Transitory/Other Accounts

Average Yield of Other Accounts

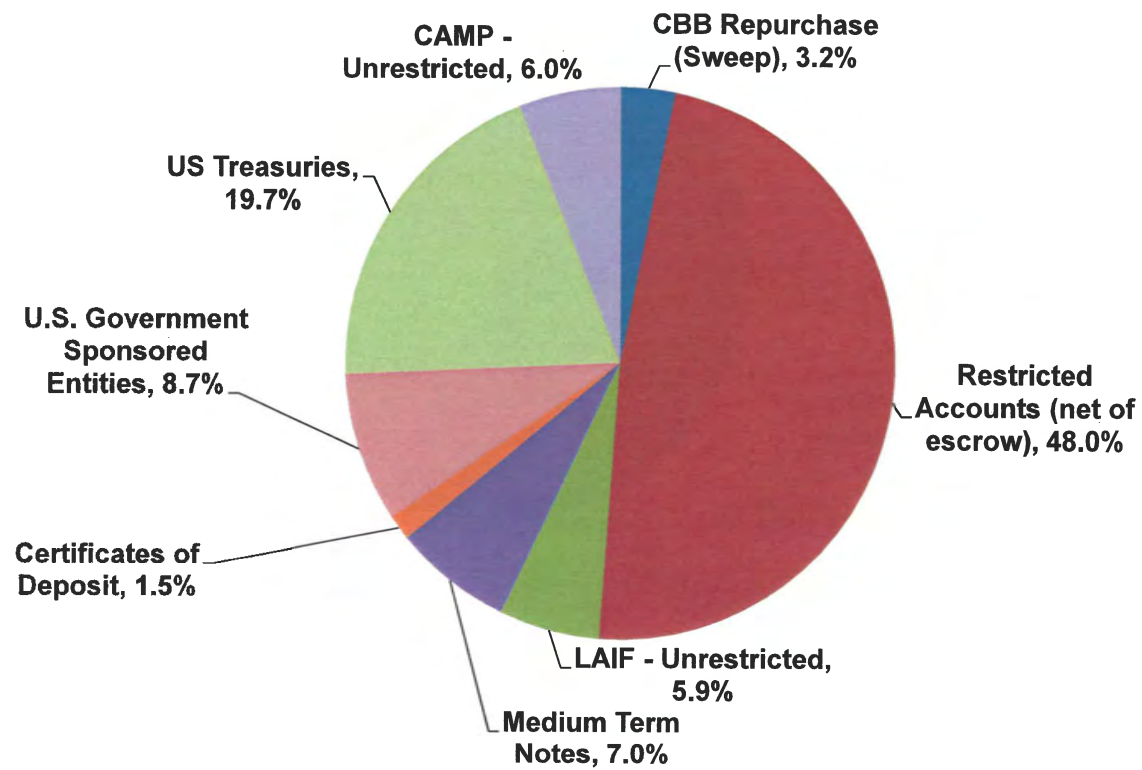
Amount Invested	Yield
\$72,103,966	N/A
17,815,437	N/A
16,671,811	2.050%
6,492,046	2.190%
2,620,572	1.270%
4,705,652	0.150%
478,521	1.360%
(832,473)	N/A
61,621	N/A
2,250	N/A
55,668	N/A
\$120,175,071	
	2.021%

Total Agency Directed Deposits

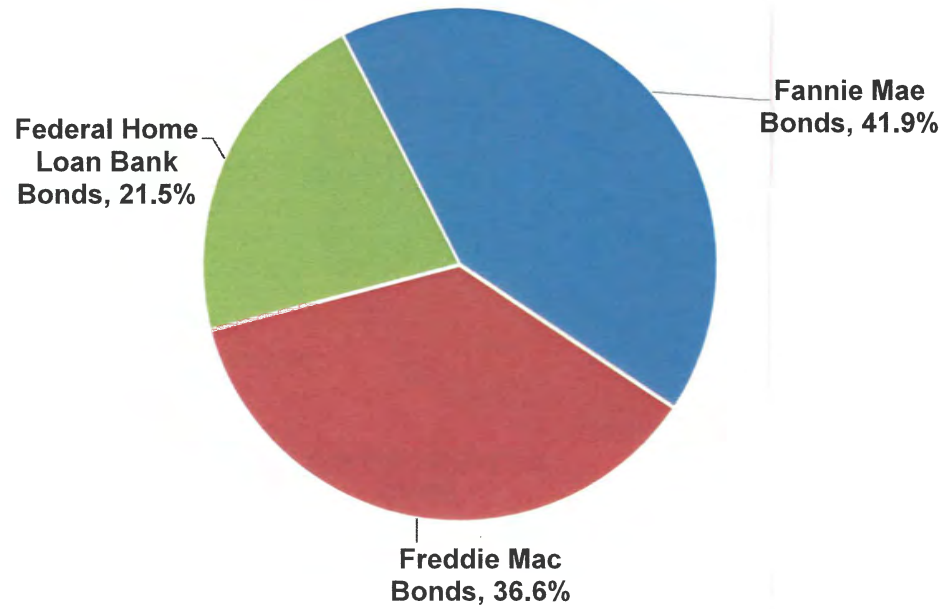
\$250,323,923

*Petty Cash

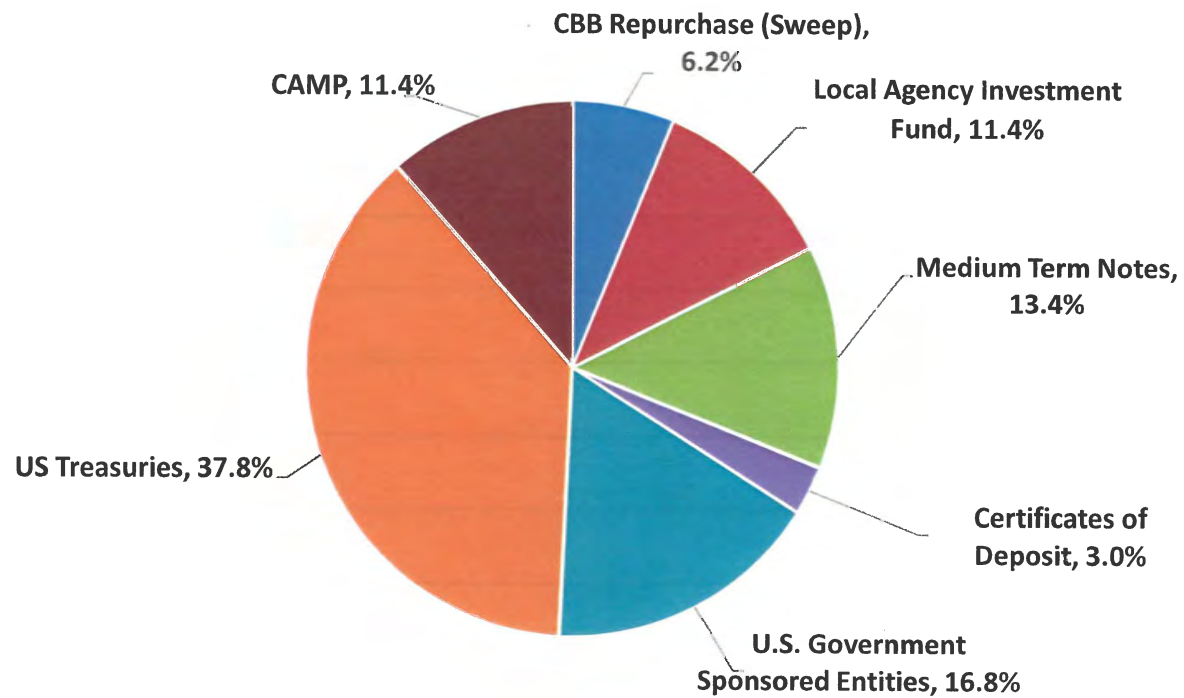
Inland Empire Utilities Agency
Treasurer's Report of Financial Affairs
Month Ended October 31, 2019
Agency Investment Portfolio (Net of Escrow Accounts)
\$250,268,255



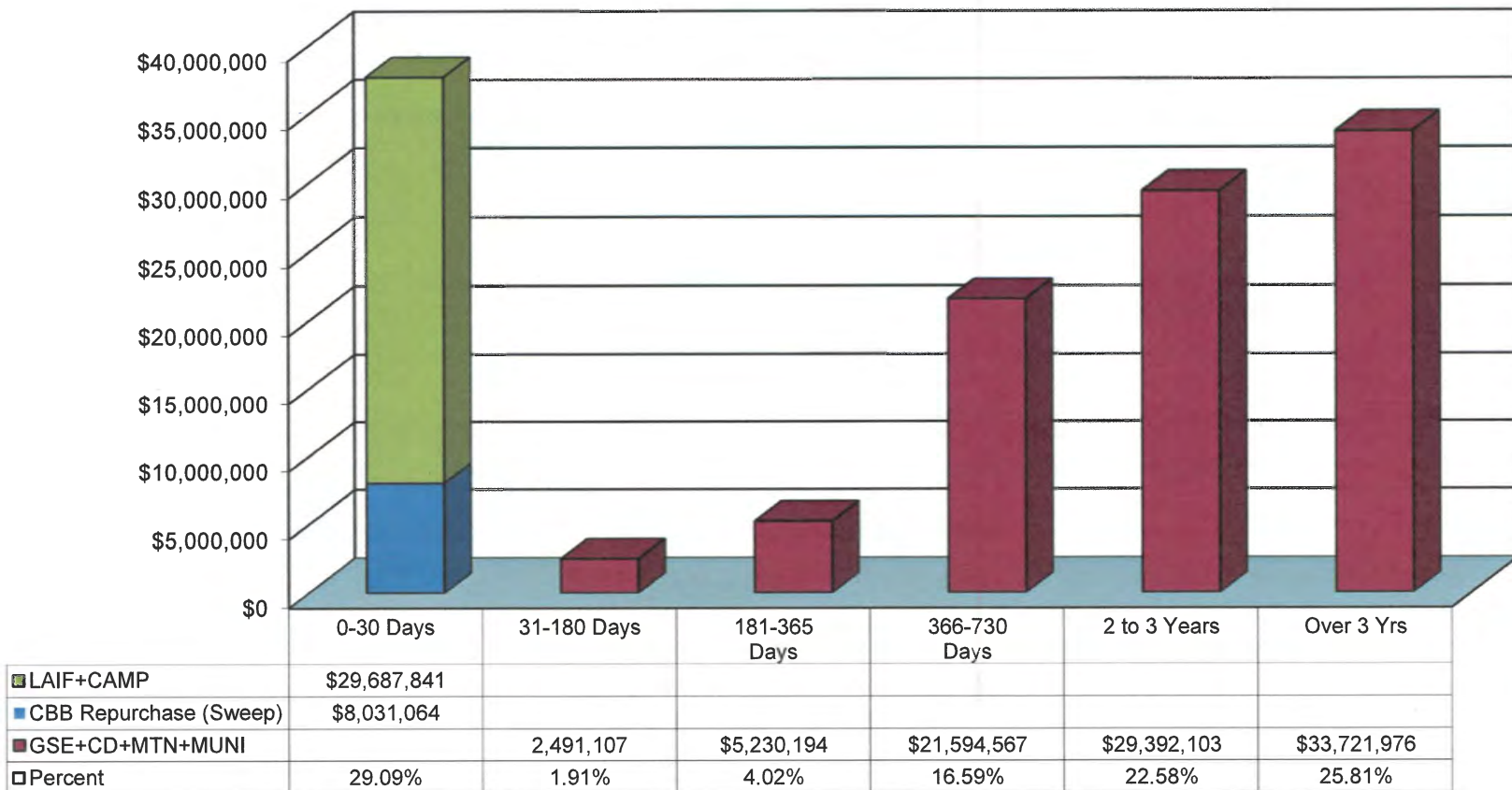
Inland Empire Utilities Agency
Treasurer's Report of Financial Affairs
Month Ended October 31, 2019
U.S. Government Sponsored Entities Portfolio
\$21,853,922



Inland Empire Utilities Agency
Treasurer's Report of Financial Affairs
Month Ended October 31, 2019
Unrestricted Agency Investment Portfolio
\$130,148,852



Inland Empire Utilities Agency
Treasurer's Report of Financial Affairs
 Month Ended October 31, 2019
 Agency Investment Portfolio Maturity Distribution (Unrestricted)
\$130,148,852



INLAND EMPIRE UTILITIES AGENCY
Cash and Investment Summary

Month Ended
 October 31, 2019

Inland Empire Utilities Agency
Treasurer's Report of Financial Affairs
 Month Ended October 31, 2019
Agency Investment Portfolio Yield Comparison

